

# Trees

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# Today's Plan



Trees

Binary Tree ADT

Binary Search Tree ADT

# Announcements

Questions?

# ADT Operations

## we have seen so far

Bag, List, Stack, Queue

**Add** data to collection

**Remove** data from collection

**Retrieve** data from collection

Stack and Queue always **position based**

Bag, retrieval always **value based** (there are no positions)

List has **both**.

For all of them, data organization is **linear**



# Tree

Non-linear structure

A special type of graph

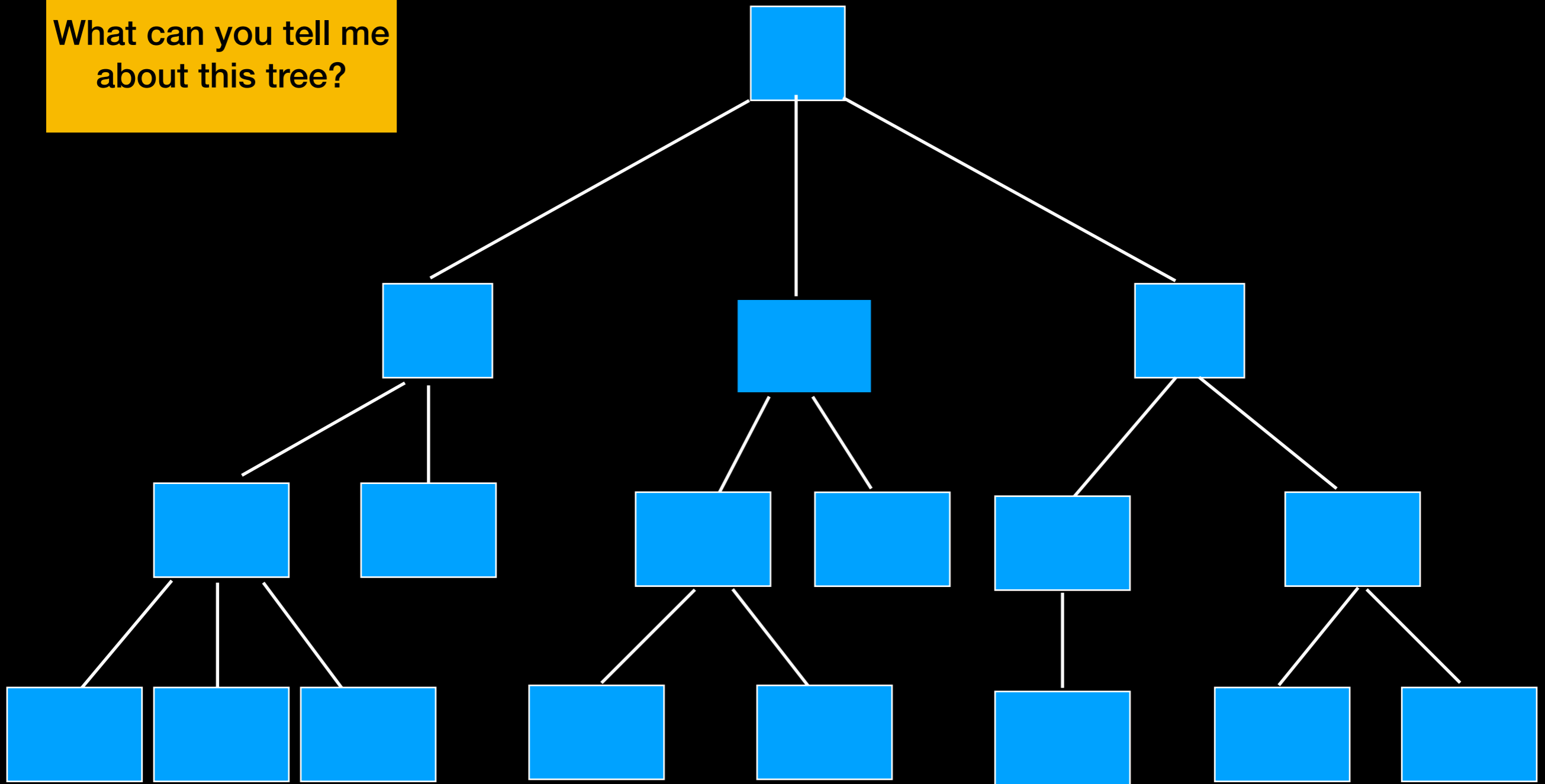
Can represent relationships

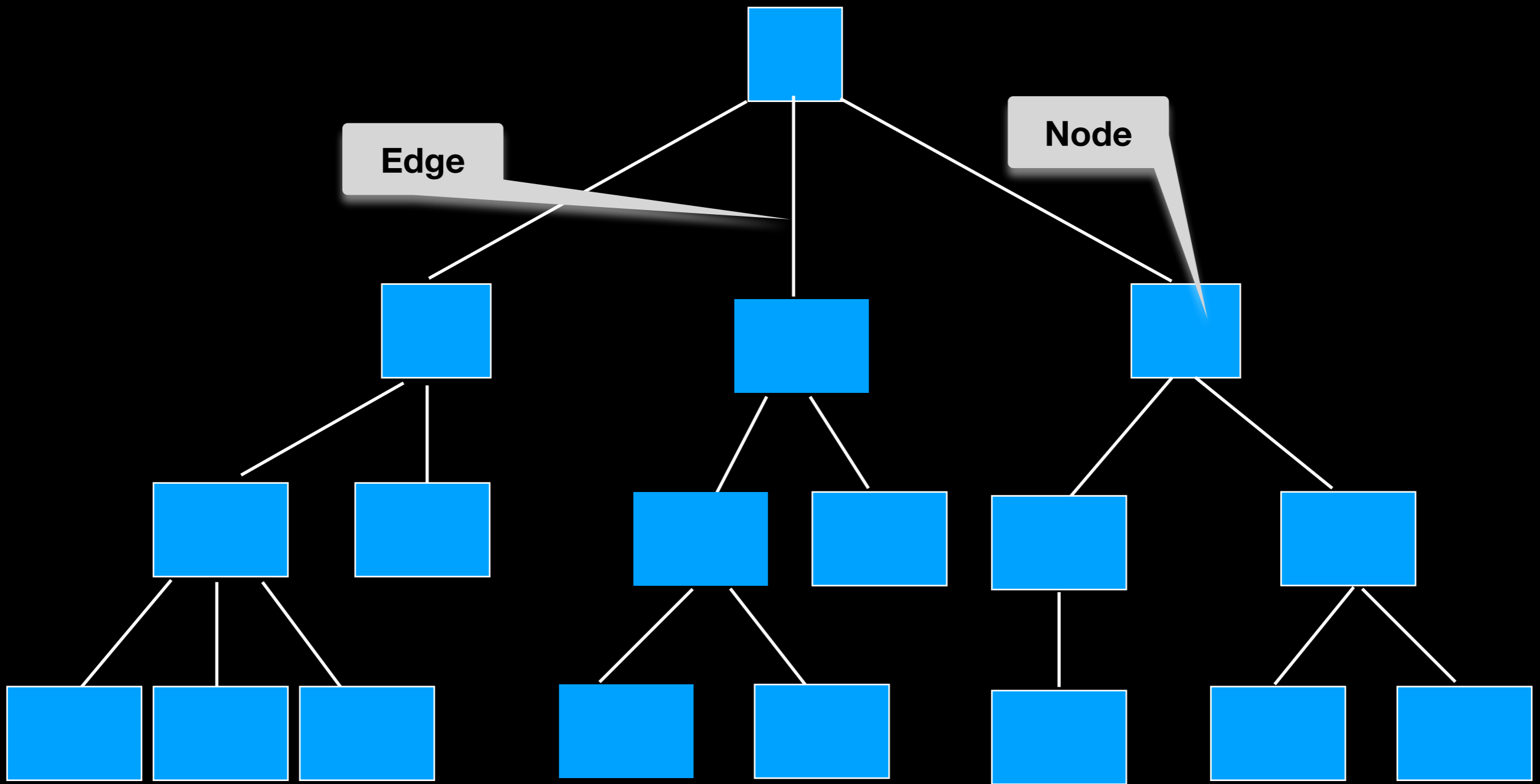
**Hierarchical** (directional) organization

(E.g. family tree)

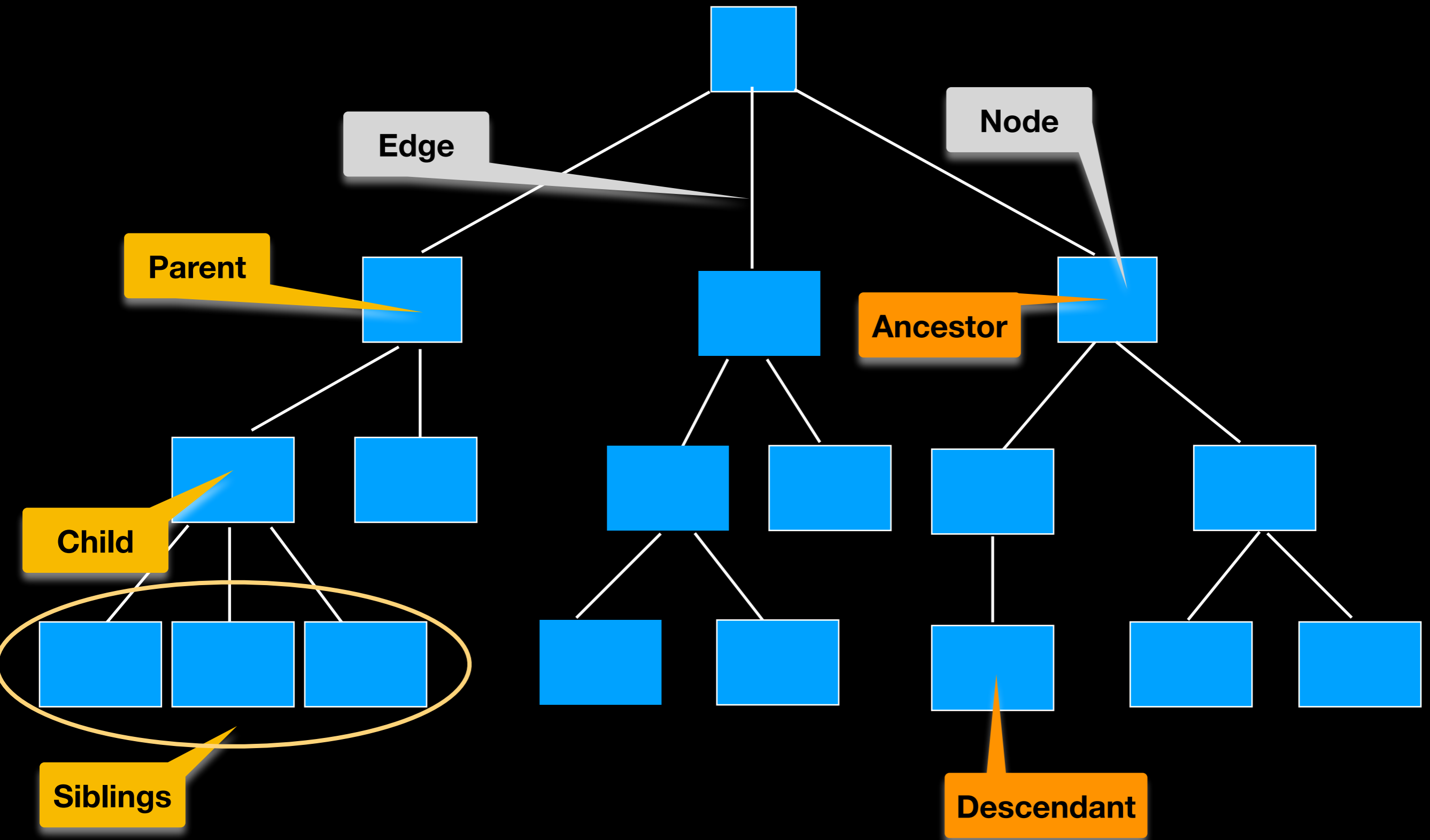


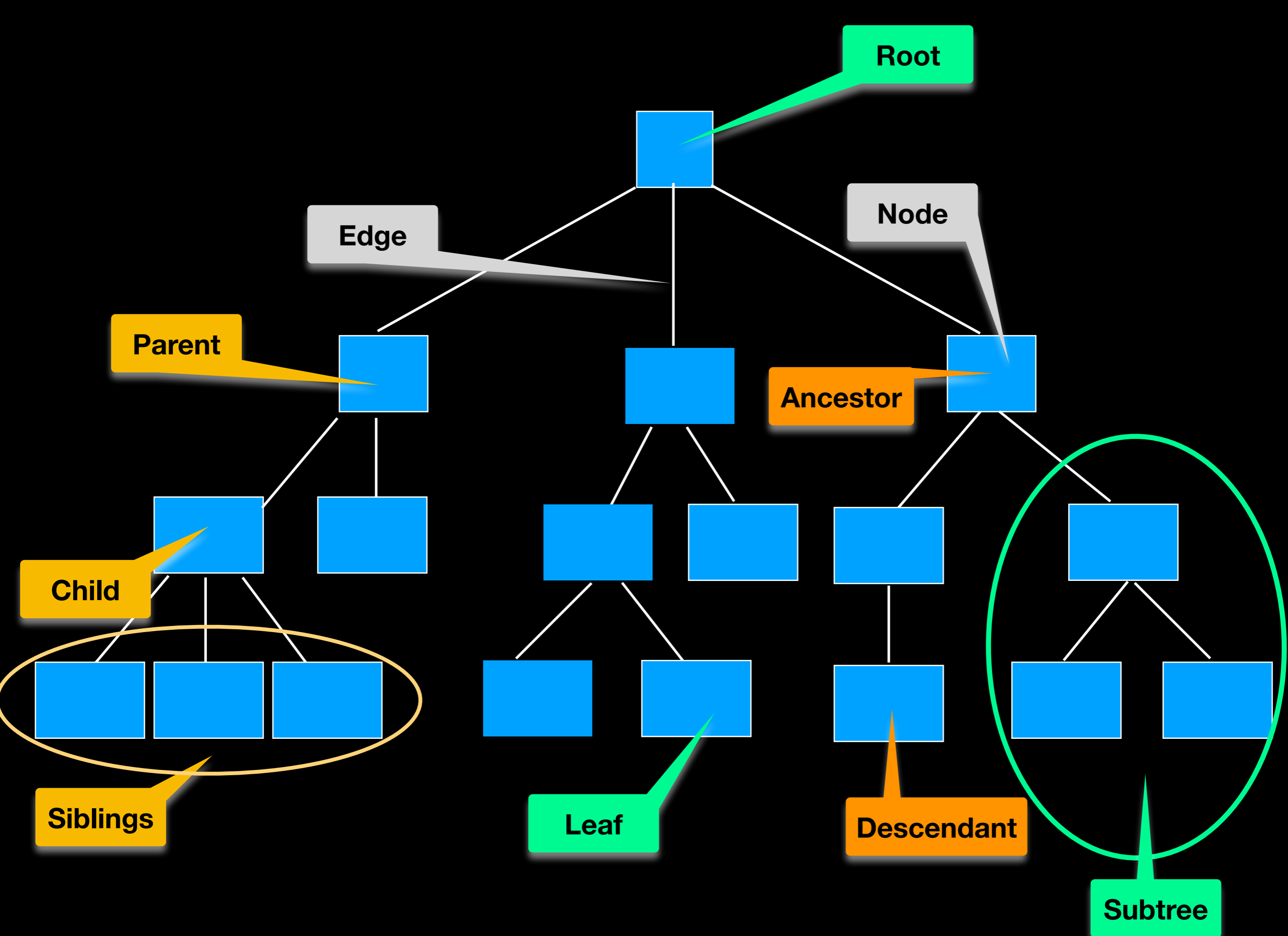
What can you tell me about this tree?



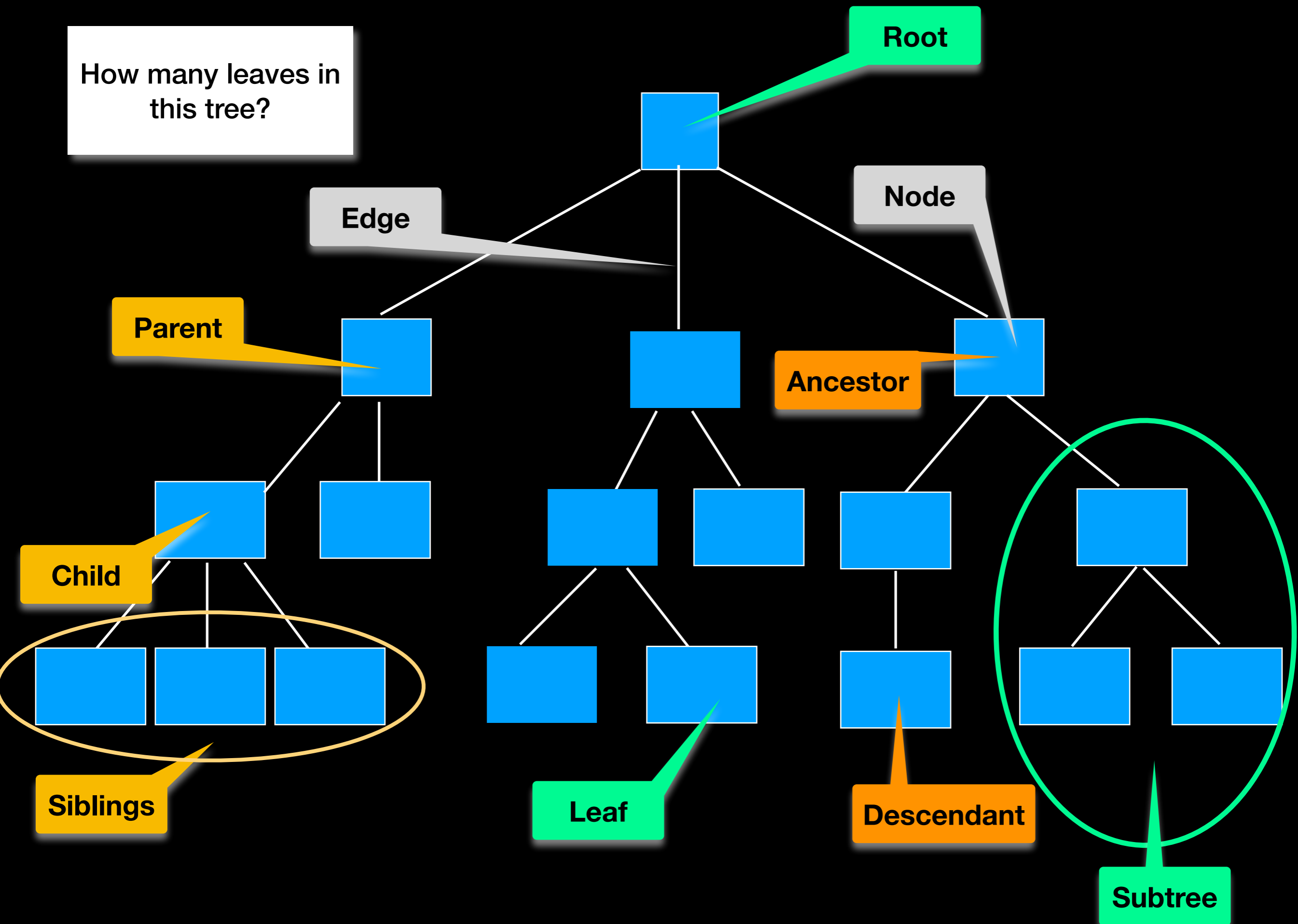


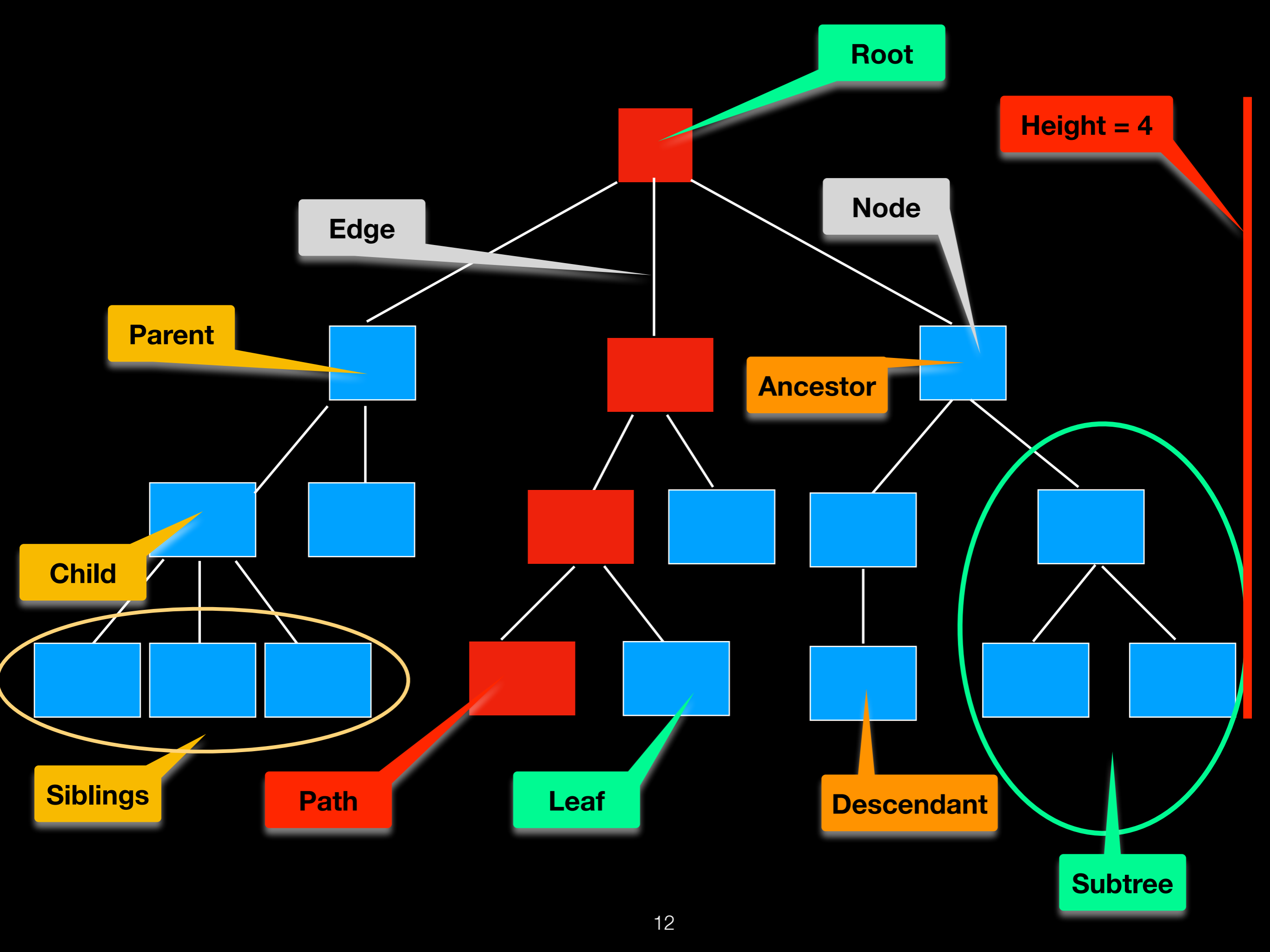






How many leaves in this tree?



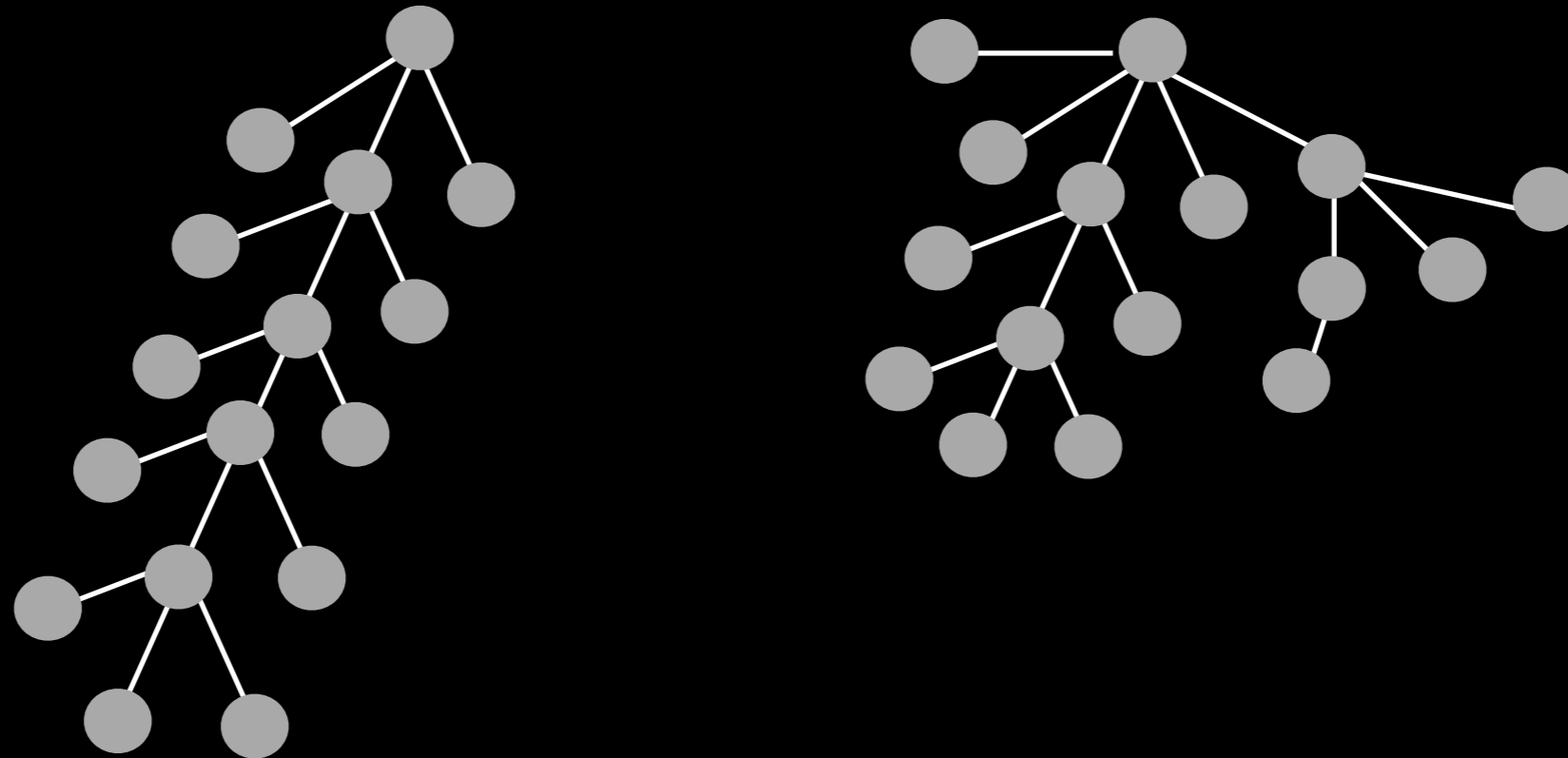


**Path:** a sequence of nodes  $c_1, c_2, \dots, c_k$  where  $c_{i+1}$  is a child of  $c_i$ .

**Height:** the number of nodes in the longest path from the root to a leaf.

**Subtree:** the subtree rooted at node  $n$  is the tree formed by taking  $n$  as the root node and including all its descendants.

# Different shapes/structures



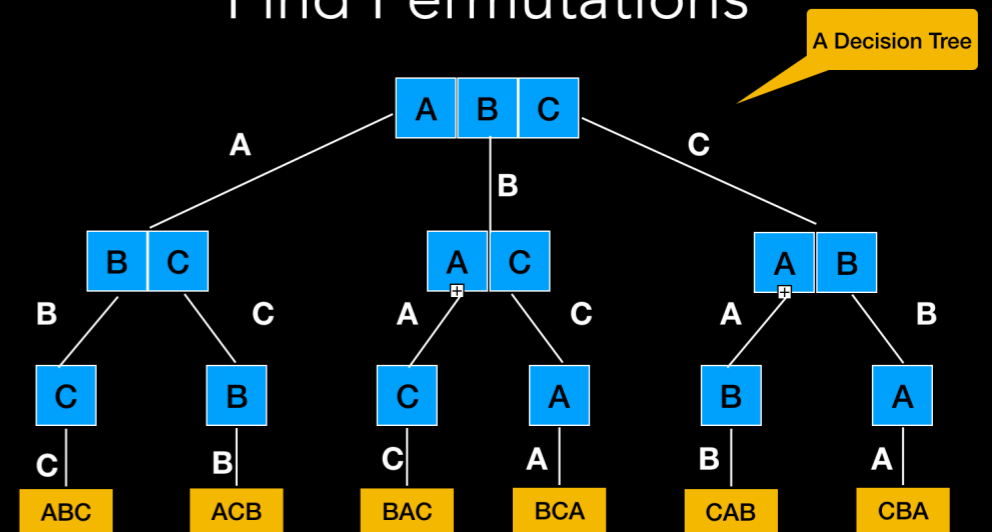
**Both  $n = 16$**   
**Both 11 leaves**  
**Different height**

# We have already seen Trees!

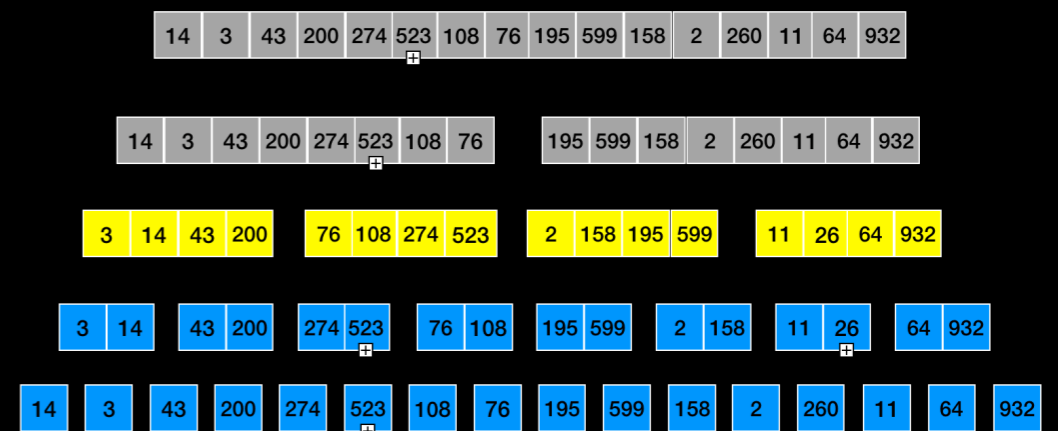
Mostly as a "thinking tool"

- Decision Trees
- Divide and Conquer

## Find Permutations



## Merge Sort

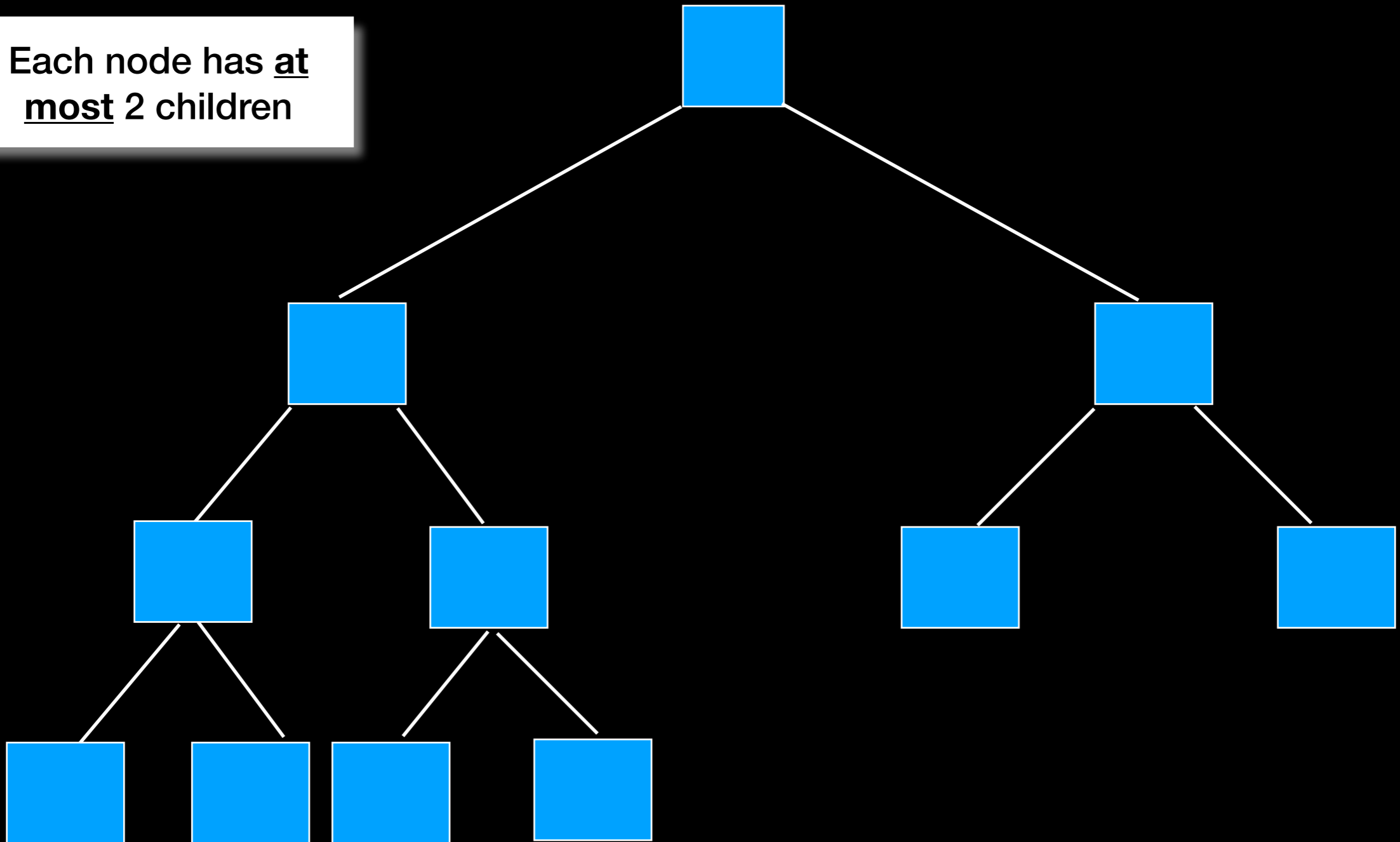


# Binary Tree ADT



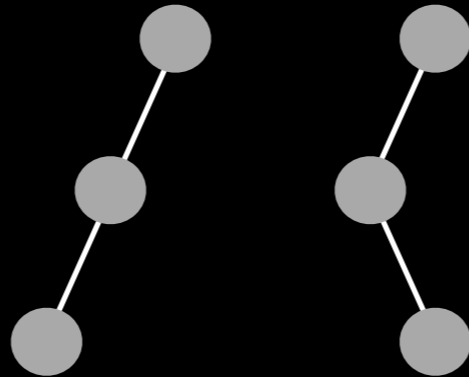
# BinaryTree

Each node has at most 2 children





# Different shapes/structures

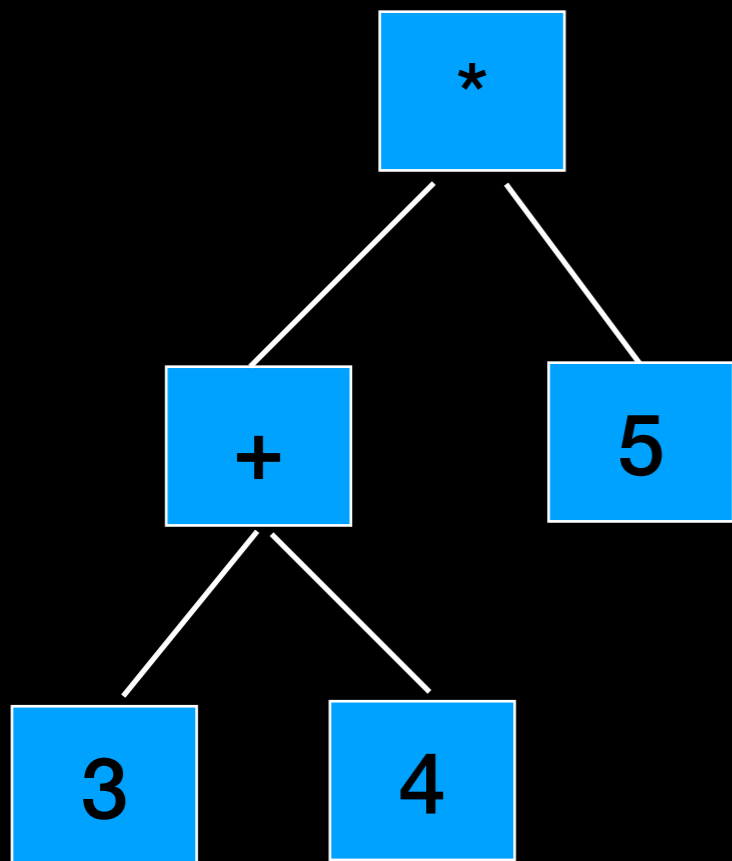


**Both  $h = 3$  and one leaf  
But different**

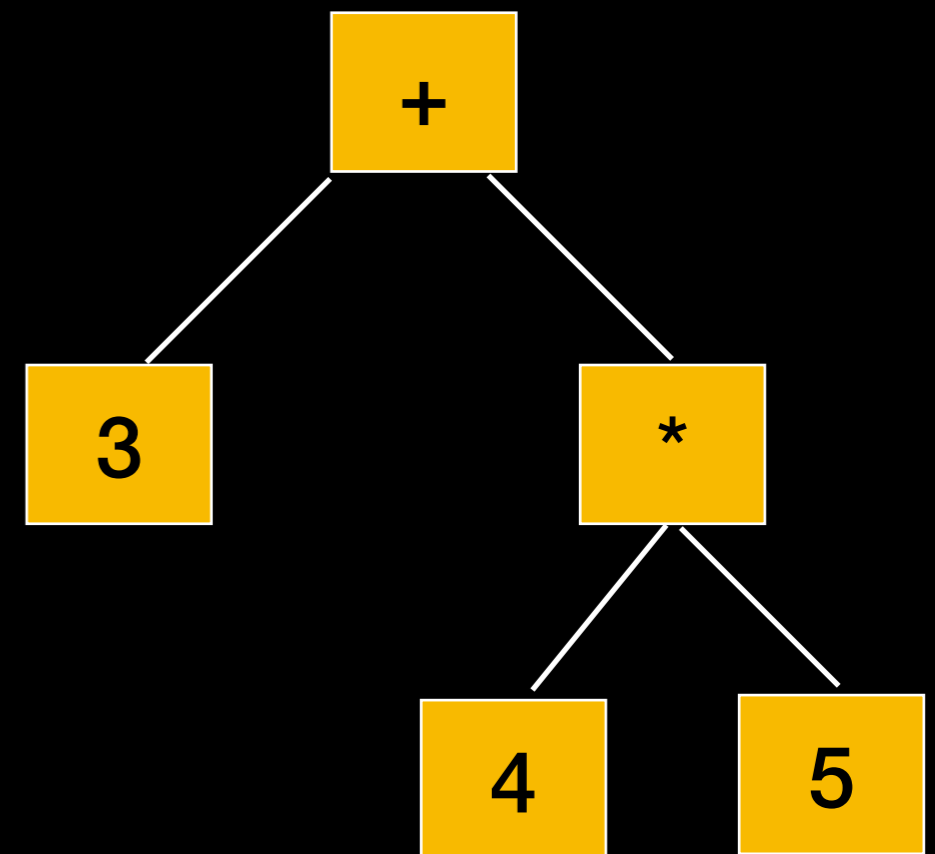
# Binary Tree Applications

# Algebraic Expressions

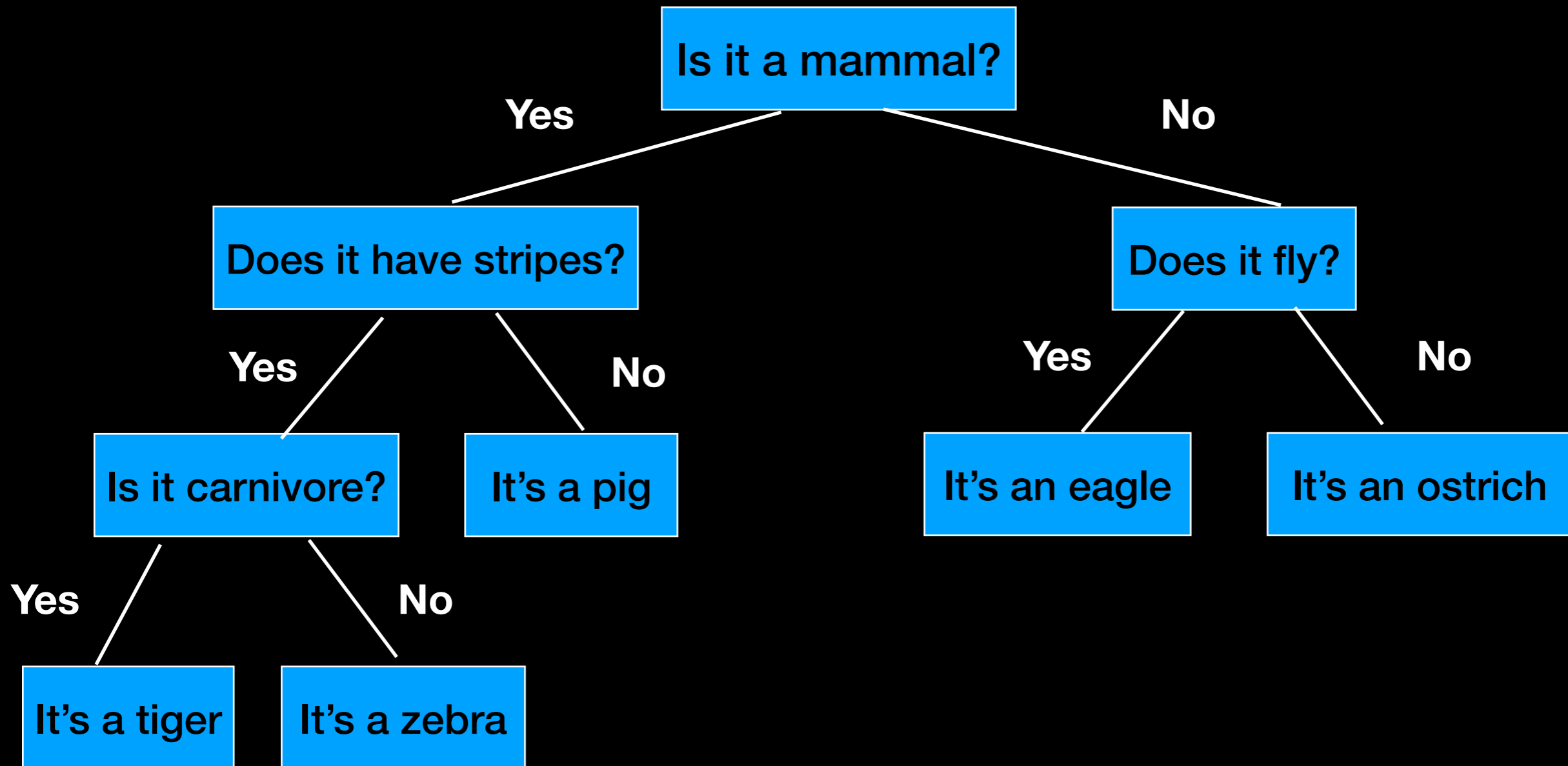
$$(3 + 4) * 5$$



$$3 + 4 * 5$$



# Decision Tree



# Huffman Tree

## Huffman Encoding Compression Algorithm (Huffman Encoding):

“In 1951, David A. Huffman for his MIT Information Theory class term paper hit upon the idea of using a frequency-sorted binary tree and quickly proved this method the most efficient.”

**IDEA:** Encode symbols into a sequence of bits s.t. **most frequent symbols have shortest encoding**

Not encryption but **compression** => use shortest code for most frequent symbols

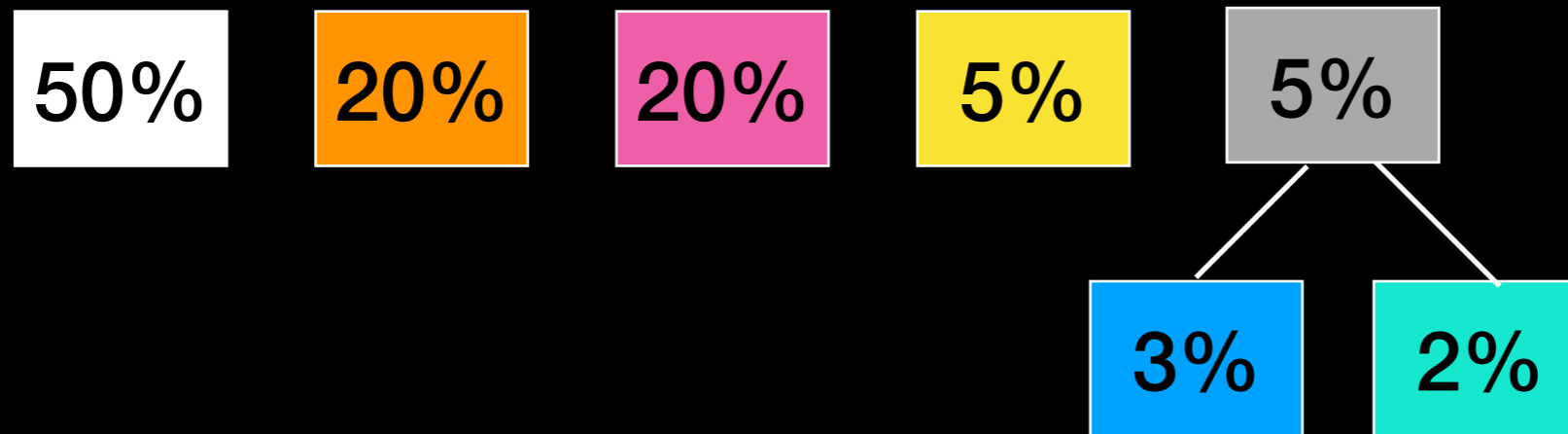
**No codeword is prefix to another codeword** (i.e. if a symbol is encoded as 00 no other codeword can start with 00)

# Huffman Tree

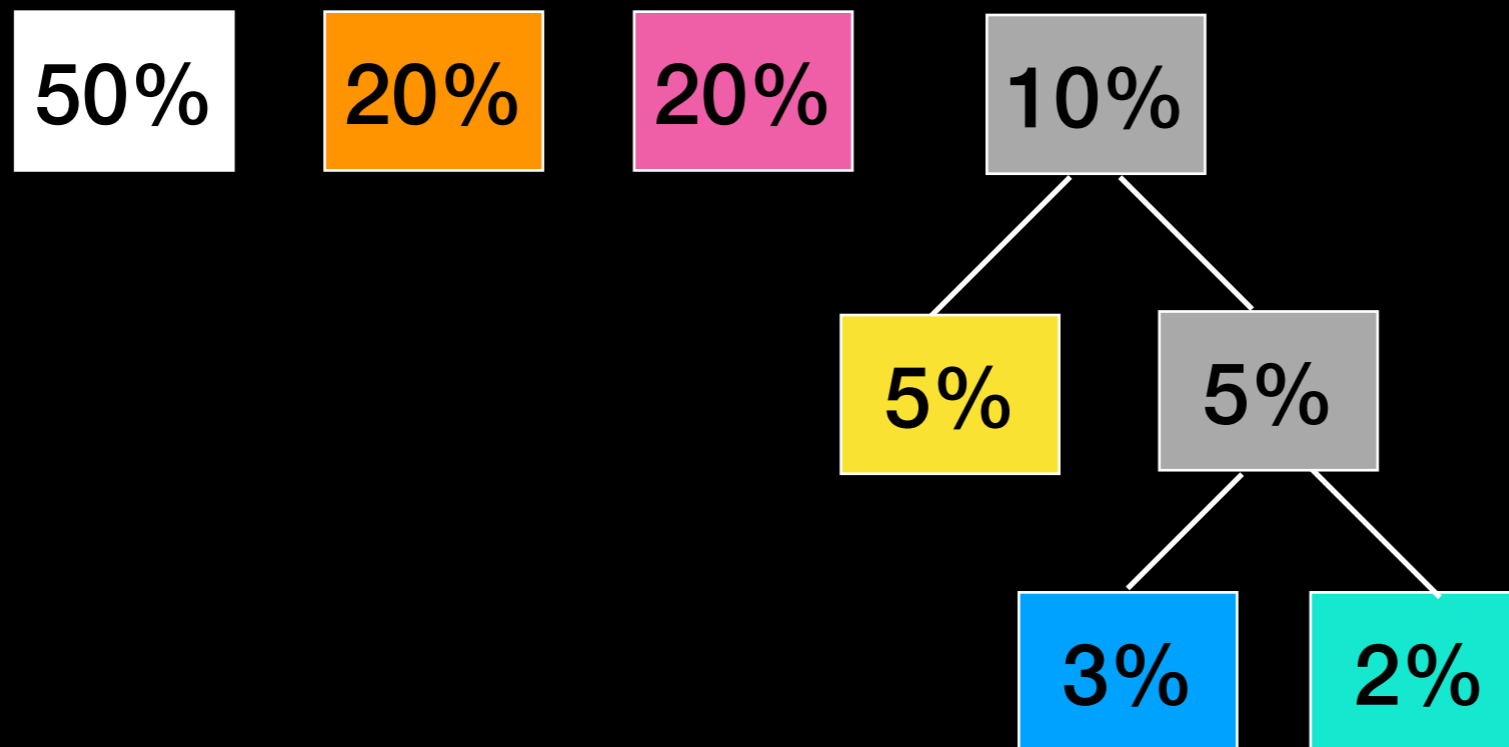




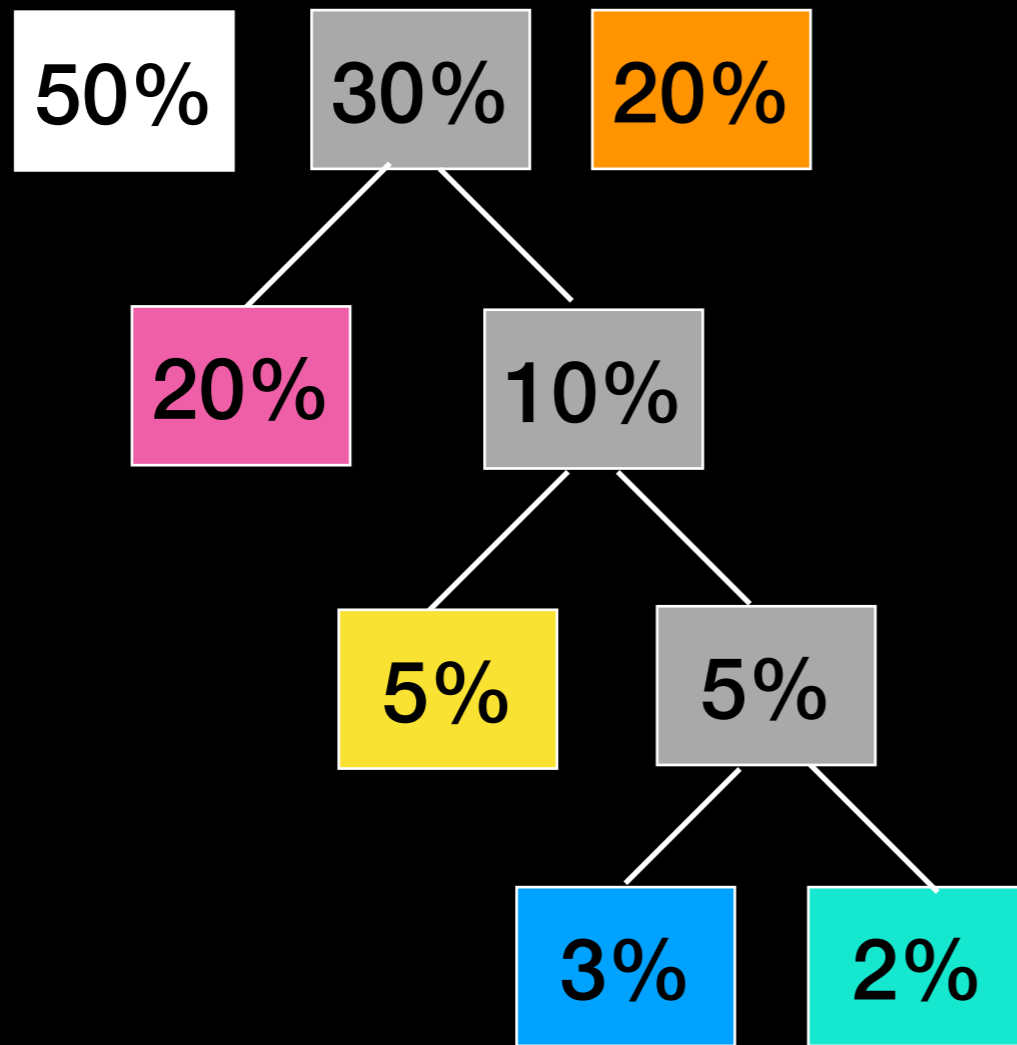
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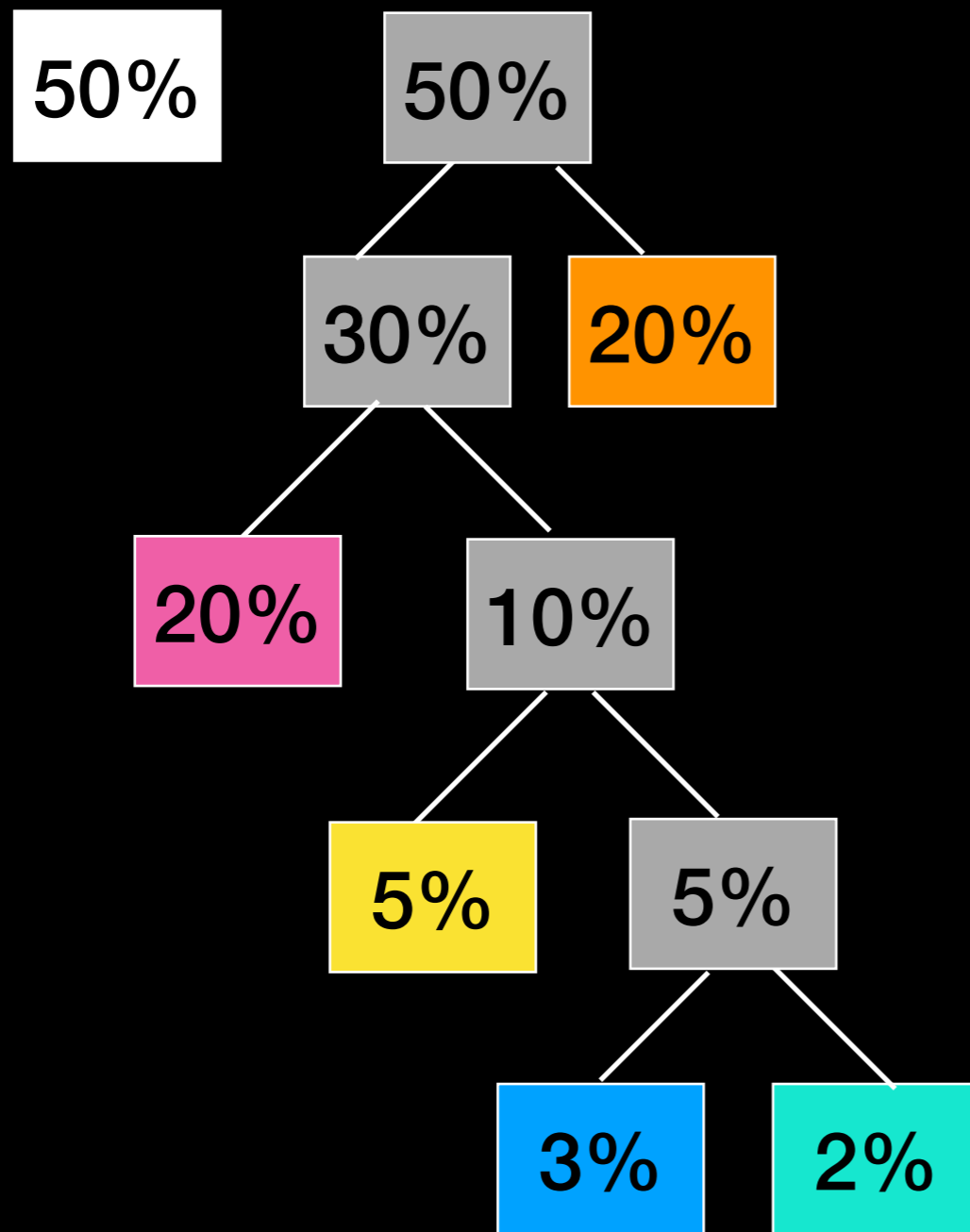
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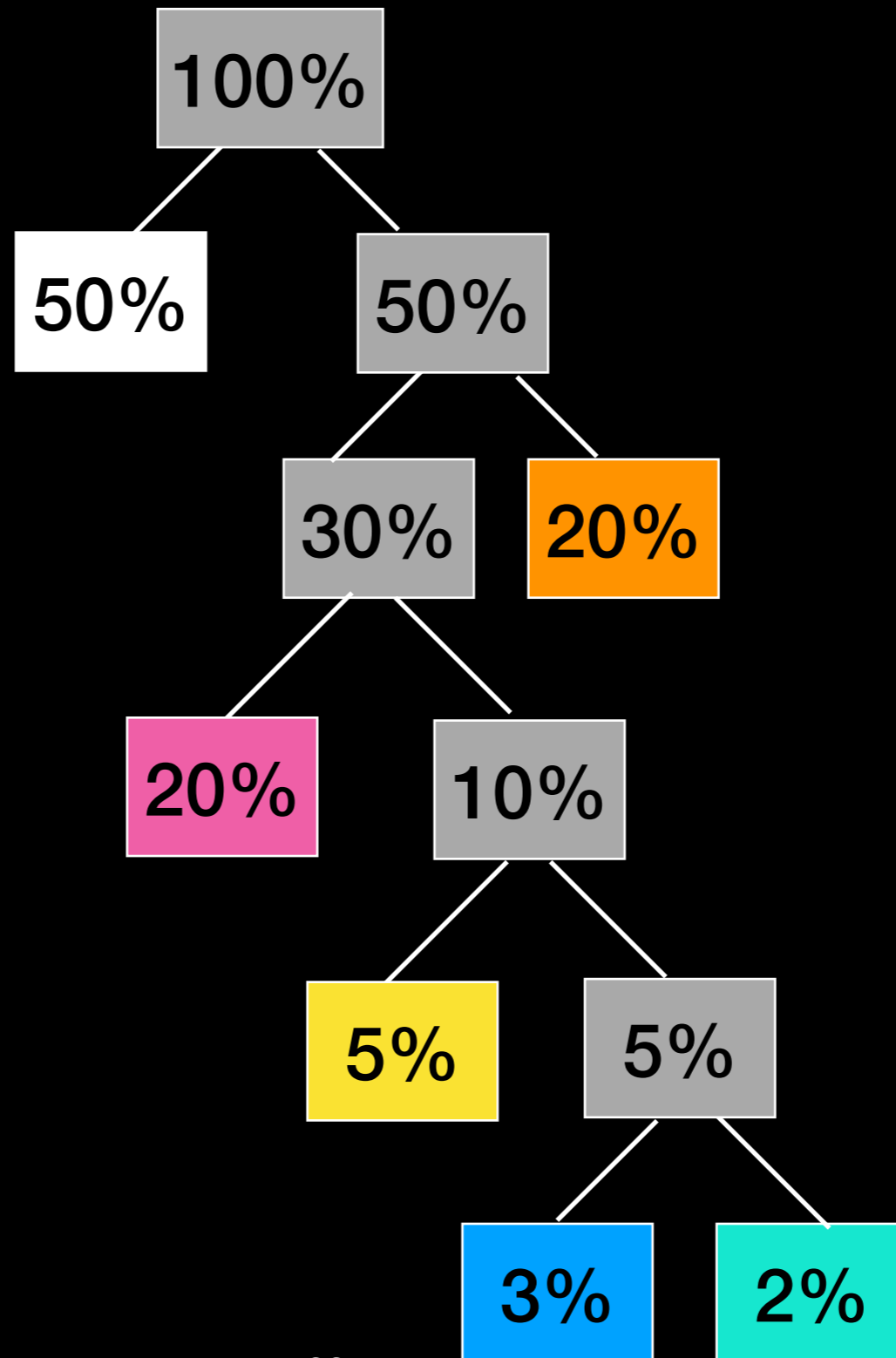
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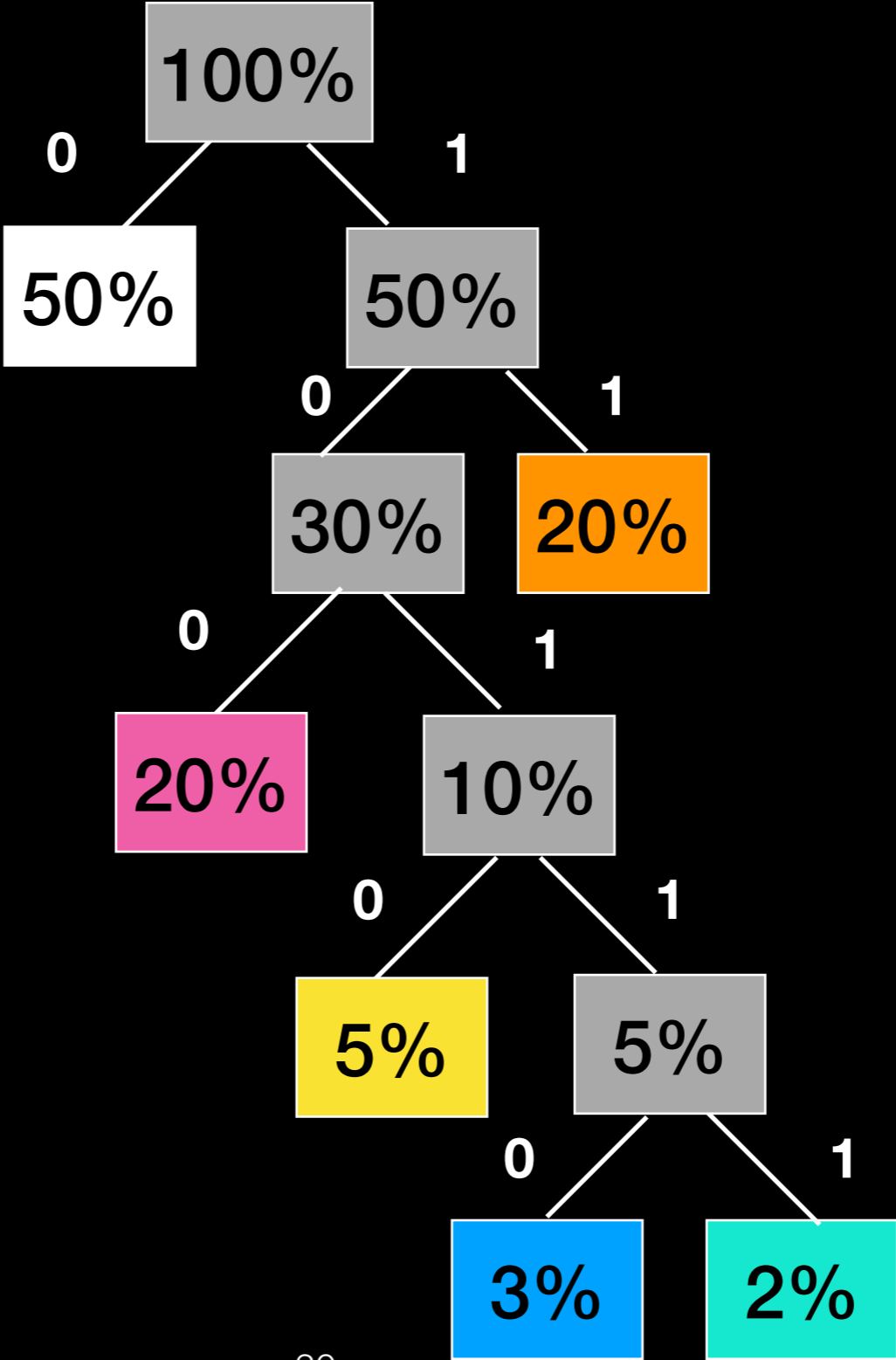
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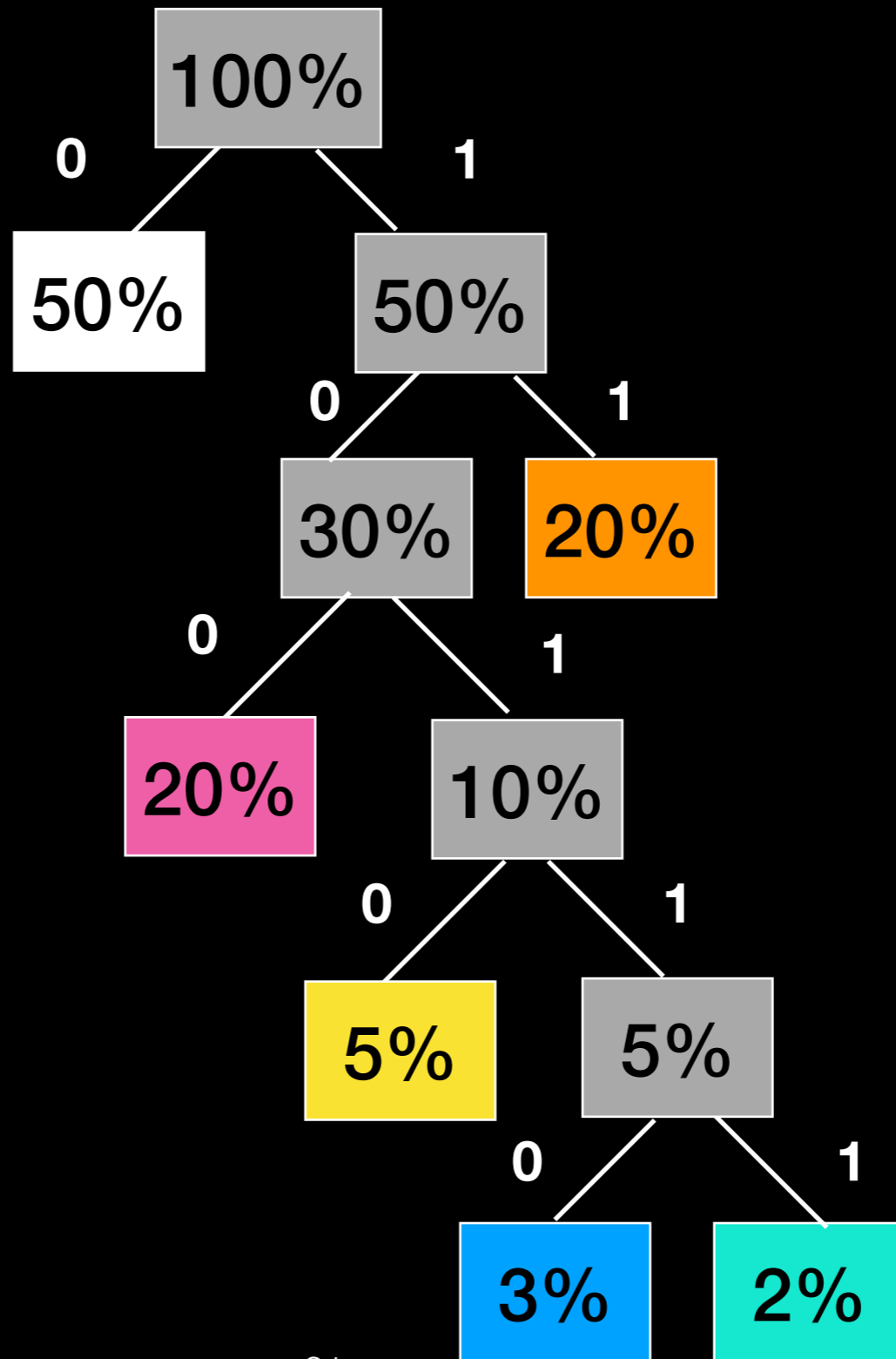
# Huffman Tree



# Huffman Tree



# Huffman Tree



0

11

100

1010

10110

10111

# Lecture Activity

Think about structure!

Draw **ALL POSSIBLE** binary trees with 4 nodes

Label each tree with its height and number of leaves.



## Binary Trees - 4 Vertices

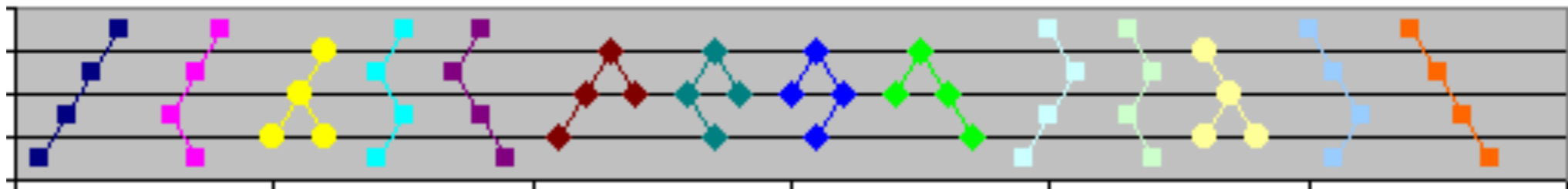
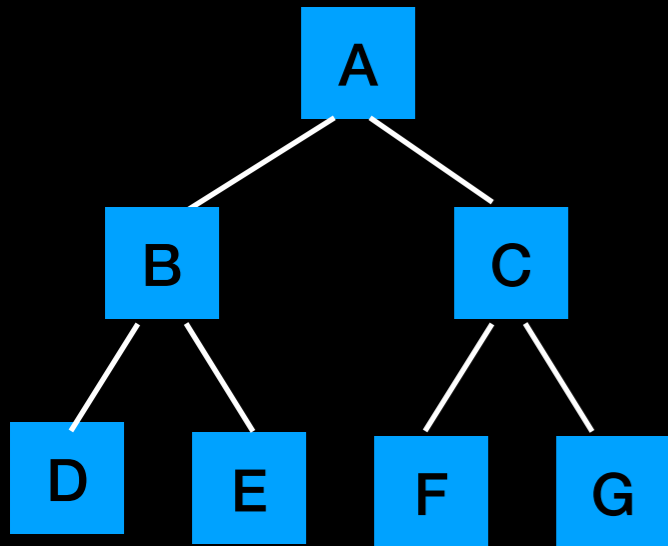


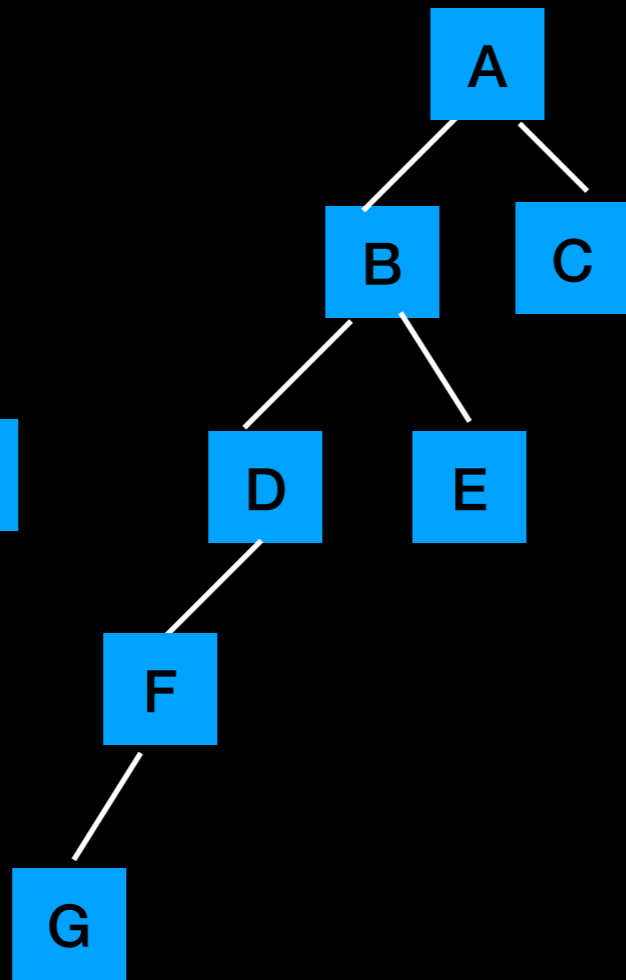
IMAGE FROM : <http://www.durangobill.com/BinTrees.html>

# Tree Structure

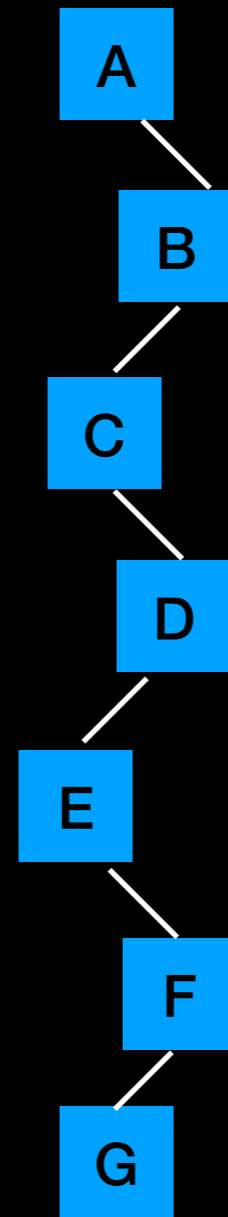
$h = 3$



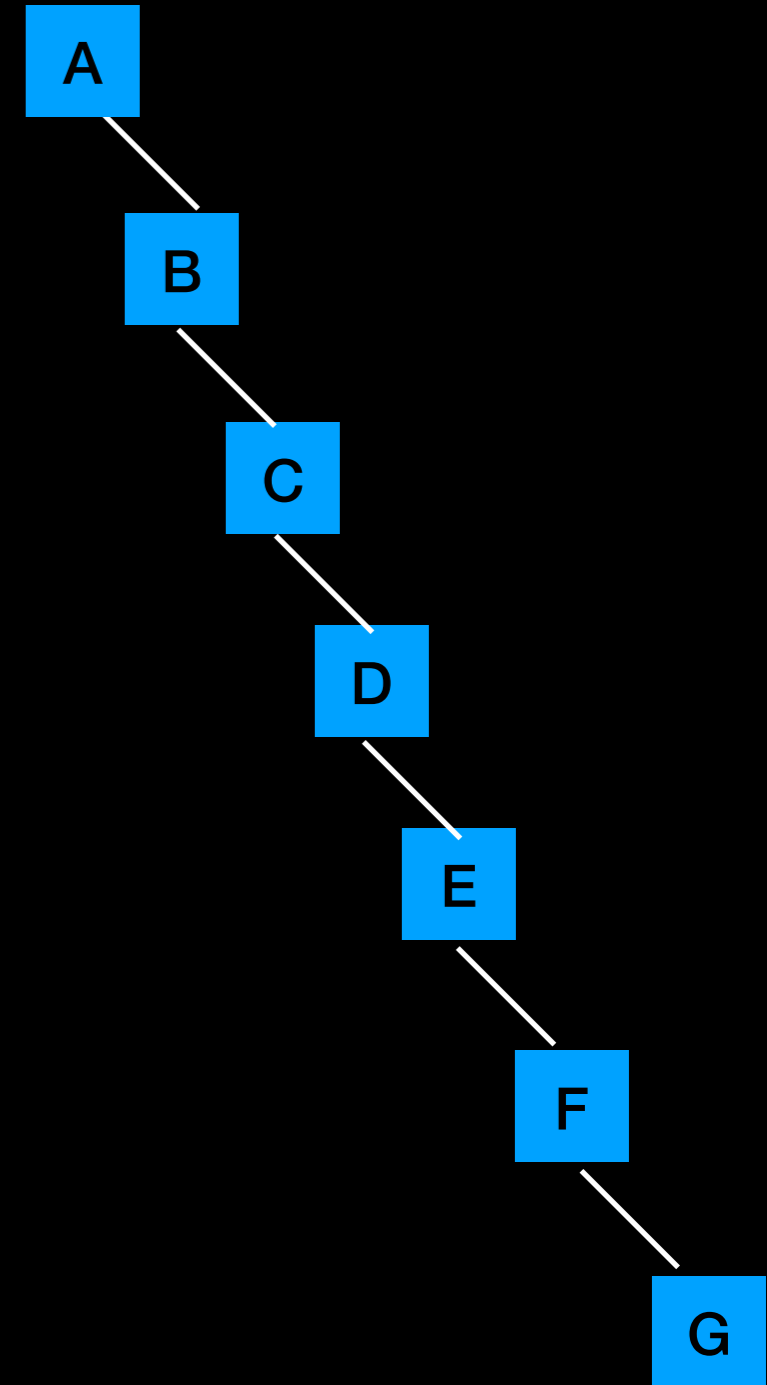
$h = 5$



$h = 7$



$h = 7$

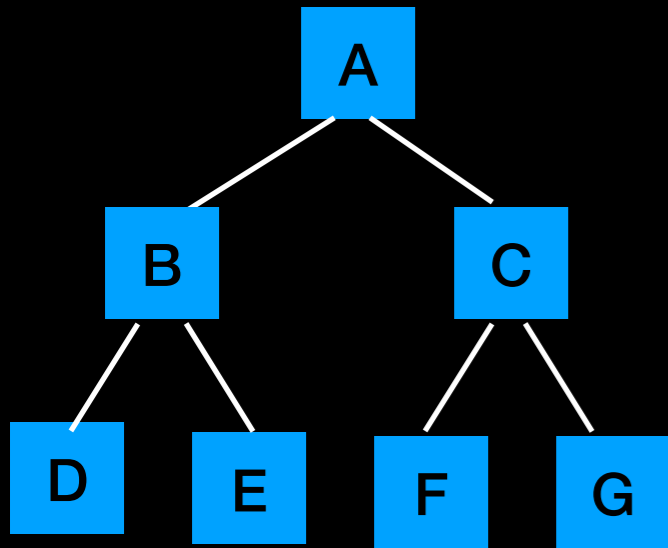


Structure definitions may vary across  
different sources.

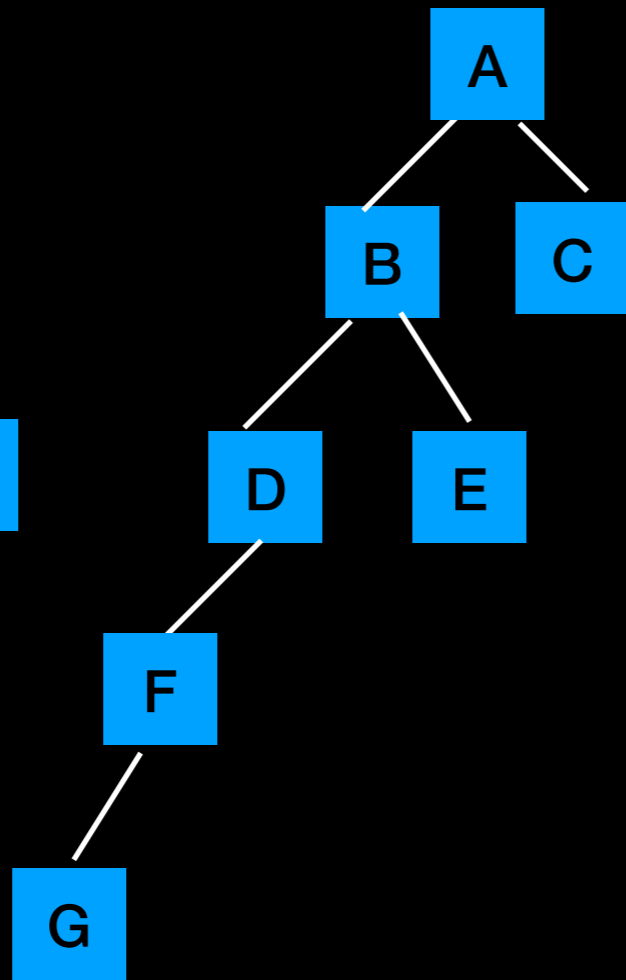
The following comes from your textbook and  
will be used in this course and on exams

# Tree Structure

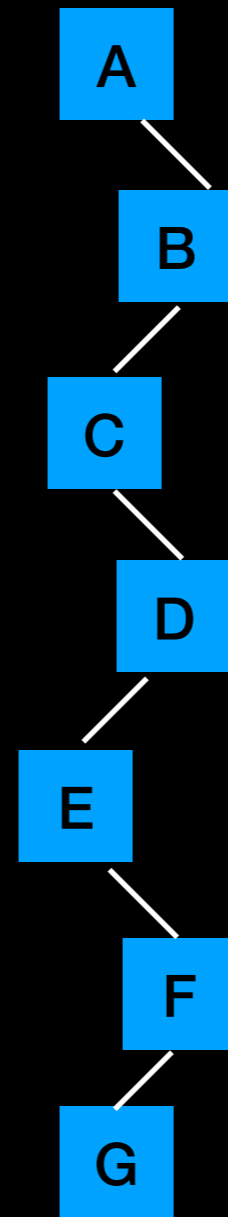
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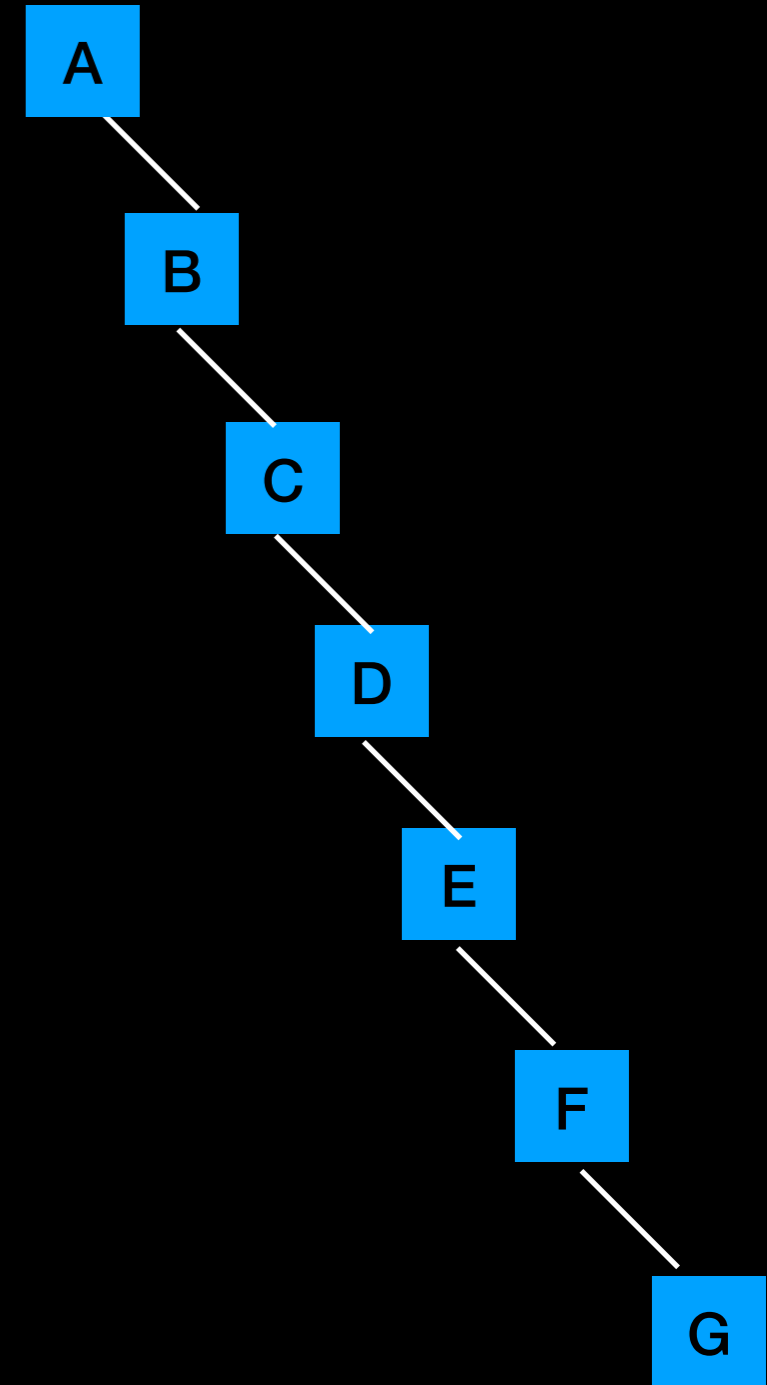
$h = 5$



$h = 7$



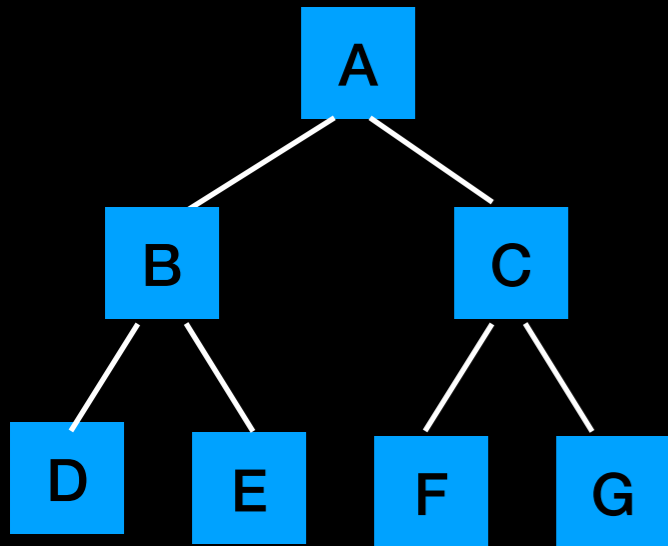
$h = 7$



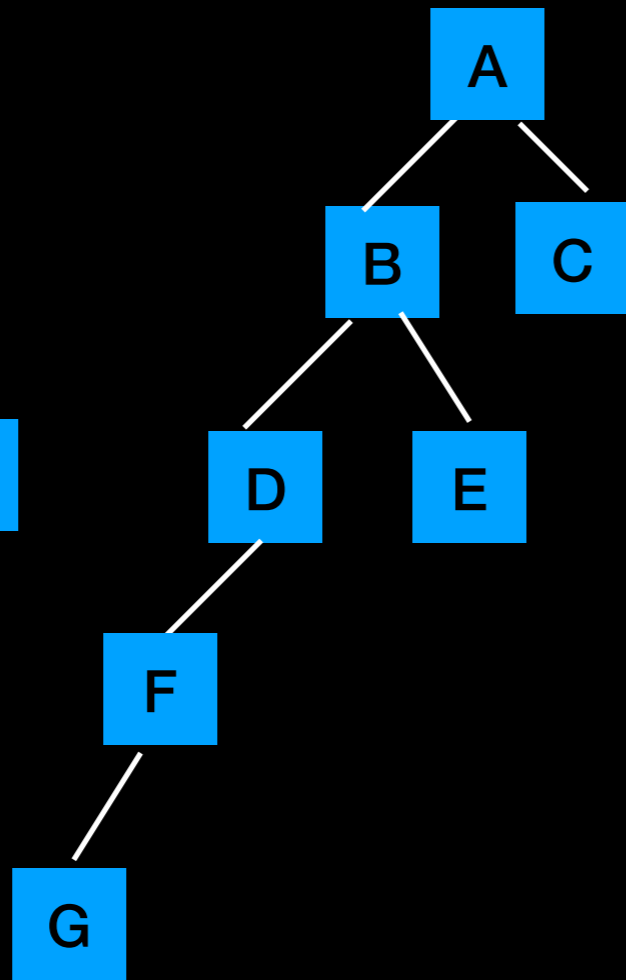
**What is the maximum (minimum) height of a tree with 7 nodes?**

# Tree Structure

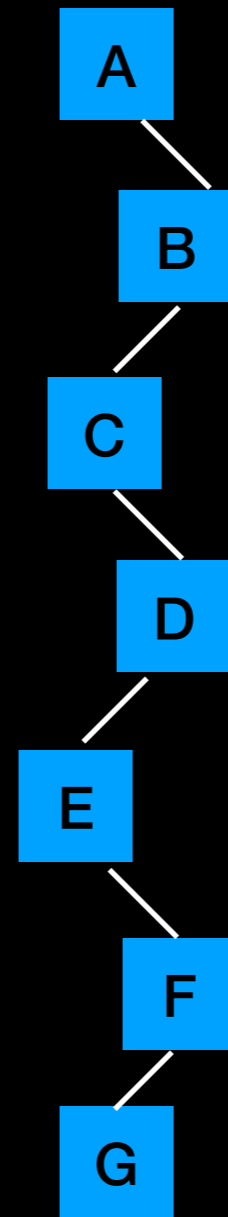
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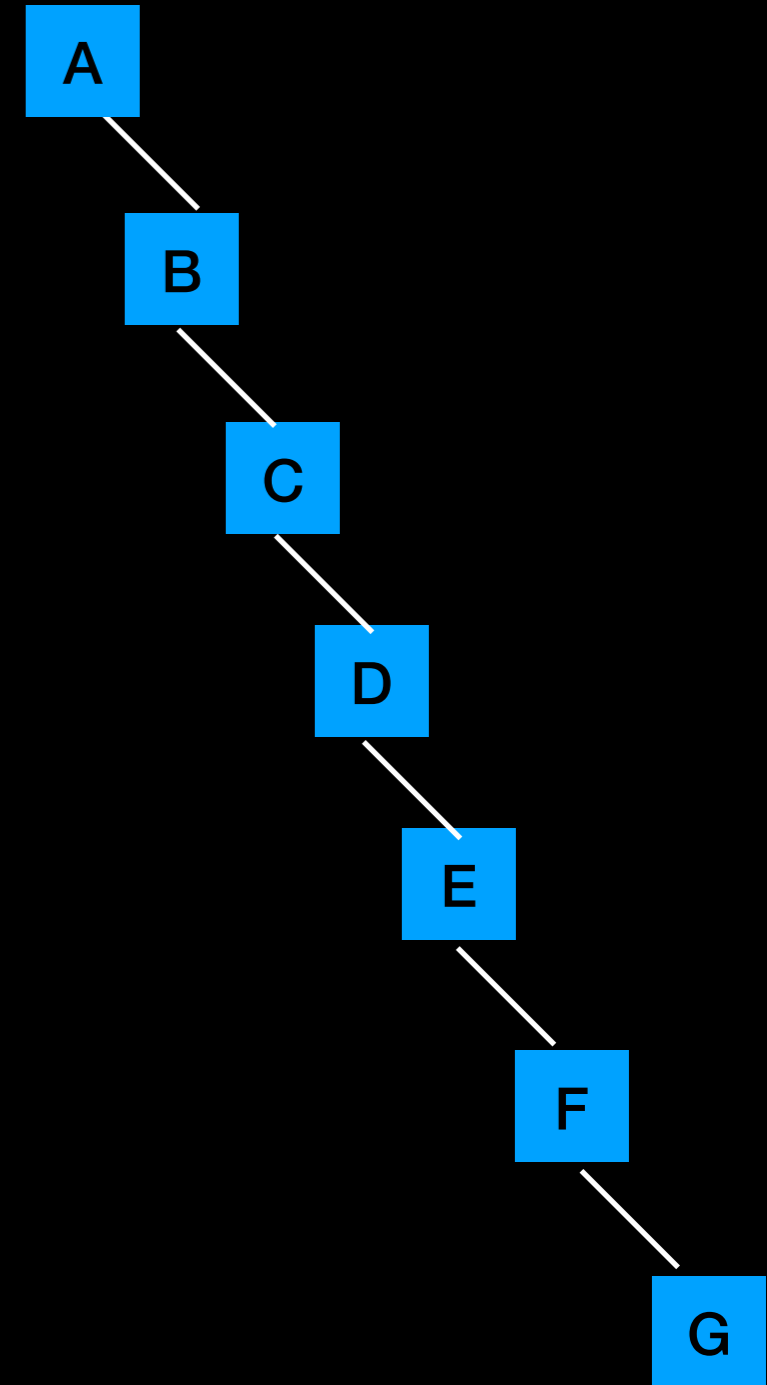
$h = 5$



$h = 7$



$h = 7$



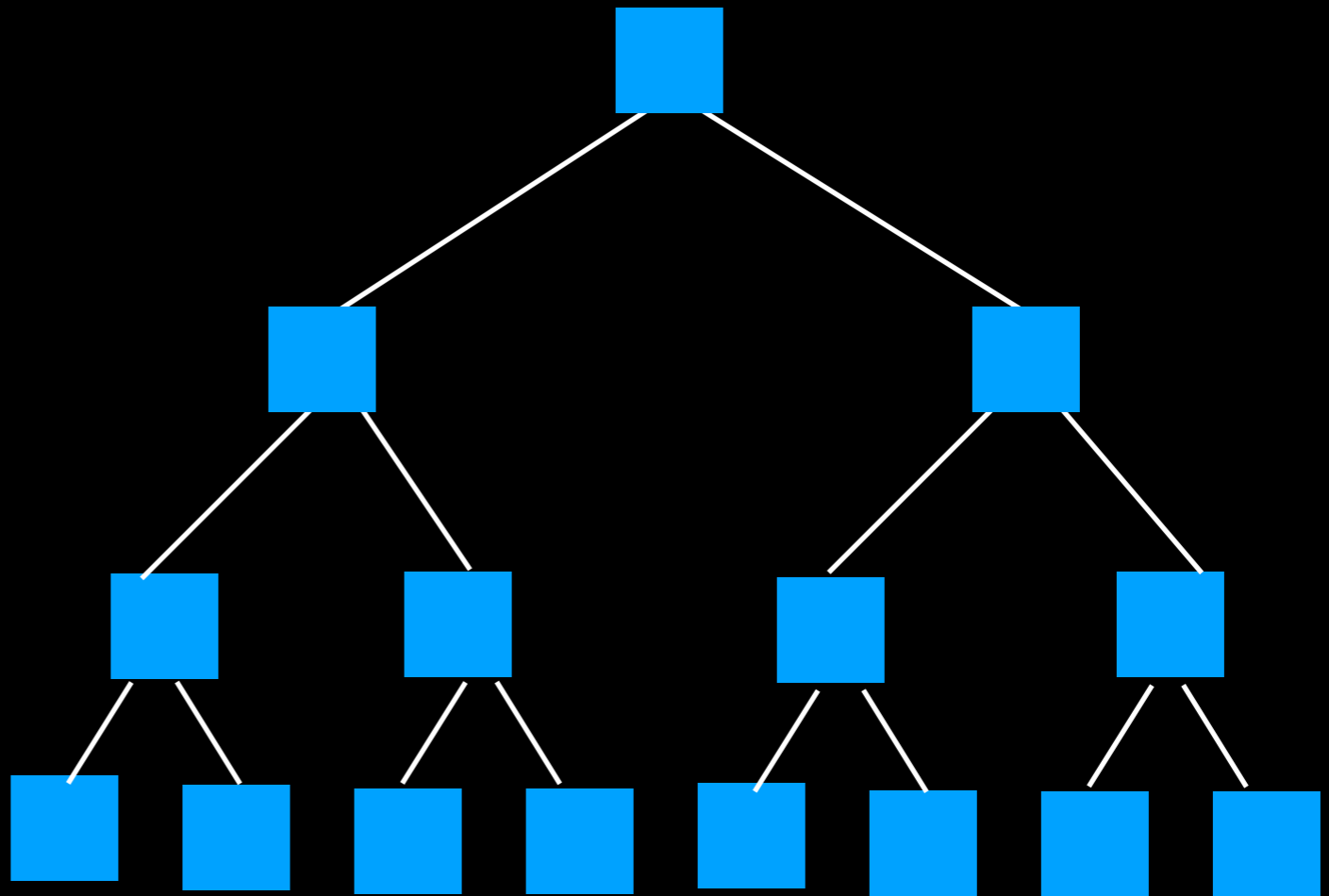
**WE WILL LOOK AT THE  
GENERAL ANSWER NEXT**

# Full Binary Tree

Every node that is not a leaf has **exactly 2 children**

Every node has **left and right subtrees of same height**

All **leaves** are at same **level  $h$**



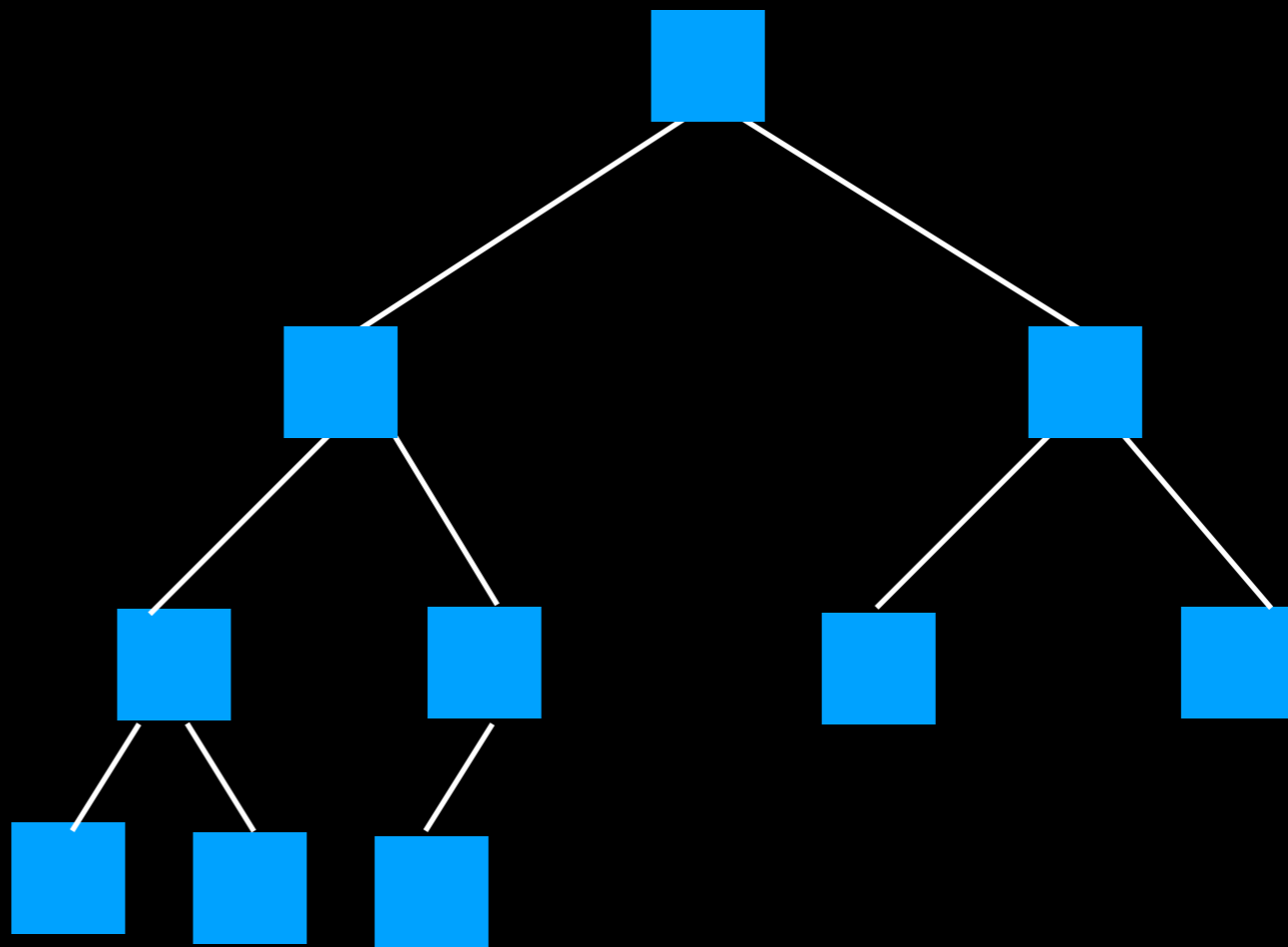
# Complete Binary Tree

A tree that is **full up to level  $h-1$** , with level  $h$  filled in from **left to right**

All nodes at levels  $h-2$  and above have exactly 2 children

When a node at level  $h-1$  has children, all nodes to its left have exactly 2 children

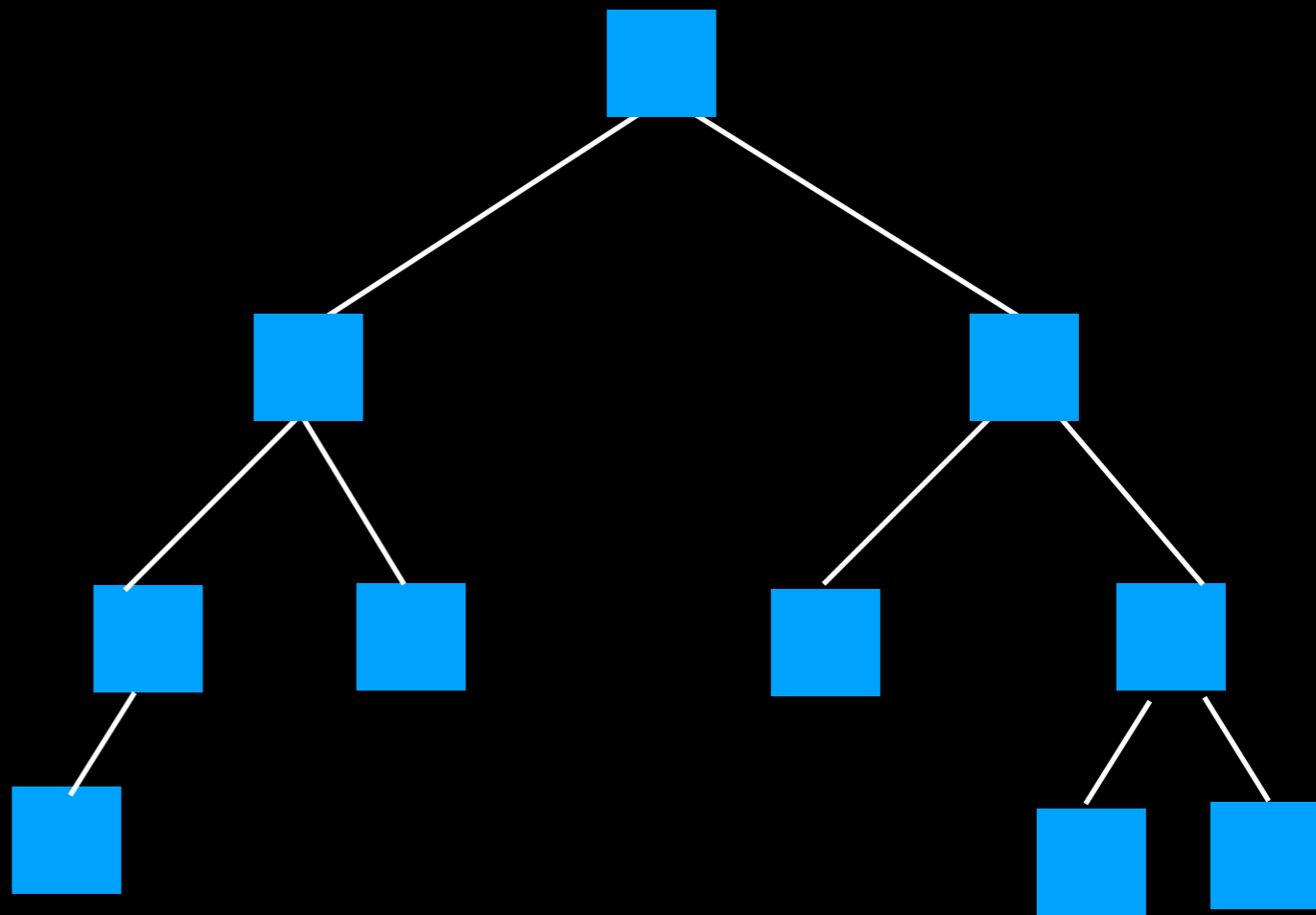
When a node at level  $h-1$  has one child, it is a left child



# (Height) Balanced Binary Tree

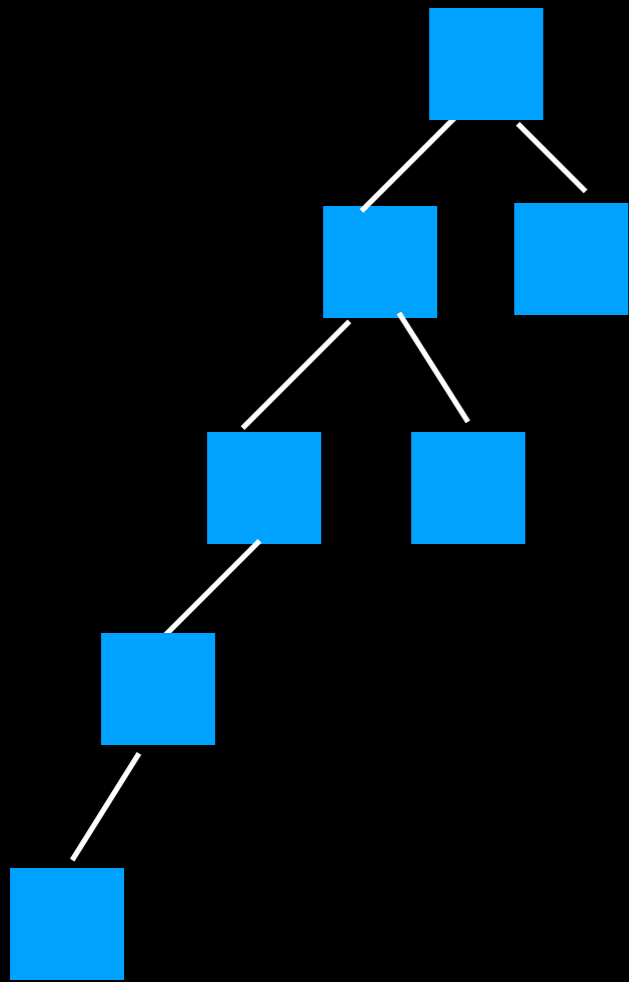
For any node, its **left and right subtrees differ in height by no more than 1**

All paths from root to leaf differ in length by at most 1

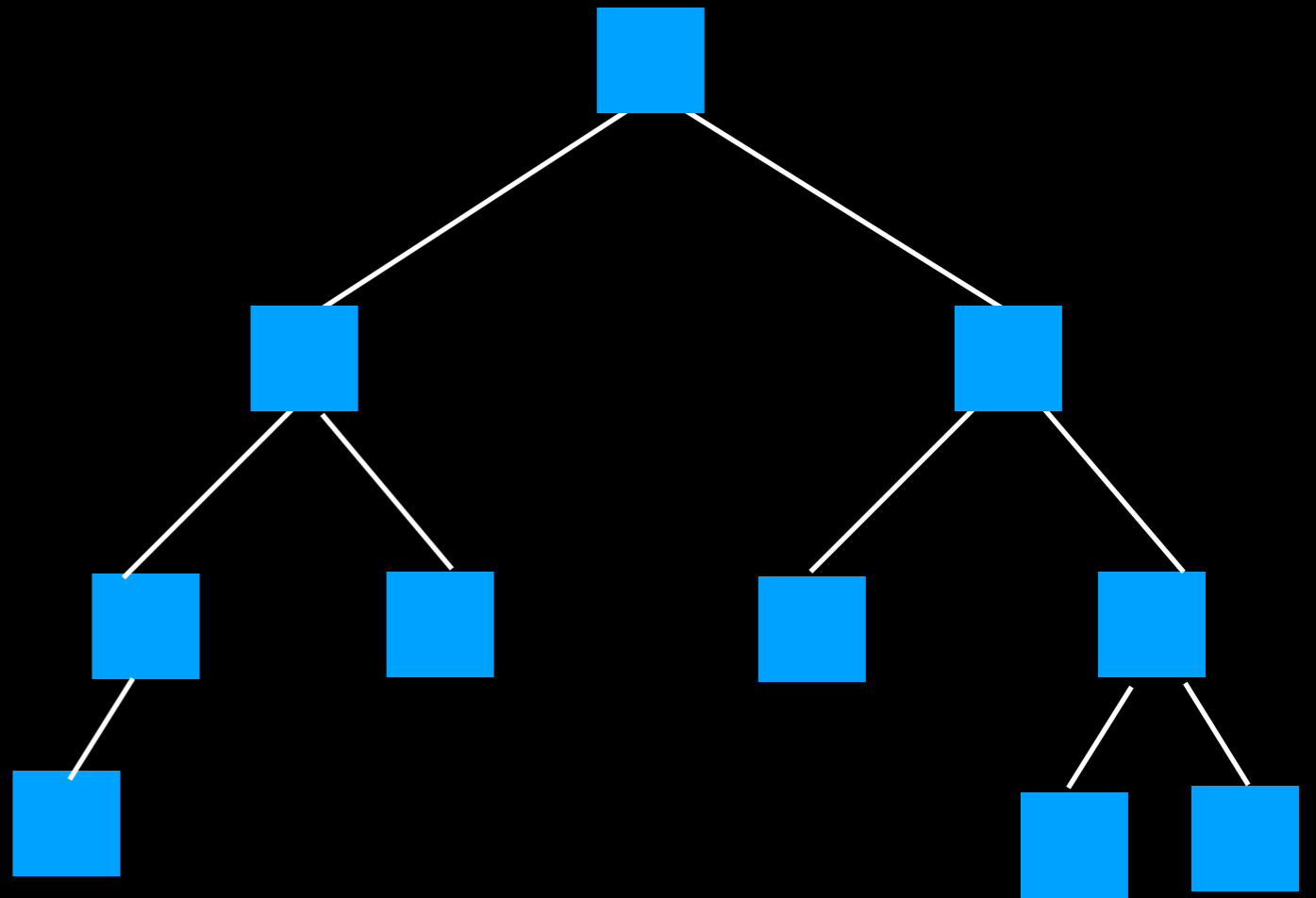




# Unbalanced



# Balanced



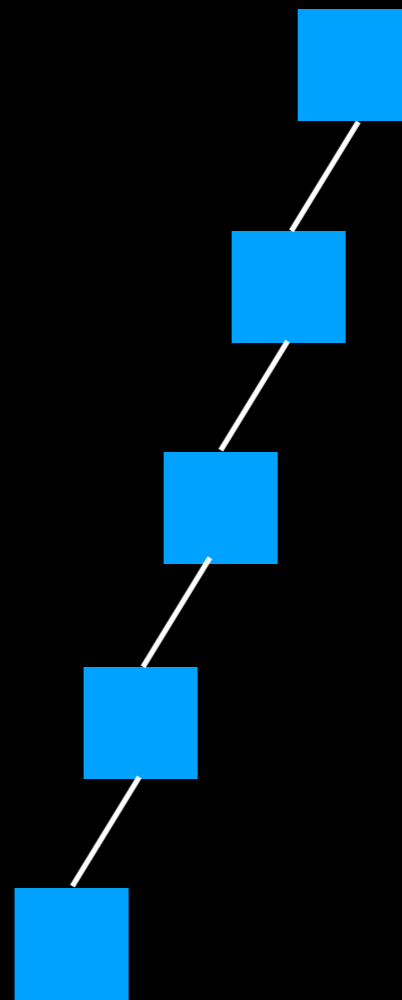
# Maximum Height

$n$  nodes

every node 1 child

$$h = n$$

Essentially a chain



# Minimum Height

Binary tree of height  $h$  can have up to  $n = 2^h - 1$

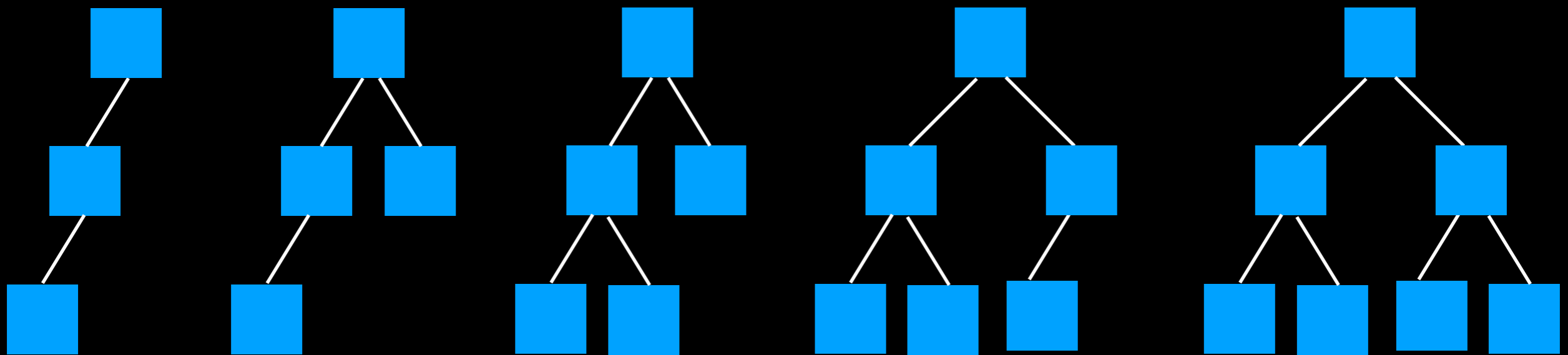
For example for  $h = 3$ ,  $1 + 2 + 4 = 7 = 2^3 - 1$

$h = \log_2 (n+1)$  for a **full binary tree**

For example:

1,000 nodes  $h \approx 10$  ( $1,000 \approx 2^{10}$ )

1,000,000 nodes  $h \approx 20$  ( $10^6 \approx 2^{20}$ )



# Minimum Height

Binary tree of height  $h$  can have up to  $n = 2^h - 1$

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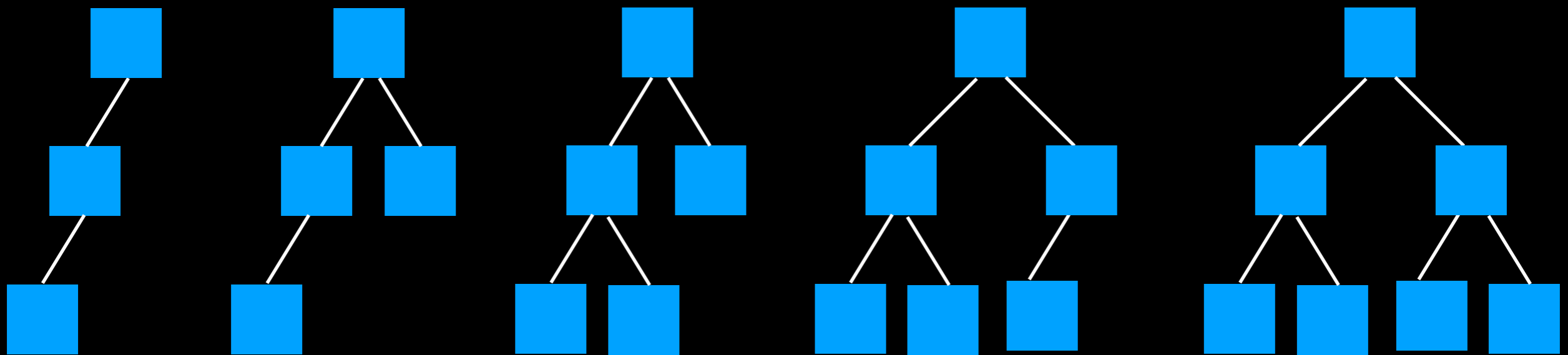
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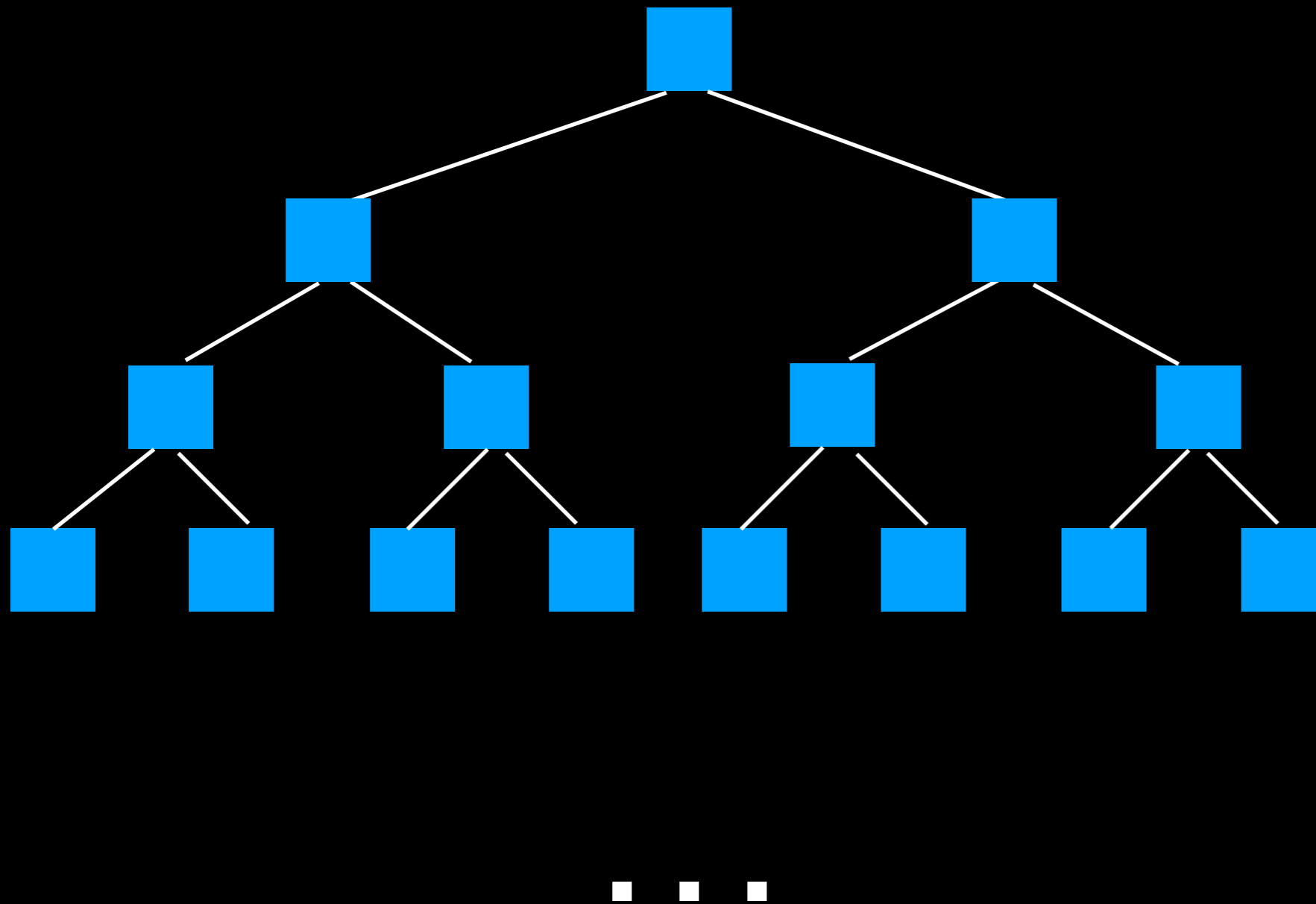
**1,000 nodes**  $h \approx 10$  ( $1,000 \approx 2^{10}$ )

**1,000,000 nodes**  $h \approx 20$  ( $10^6 \approx 2^{20}$ )

Recall analysis of  
Divide and Conquer  
algorithms

Important when we  
will be looking for  
things in trees given  
some order!!!





In a full tree:

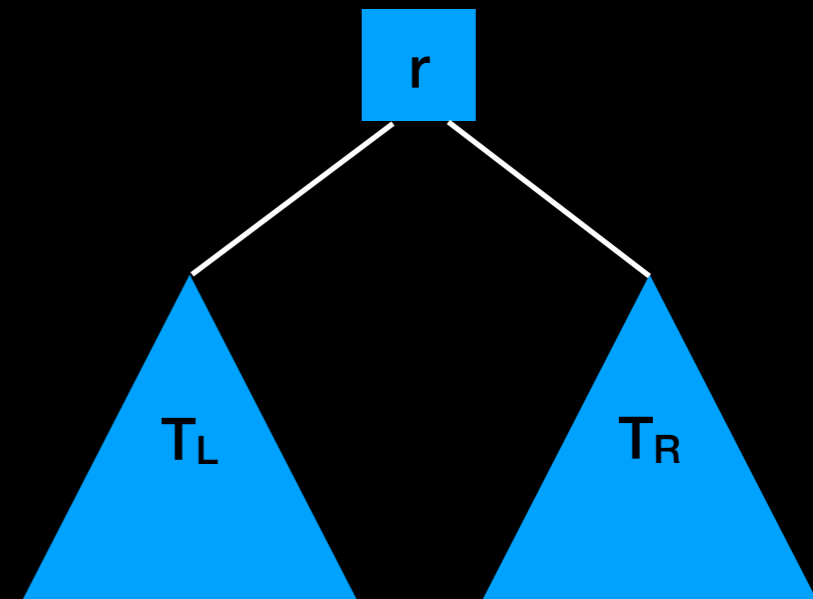
<b>h</b>	<b>n @ level</b>	<b>Total n</b>
<b>1</b>	<b><math>1 = 2^0</math></b>	<b><math>1 = 2^1 - 1</math></b>
<b>2</b>	<b><math>2 = 2^1</math></b>	<b><math>3 = 2^2 - 1</math></b>
<b>3</b>	<b><math>4 = 2^2</math></b>	<b><math>7 = 2^3 - 1</math></b>
<b>4</b>	<b><math>8 = 2^3</math></b>	<b><math>15 = 2^4 - 1</math></b>
<b>h</b>	<b><math>2^{h-1}</math></b>	<b><math>2^h - 1</math></b>

# Binary Tree Traversals

**Visit** (retrieve, print, modify ...) **every node** in the tree

Essentially visit the root as well as it's subtrees

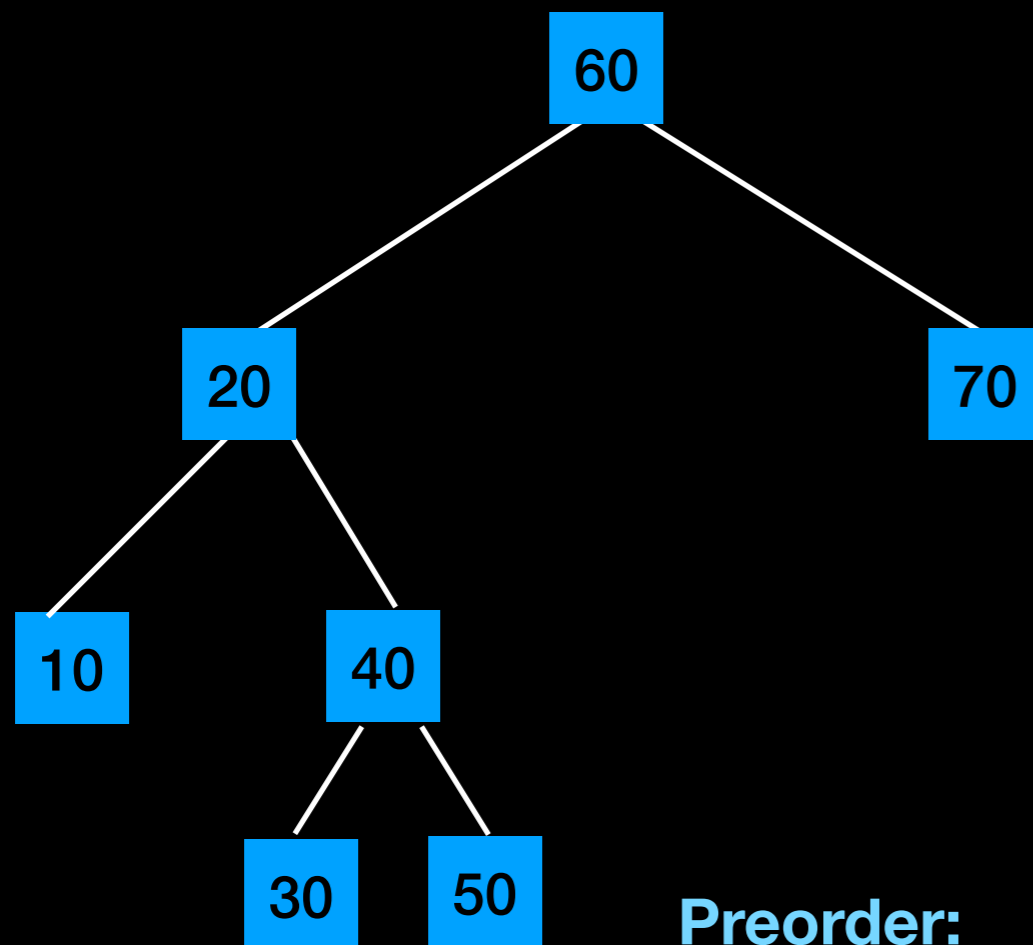
**Order matters!!!**



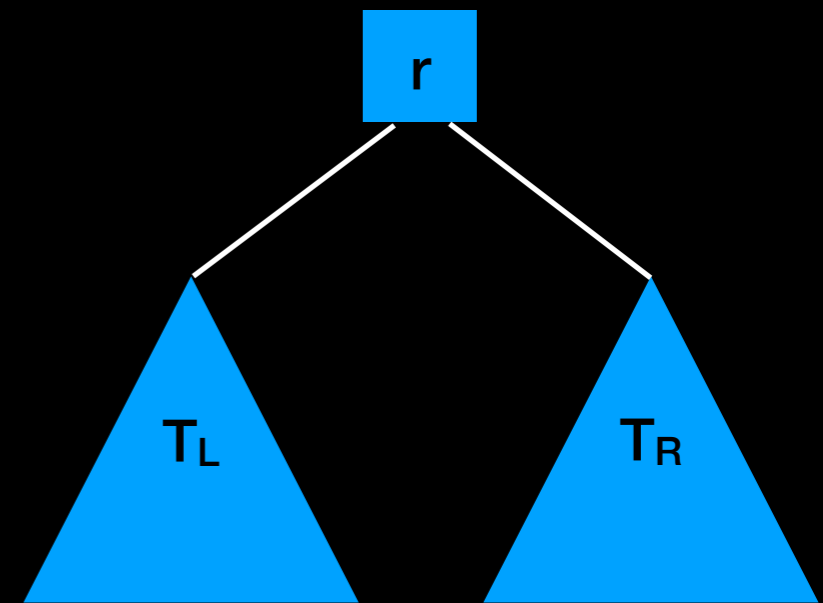
**Visit** (retrieve, print, modify ...) every node in the tree

### Preorder Traversal:

```
if (T is not empty) //implicit base case
{
  visit the root r
  traverse TL
  traverse TR
}
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**Preorder:**

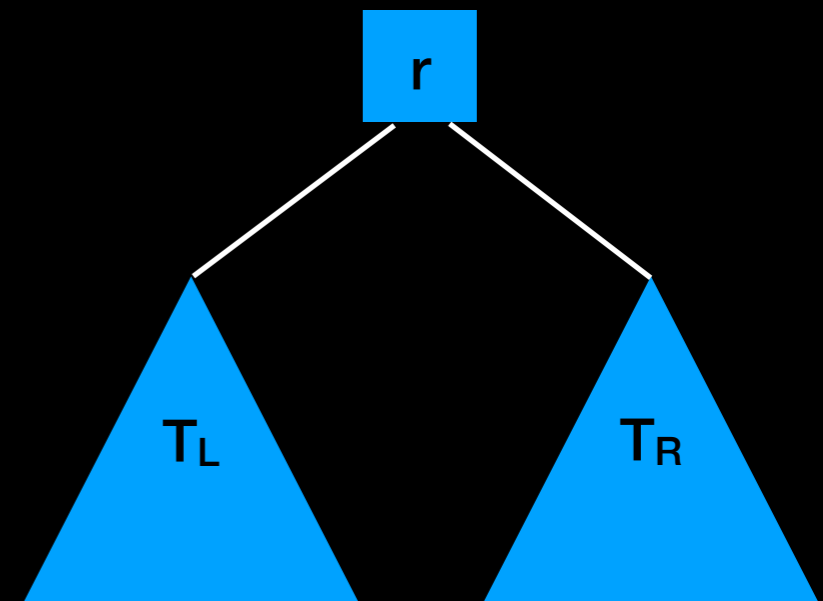
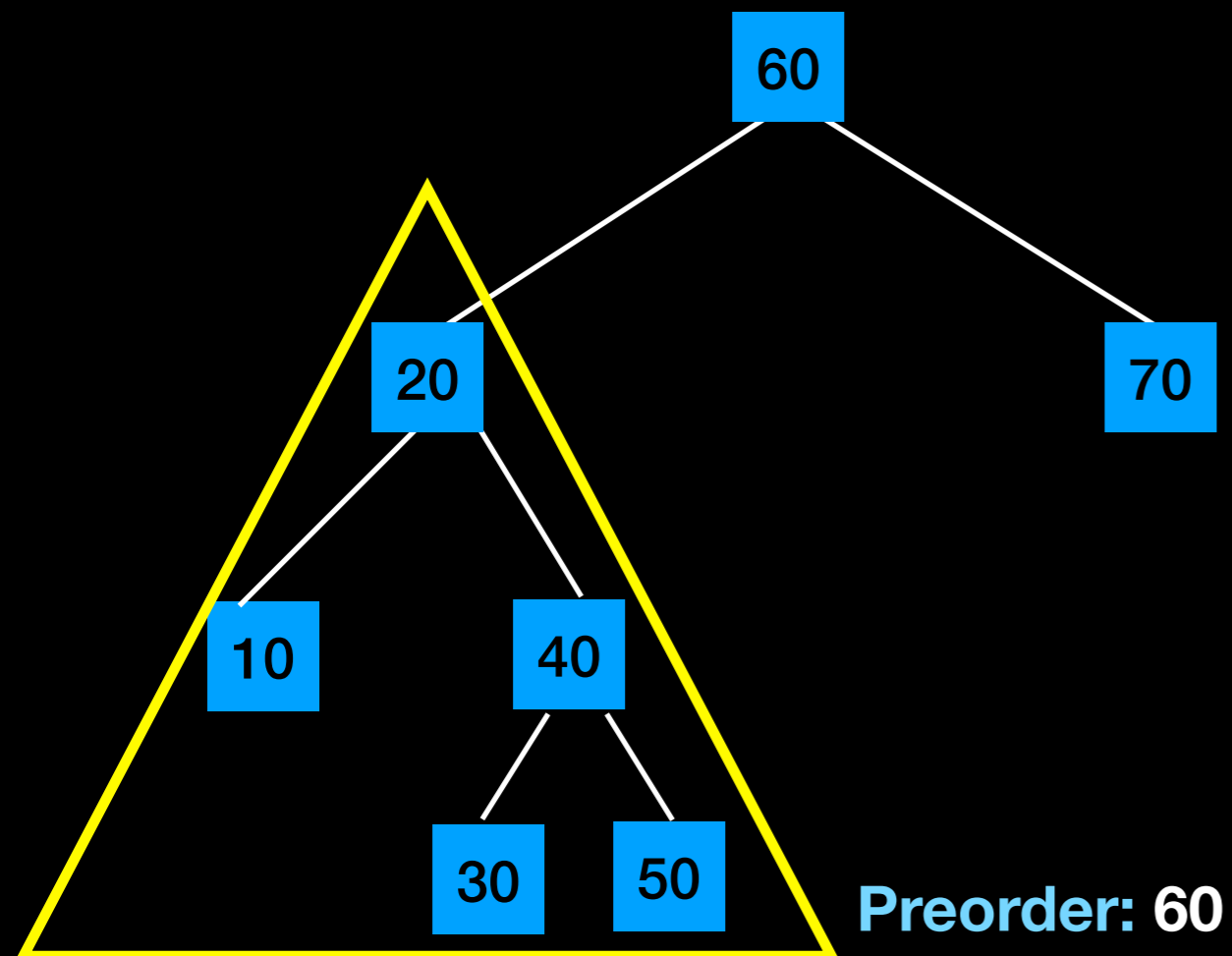




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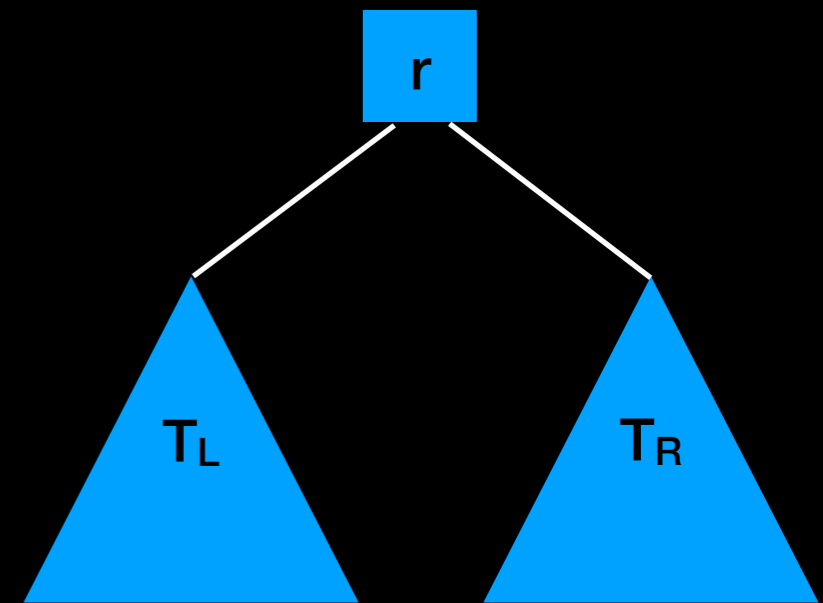
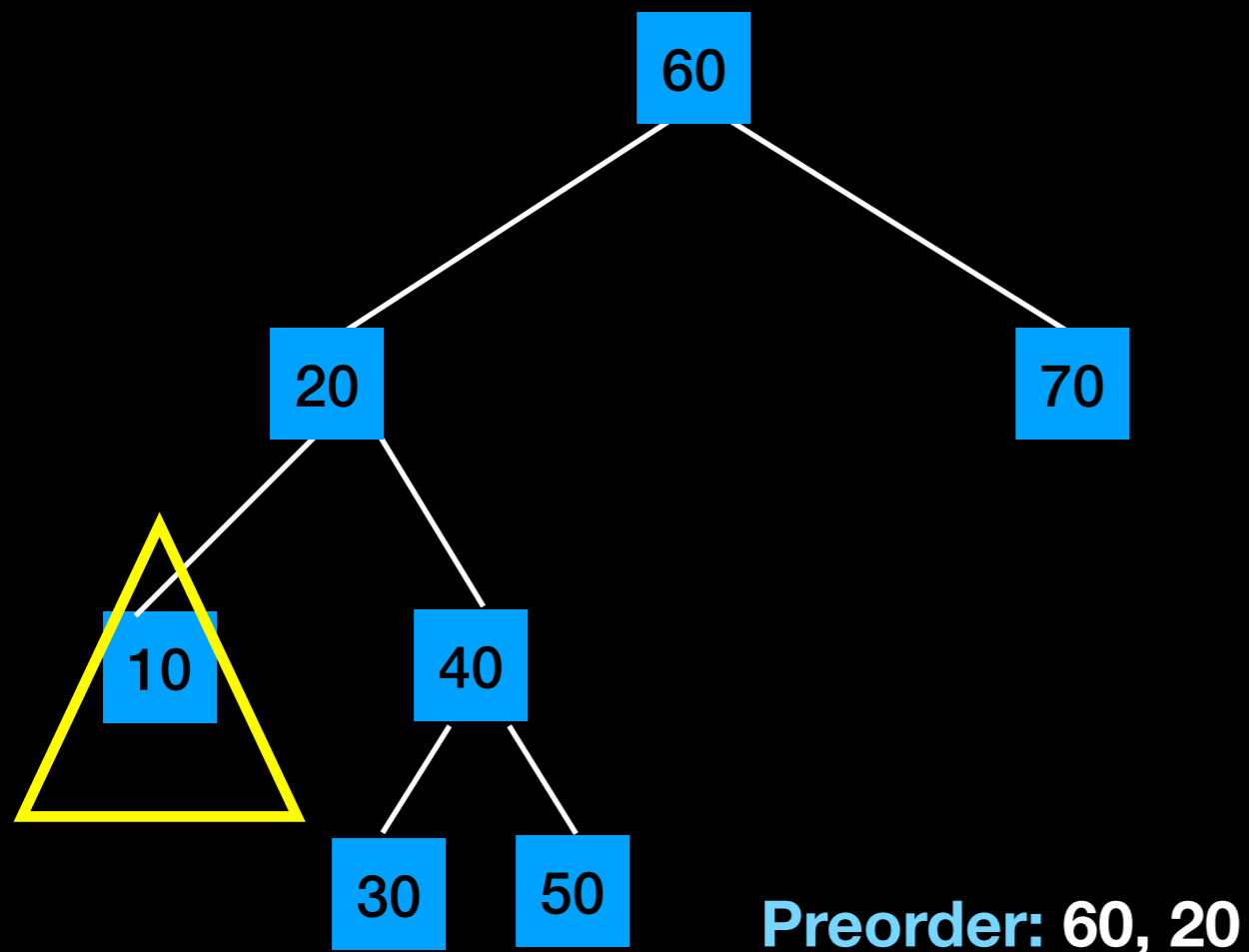
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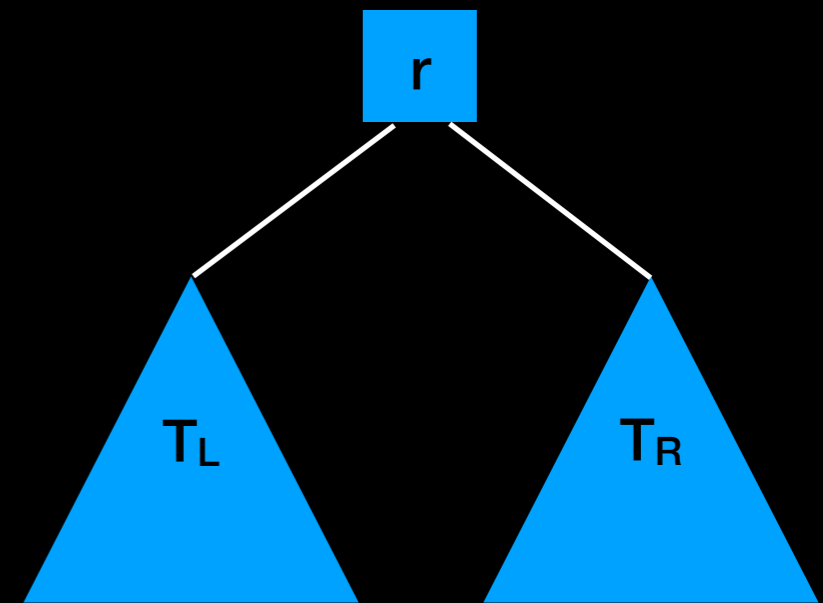
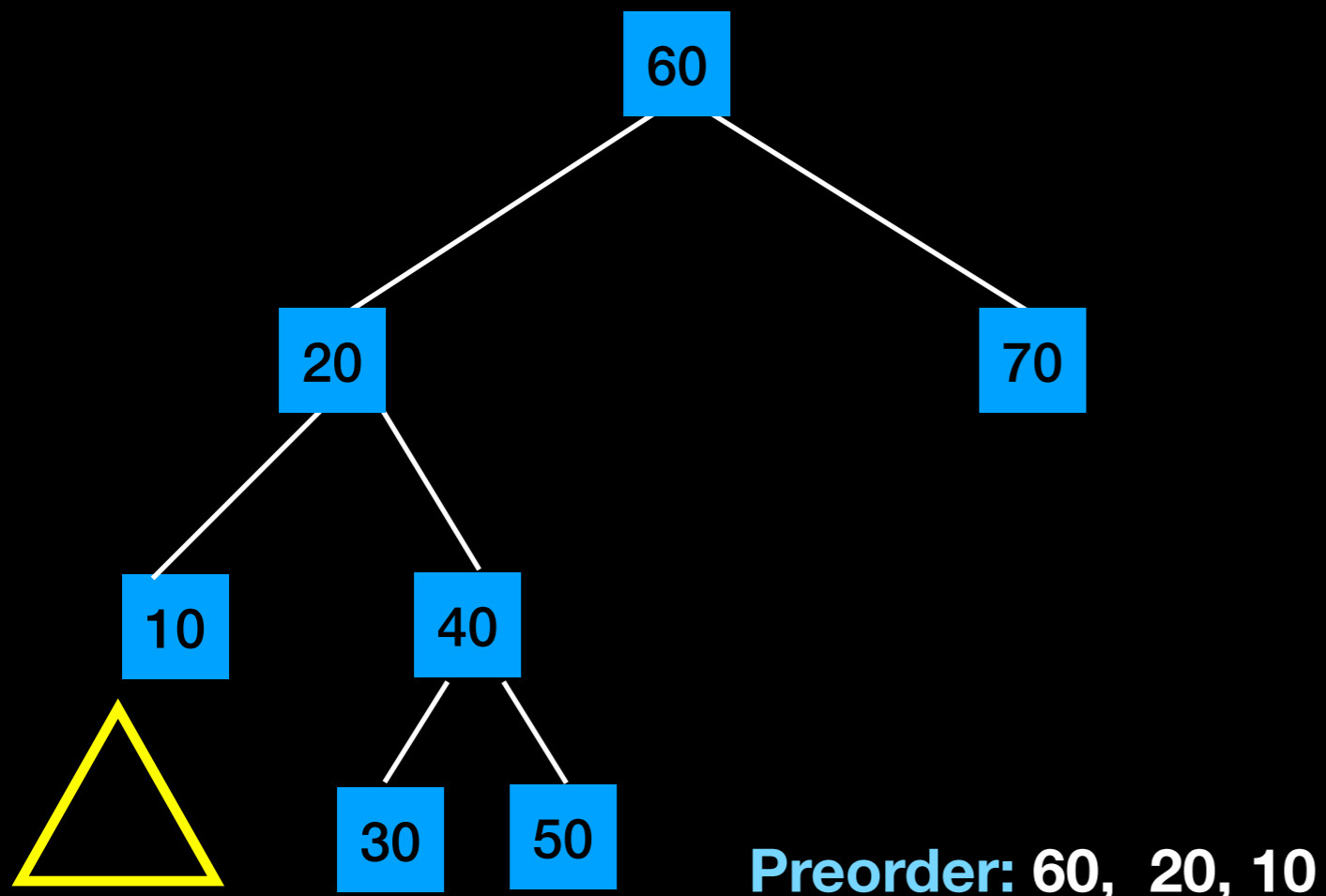
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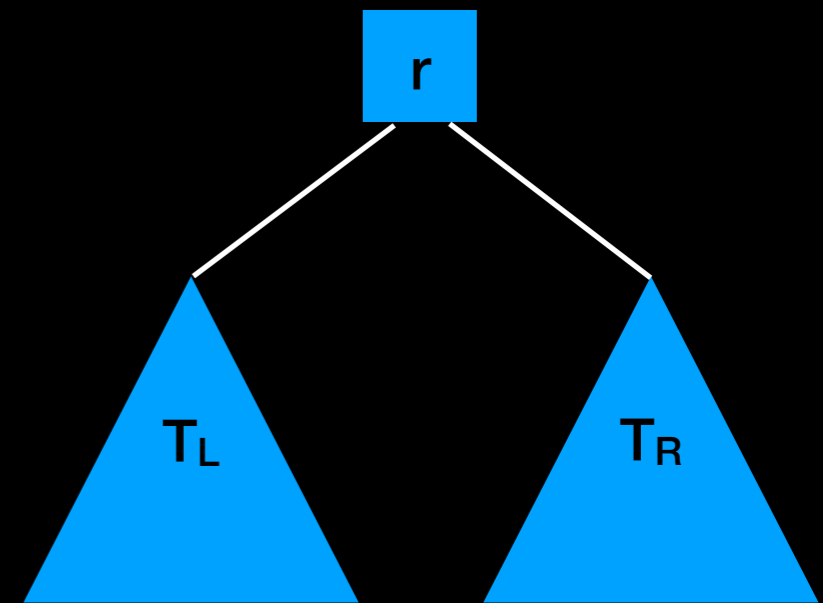
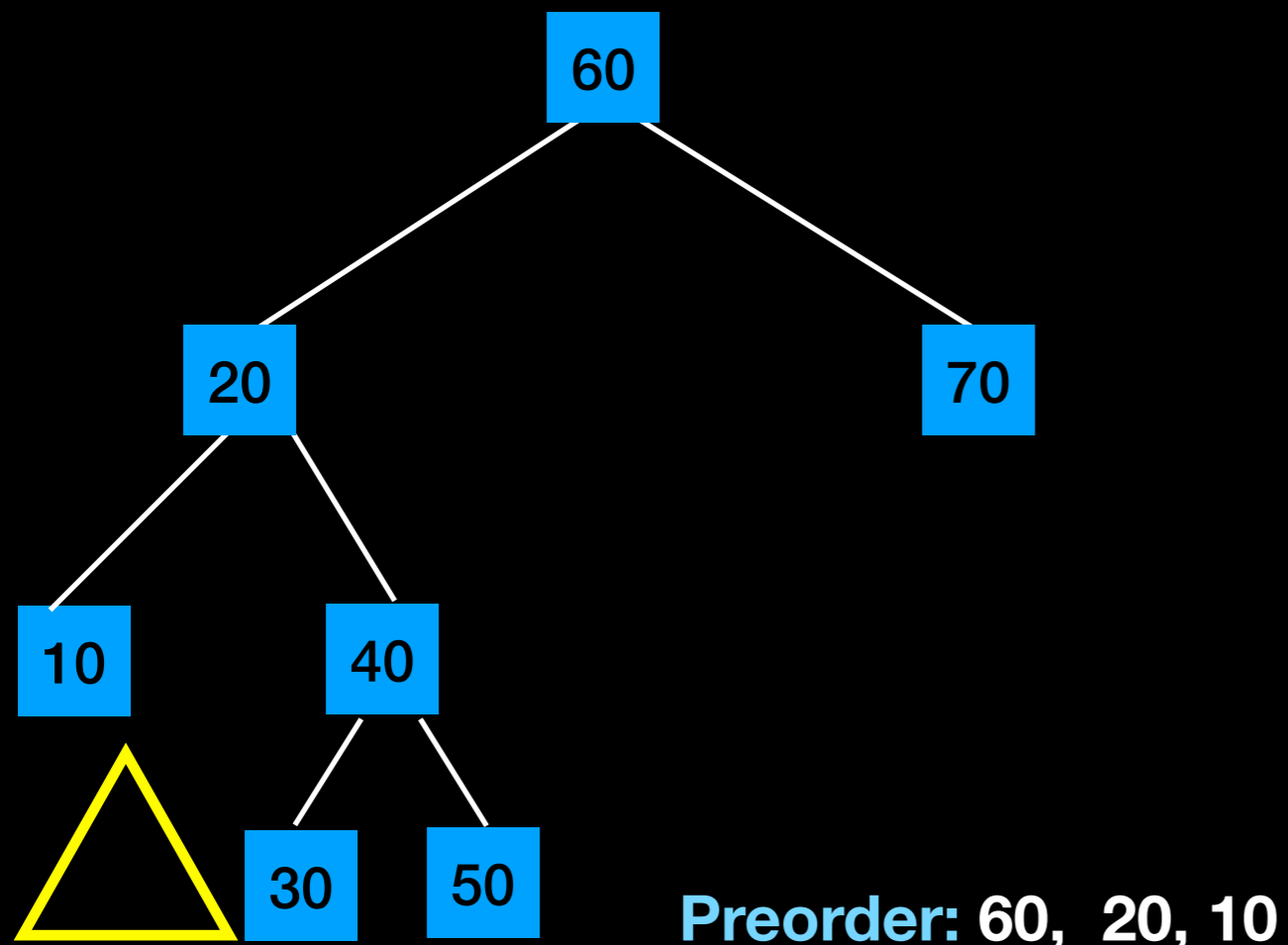
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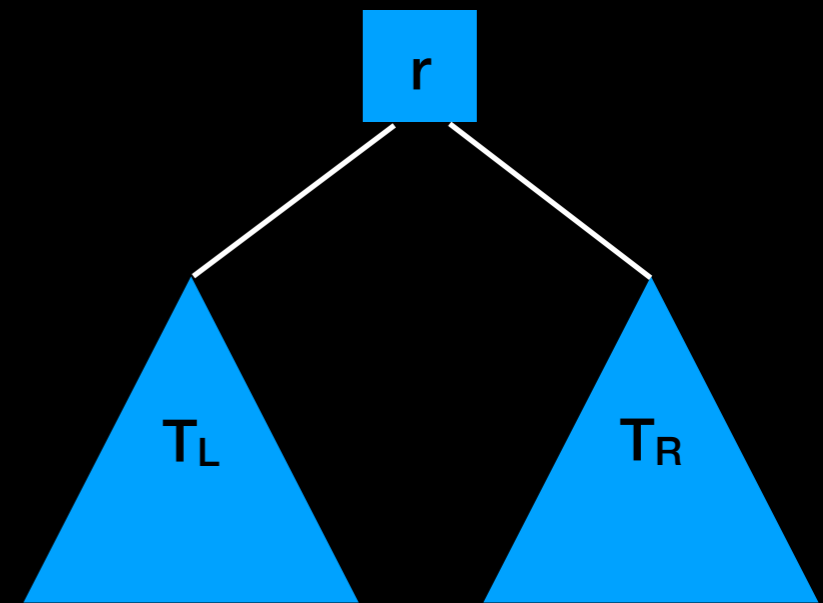
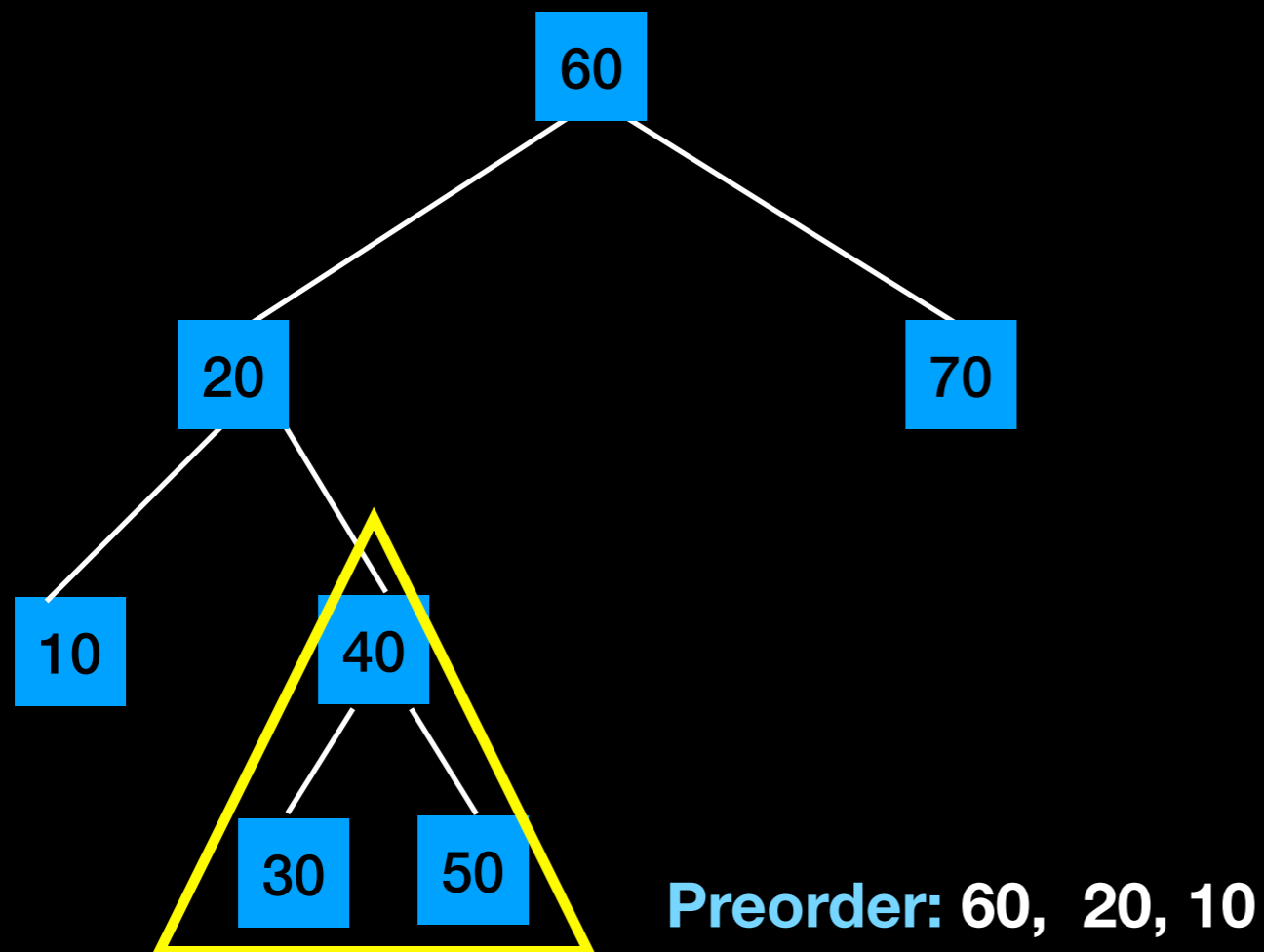
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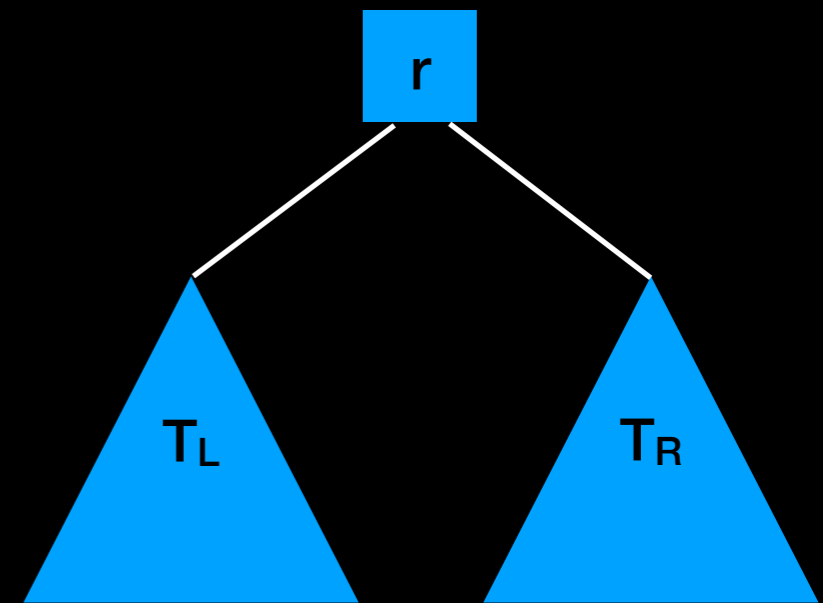
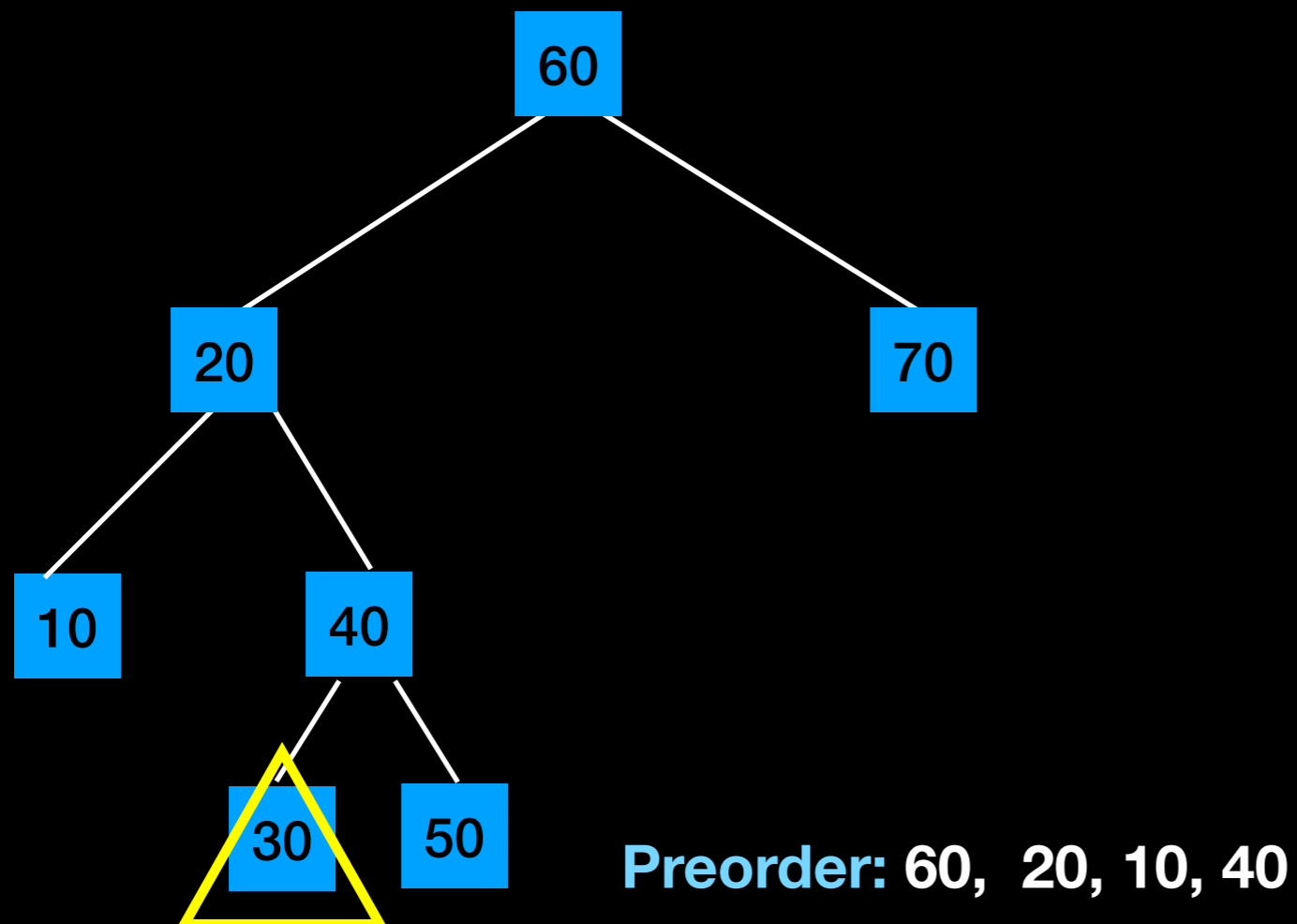
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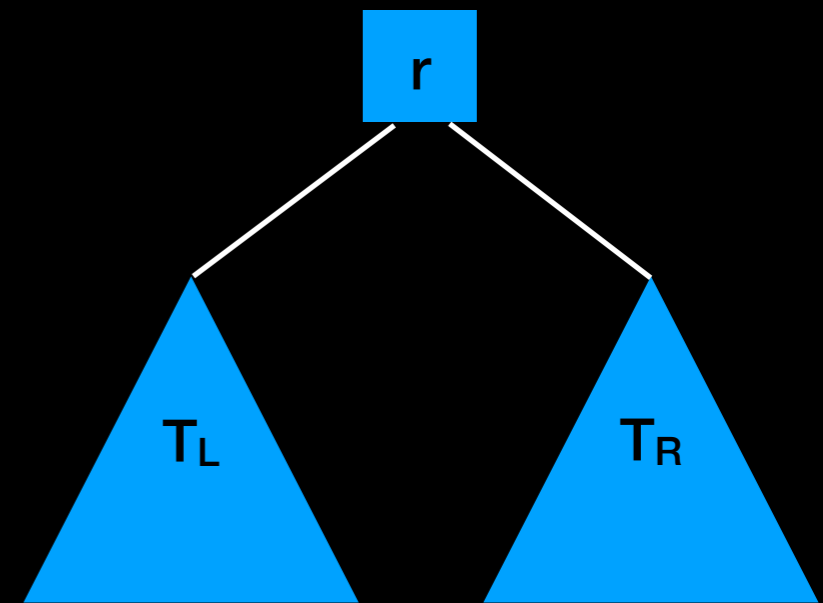
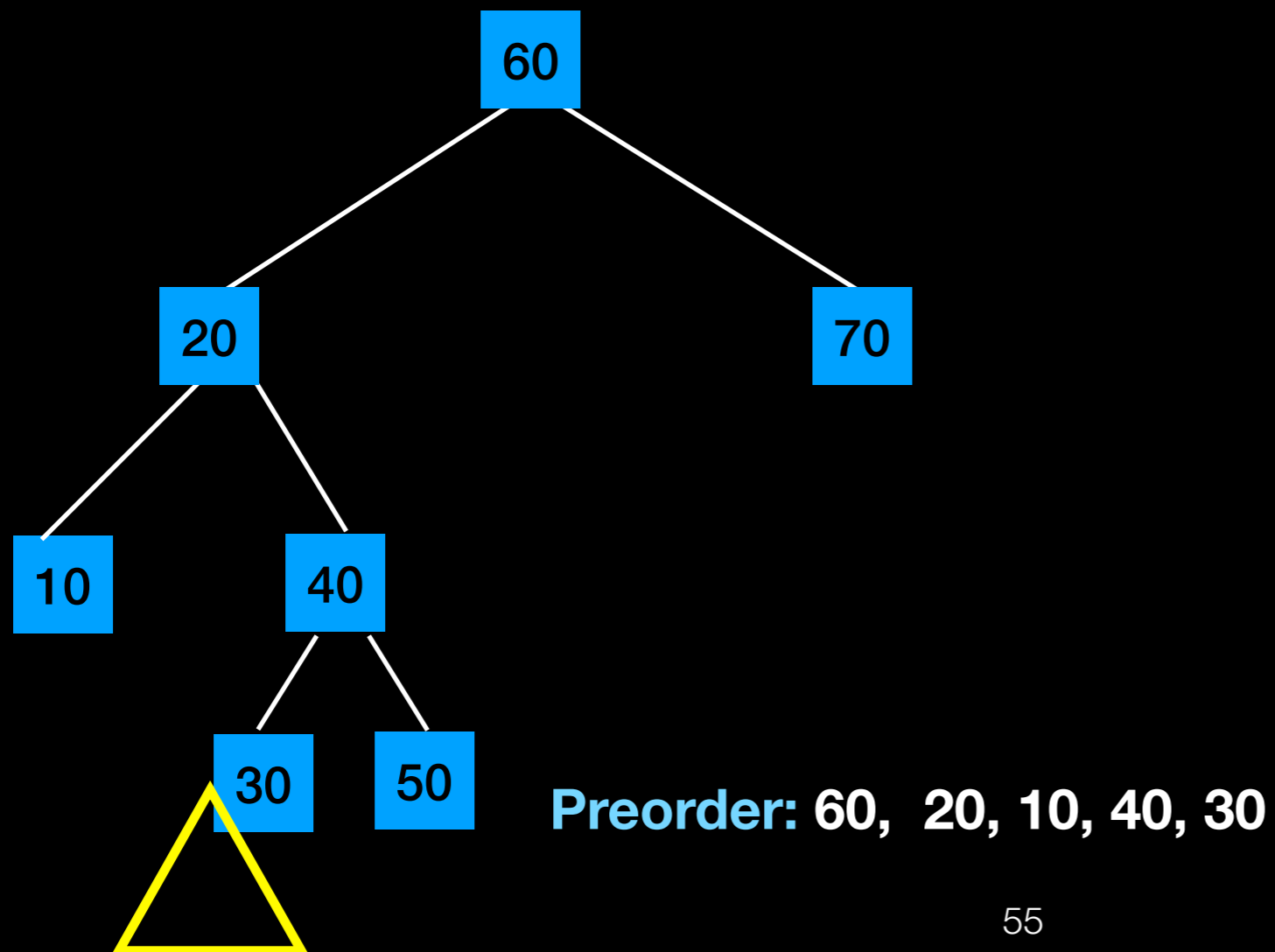
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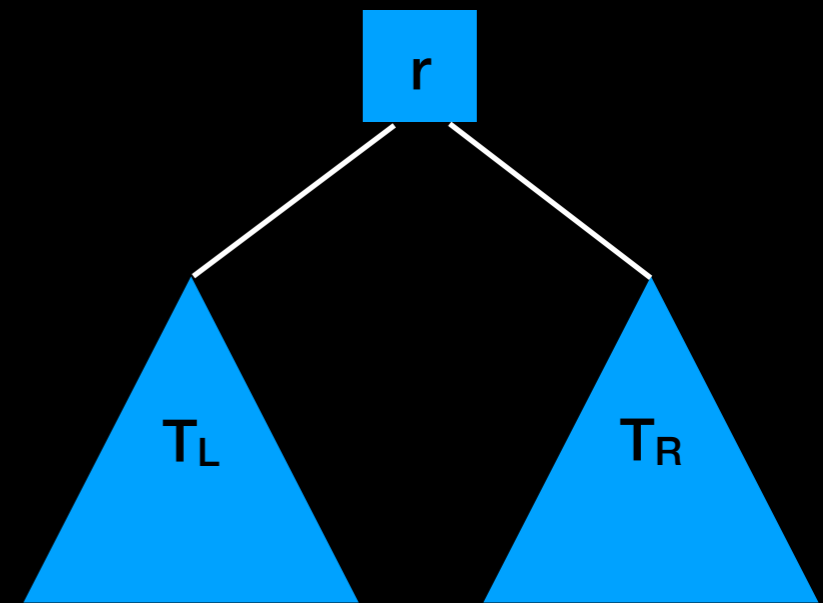
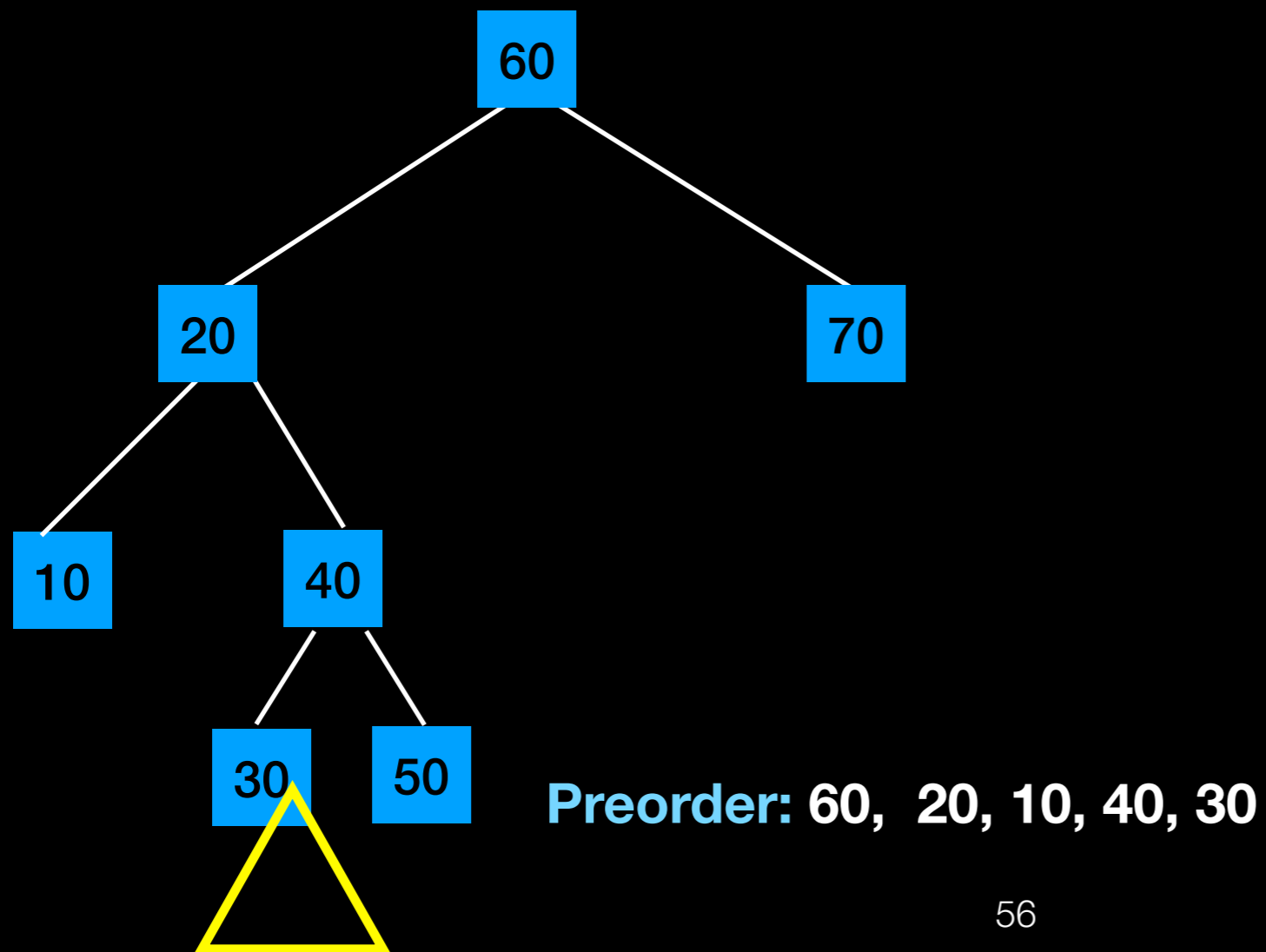
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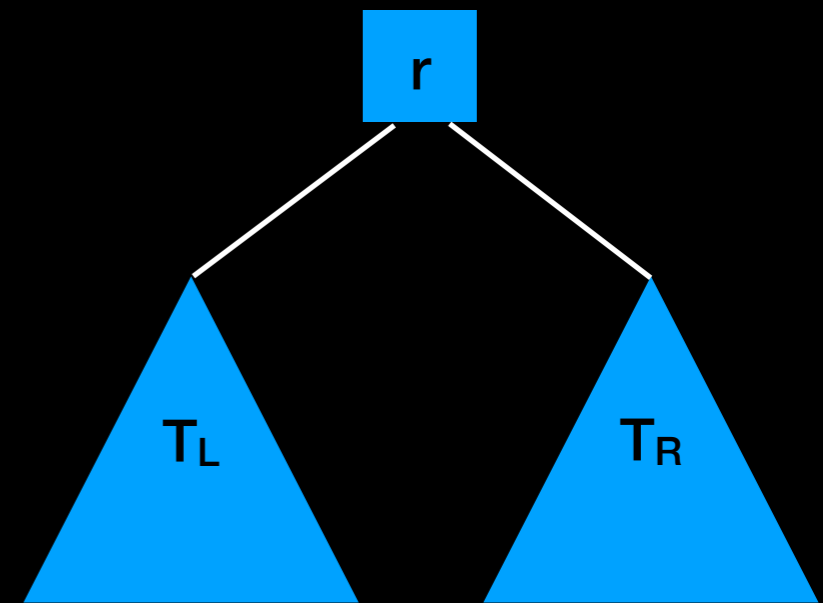
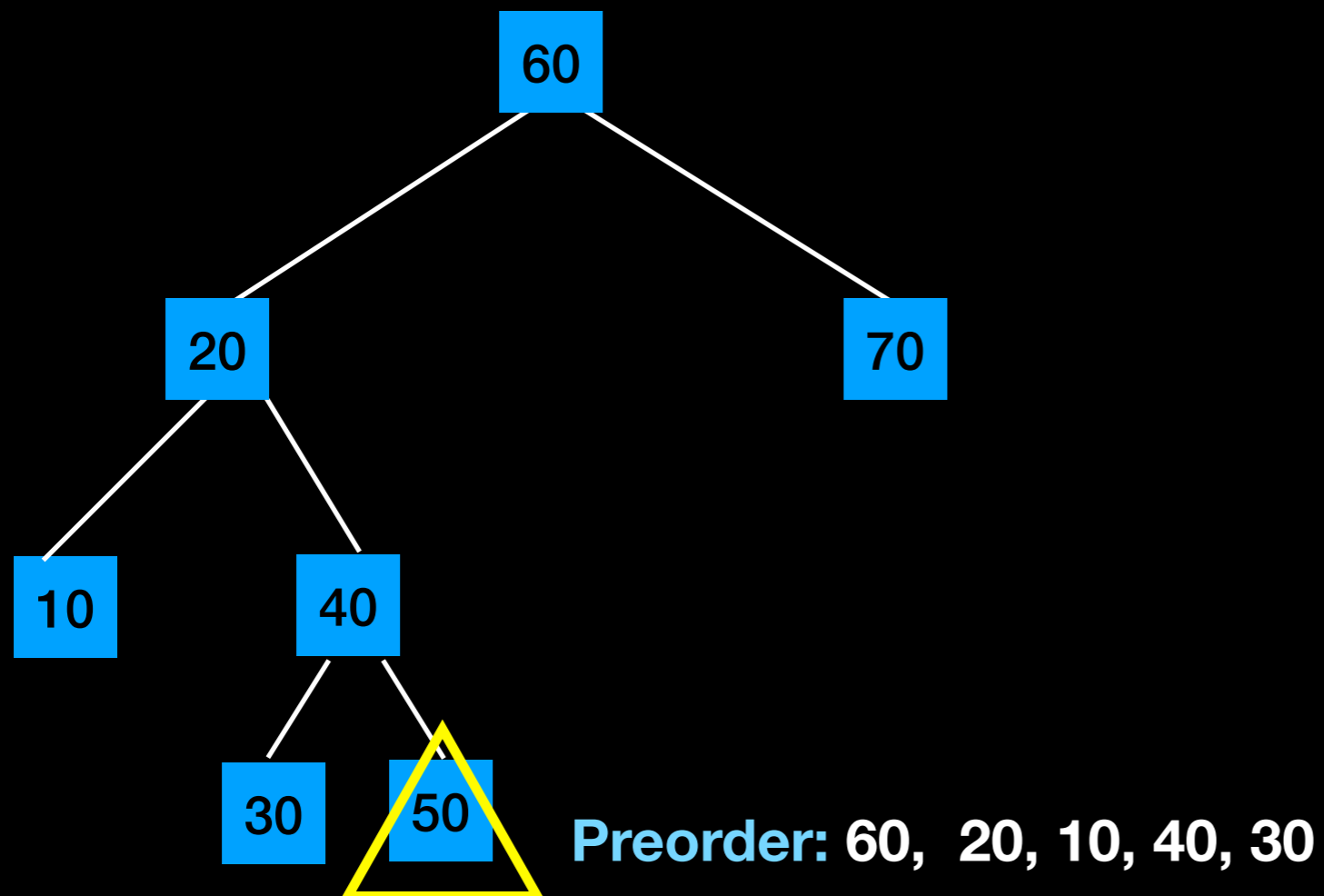




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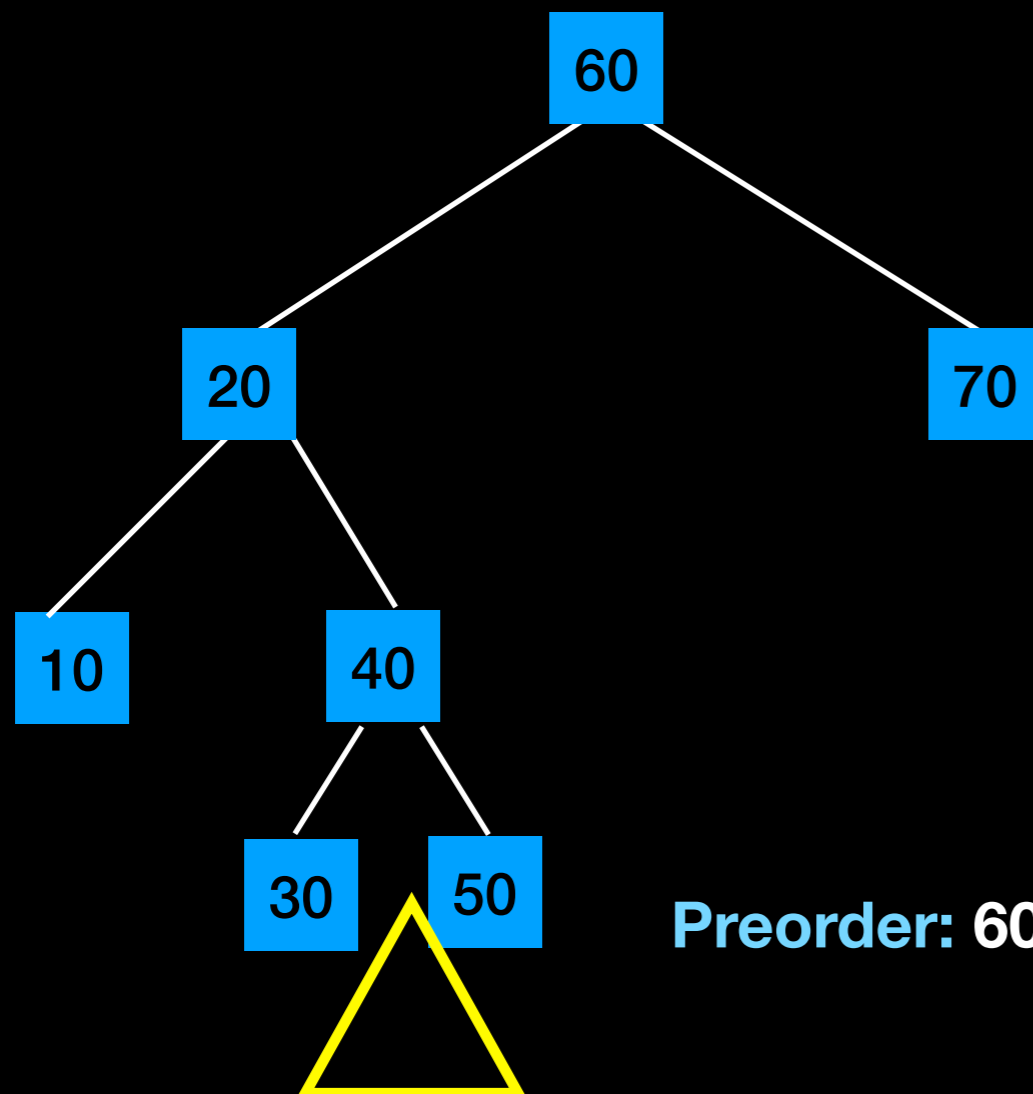
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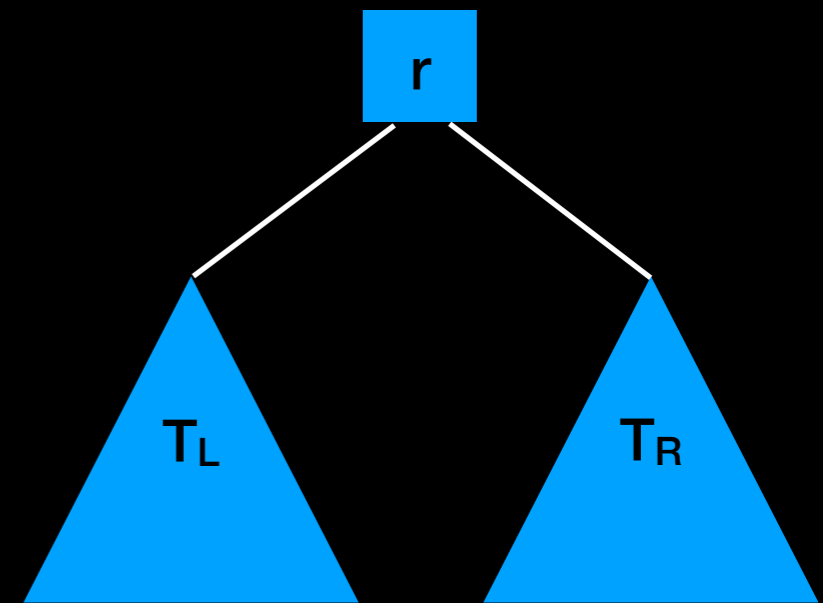
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}
```



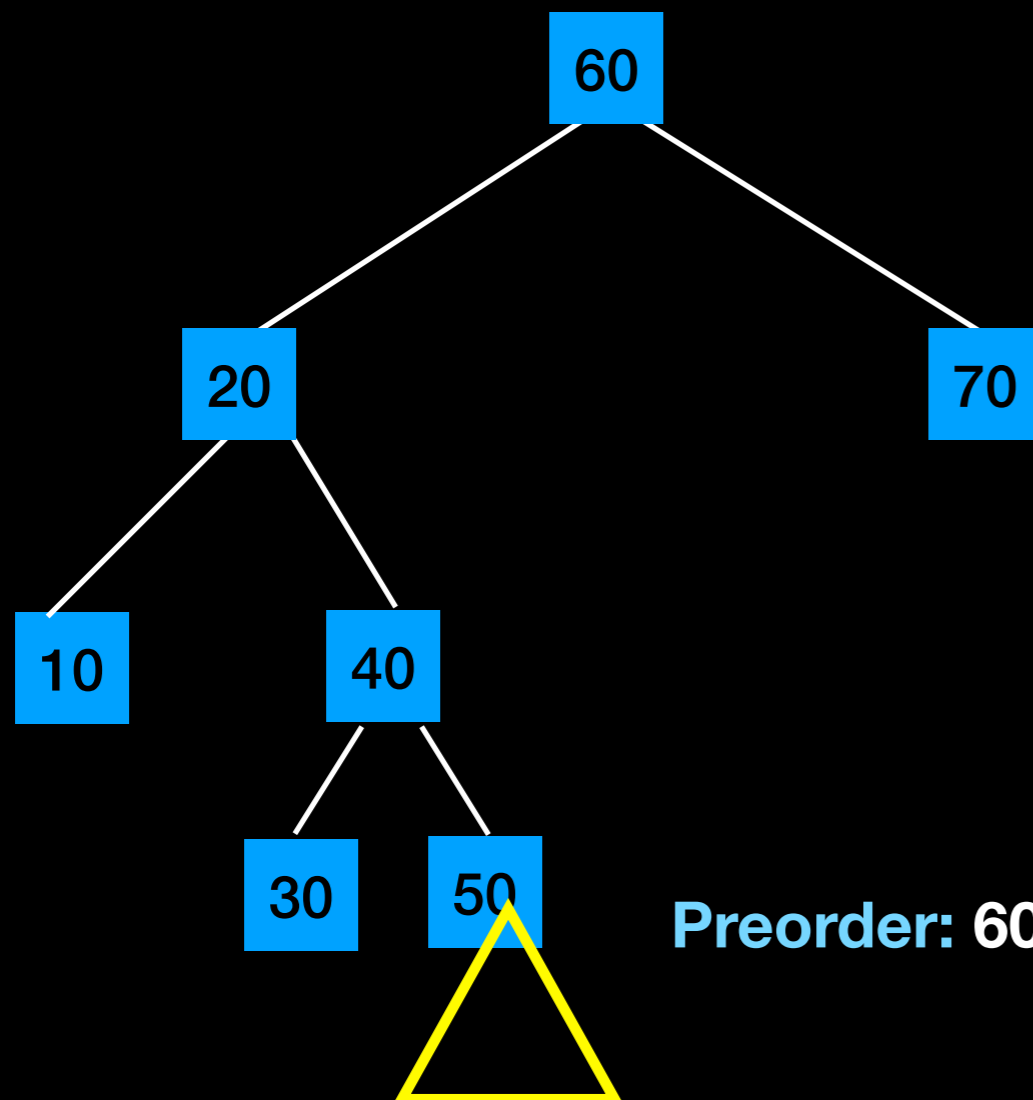
**Preorder: 60, 20, 10, 40, 30, 50**



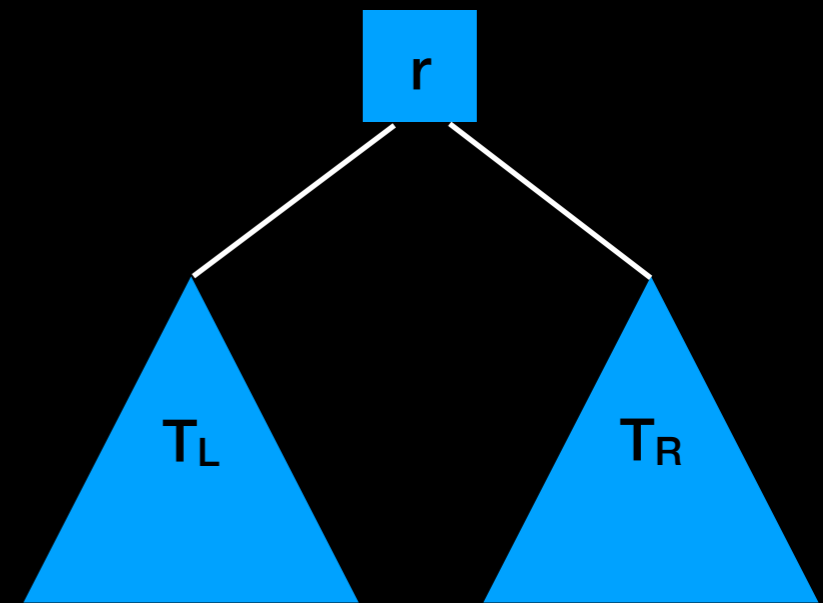
**Visit** (retrieve, print, modify ...) every node in the tree

**Preorder Traversal:**

```
if (T is not empty) //implicit base case
{
  visit the root r
  traverse TL
  traverse TR
}
```



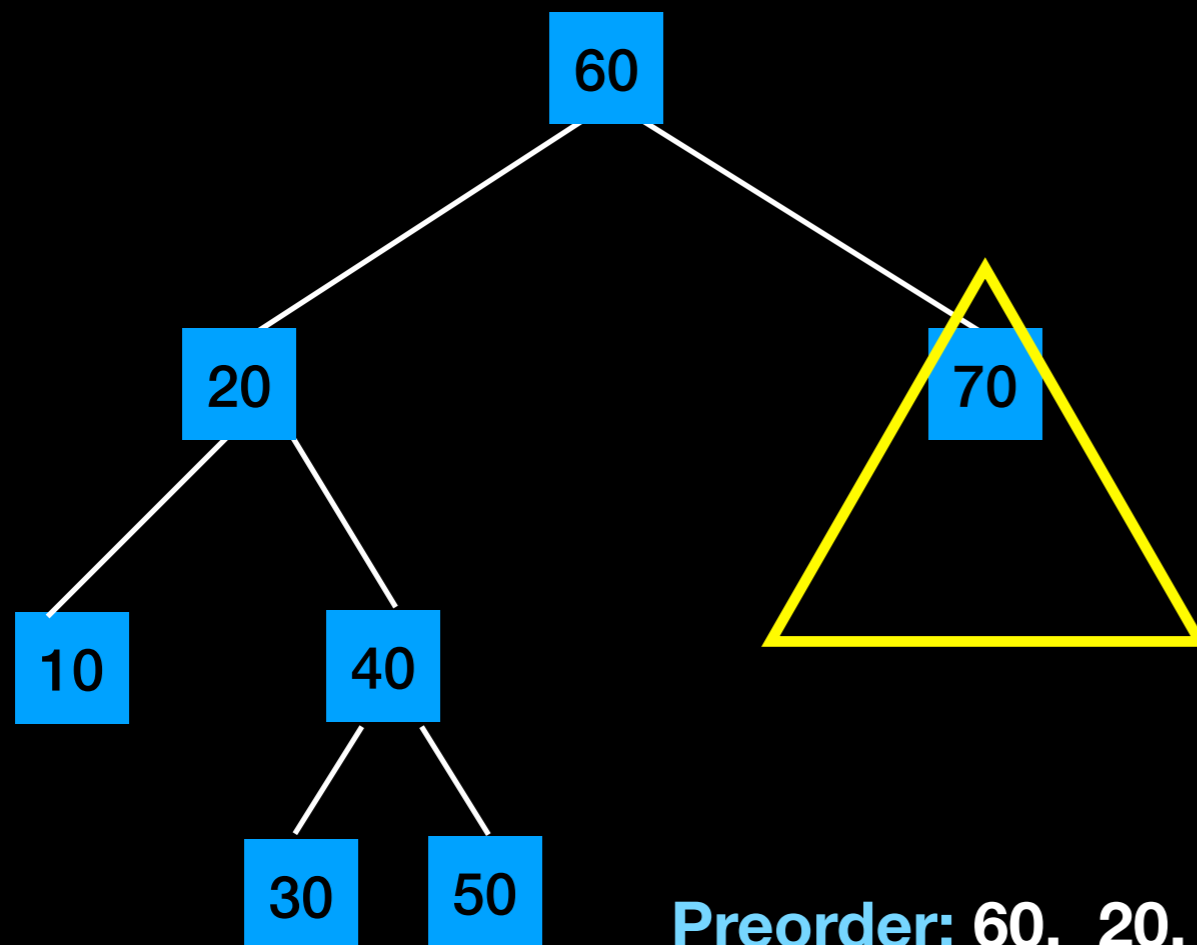
**Preorder: 60, 20, 10, 40, 30, 50**



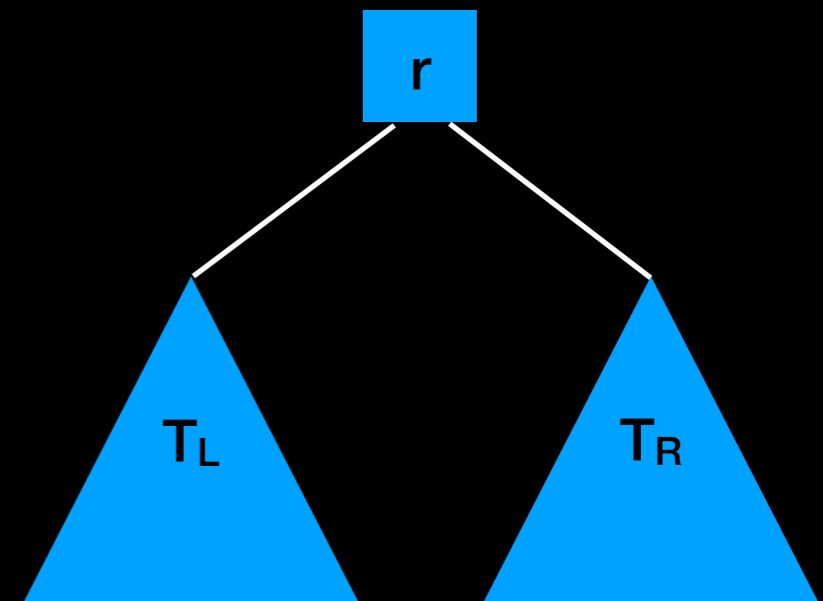
**Visit** (retrieve, print, modify ...) every node in the tree

### Preorder Traversal:

```
if (T is not empty) //implicit base case
{
    visit the root r
    traverse TL
    traverse TR
}
```



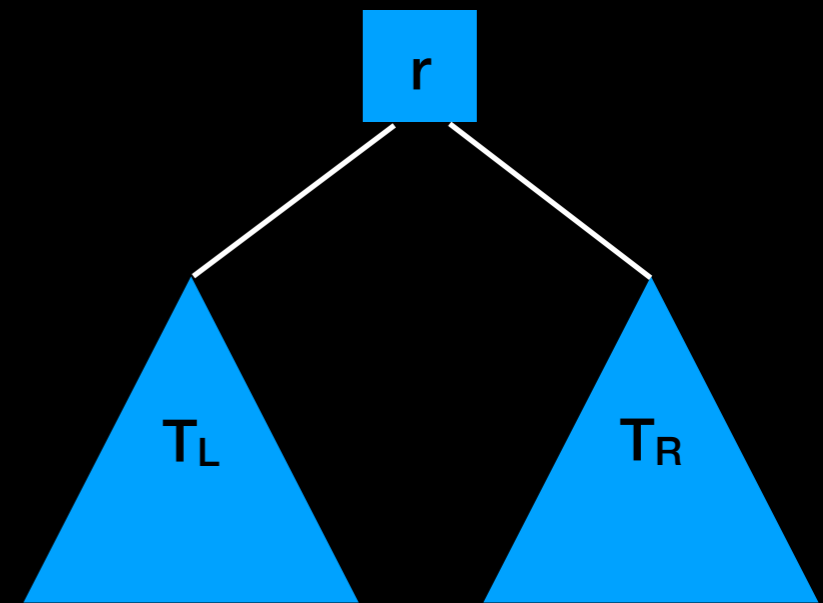
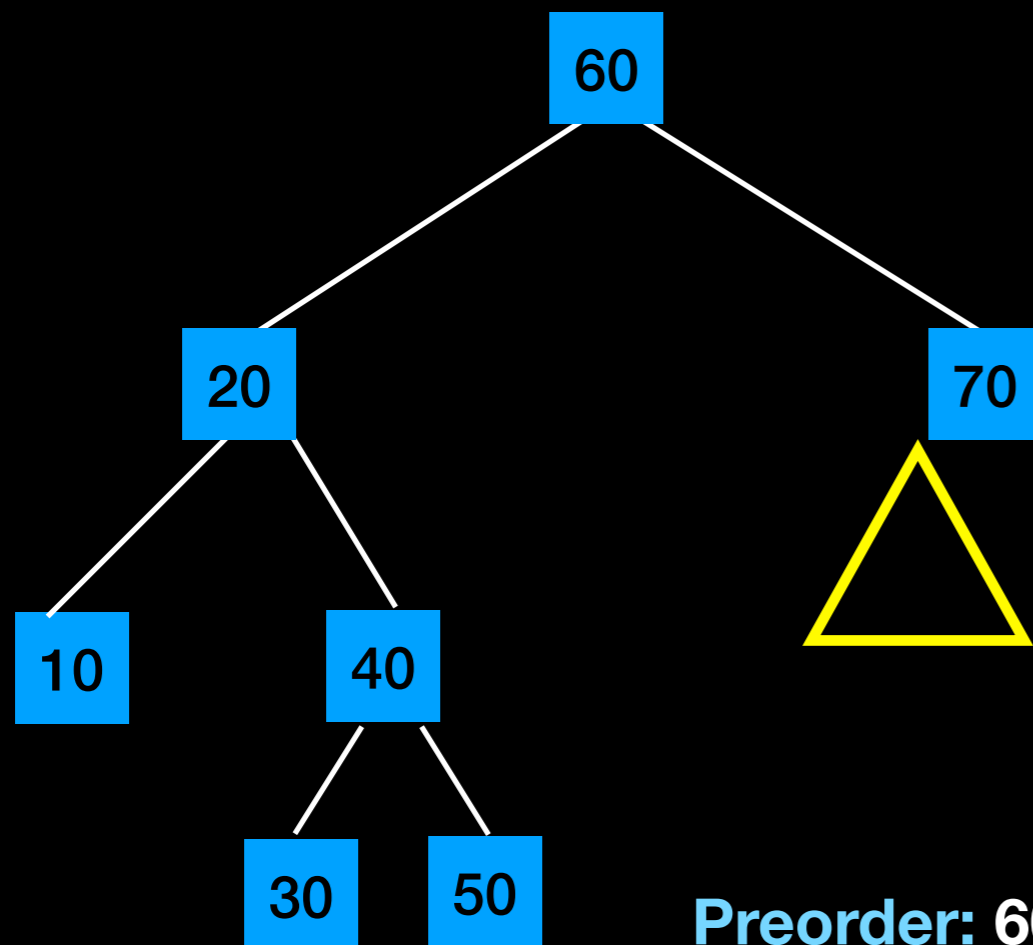
**Preorder: 60, 20, 10, 40, 30, 50**



**Visit** (retrieve, print, modify ...) every node in the tree

### Preorder Traversal:

```
if (T is not empty) //implicit base case
{
  visit the root r
  traverse TL
  traverse TR
}
```

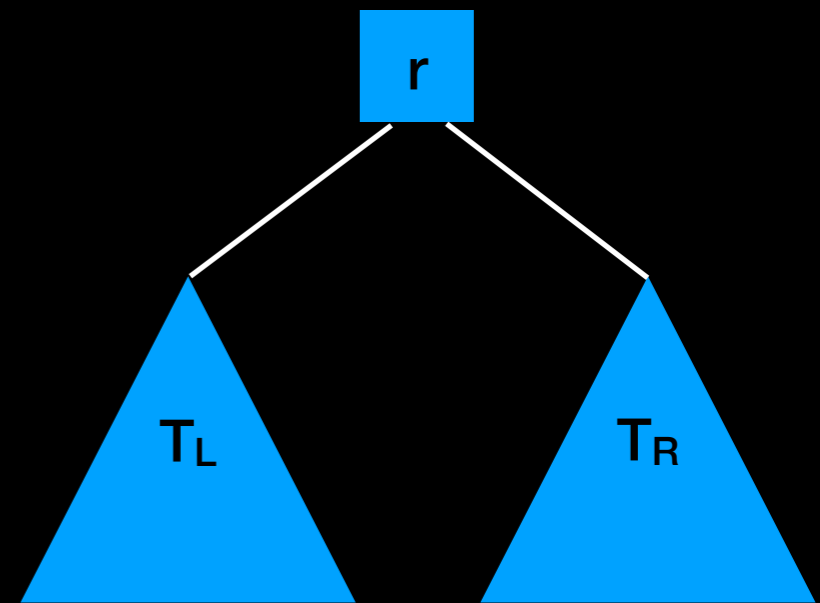
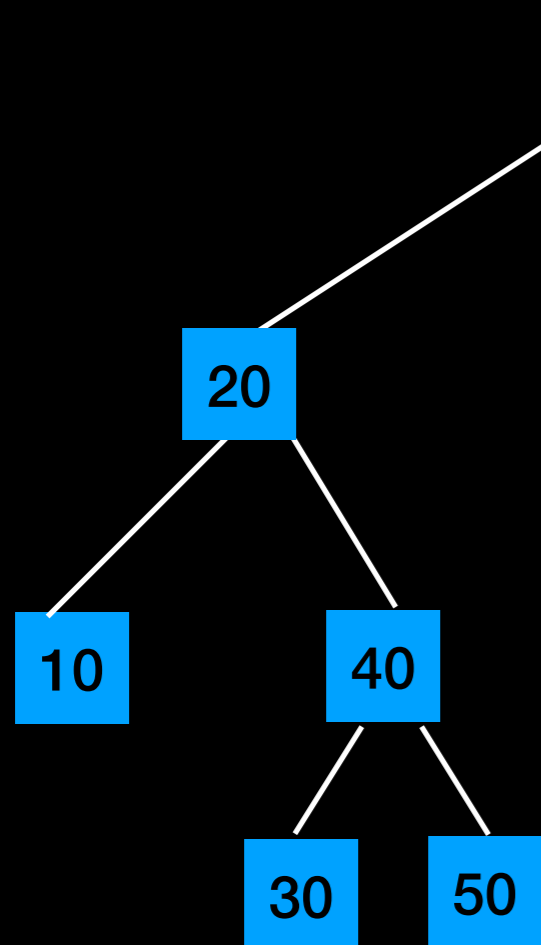


**Preorder: 60, 20, 10, 40, 30, 50, 70**

**Visit** (retrieve, print, modify ...) every node in the tree

**Preorder Traversal:**

```
if (T is not empty) //implicit base case
{
    visit the root r
    traverse TL
    traverse TR
}
```

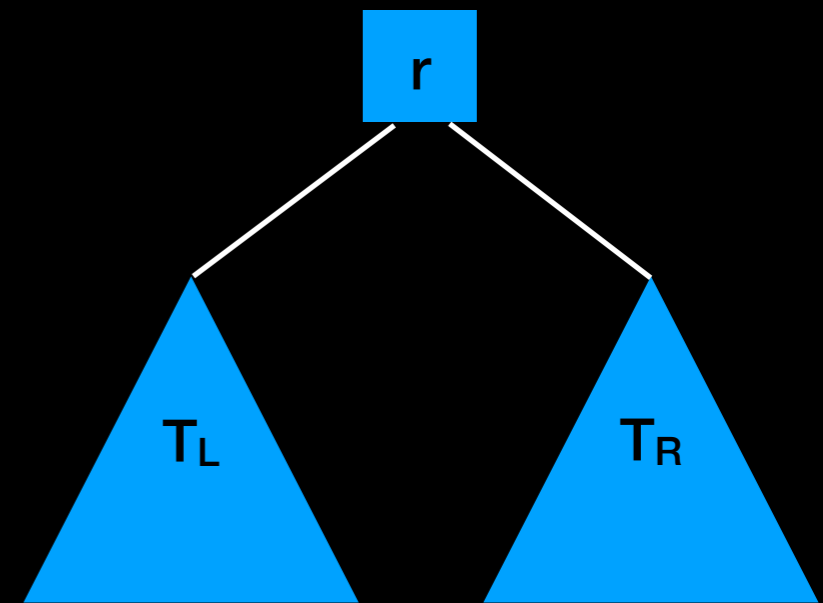
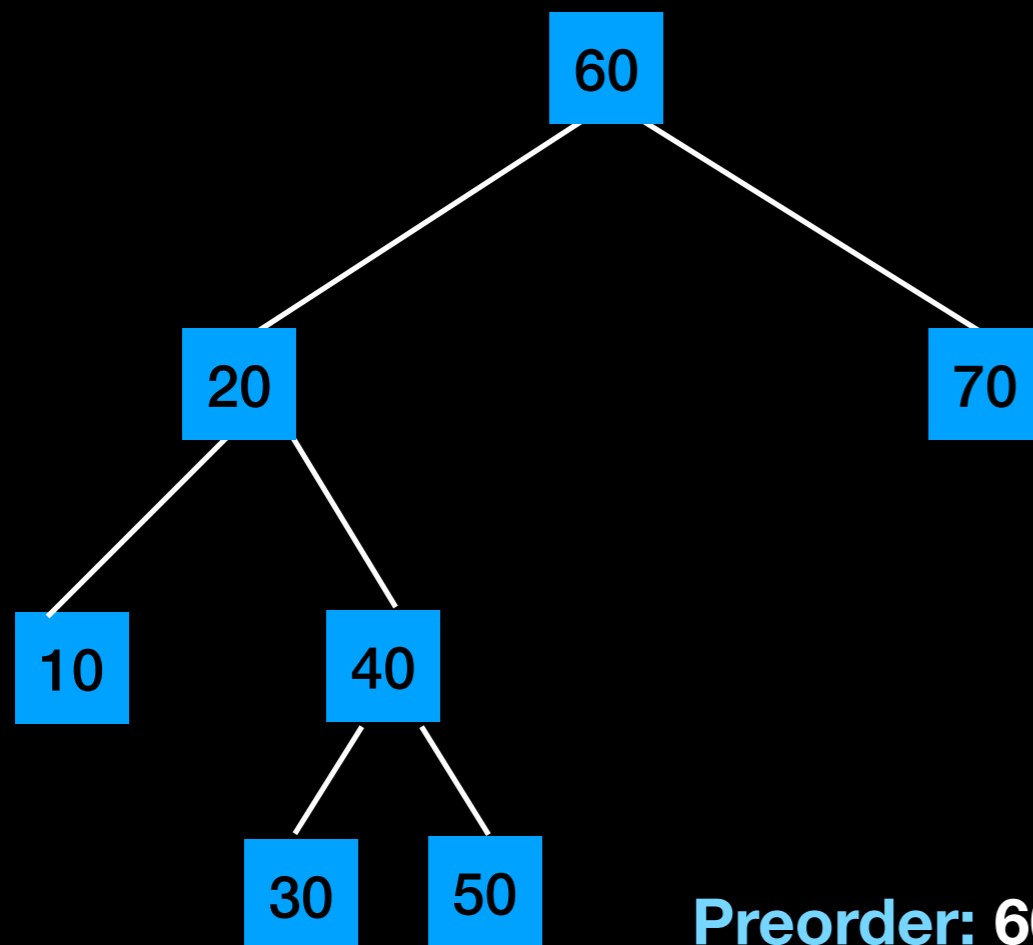


**Preorder: 60, 20, 10, 40, 30, 50, 70**

**Visit** (retrieve, print, modify ...) every node in the tree

### Preorder Traversal:

```
if (T is not empty) //implicit base case
{
  visit the root r
  traverse TL
  traverse TR
}
```

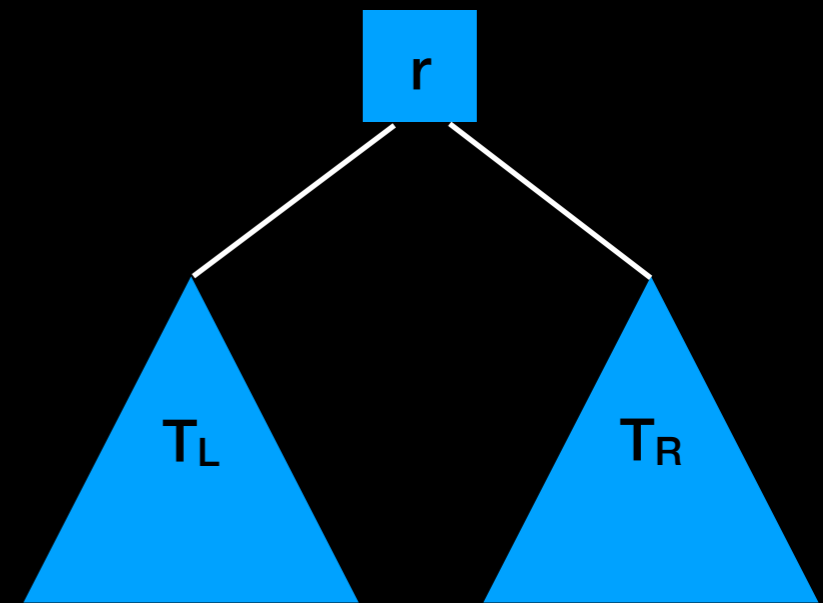
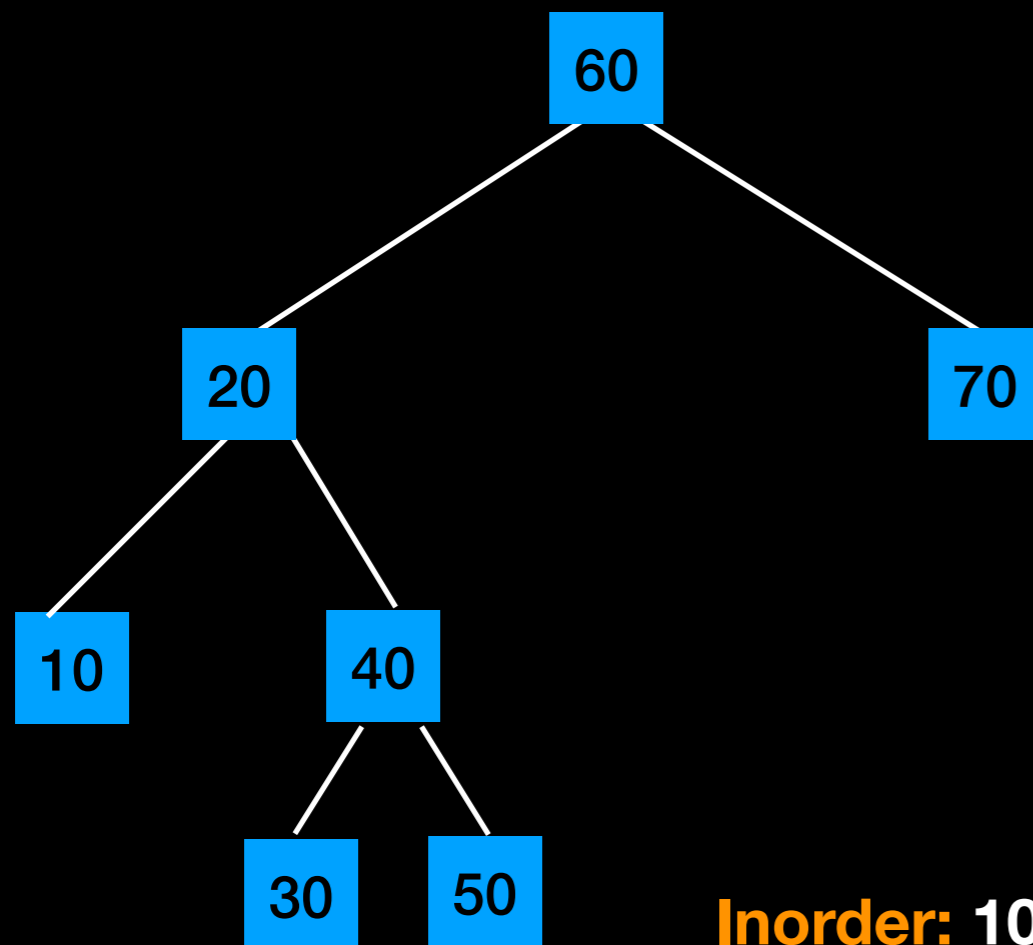


**Preorder: 60, 20, 10, 40, 30, 50, 70**

**Visit** (retrieve, print, modify ...) every node in the tree

### Inorder Traversal:

```
if (T is not empty) //implicit base case
{
  traverse TL
  visit the root r
  traverse TR
}
```



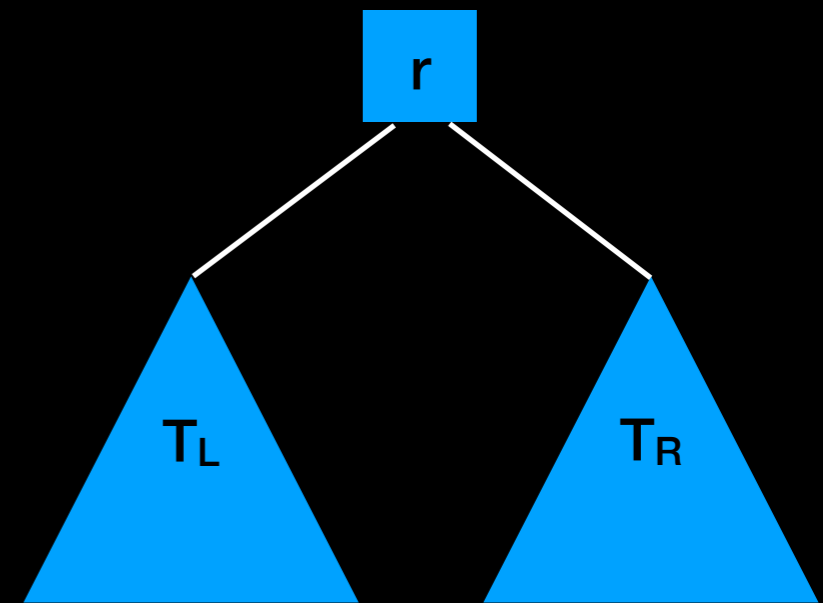
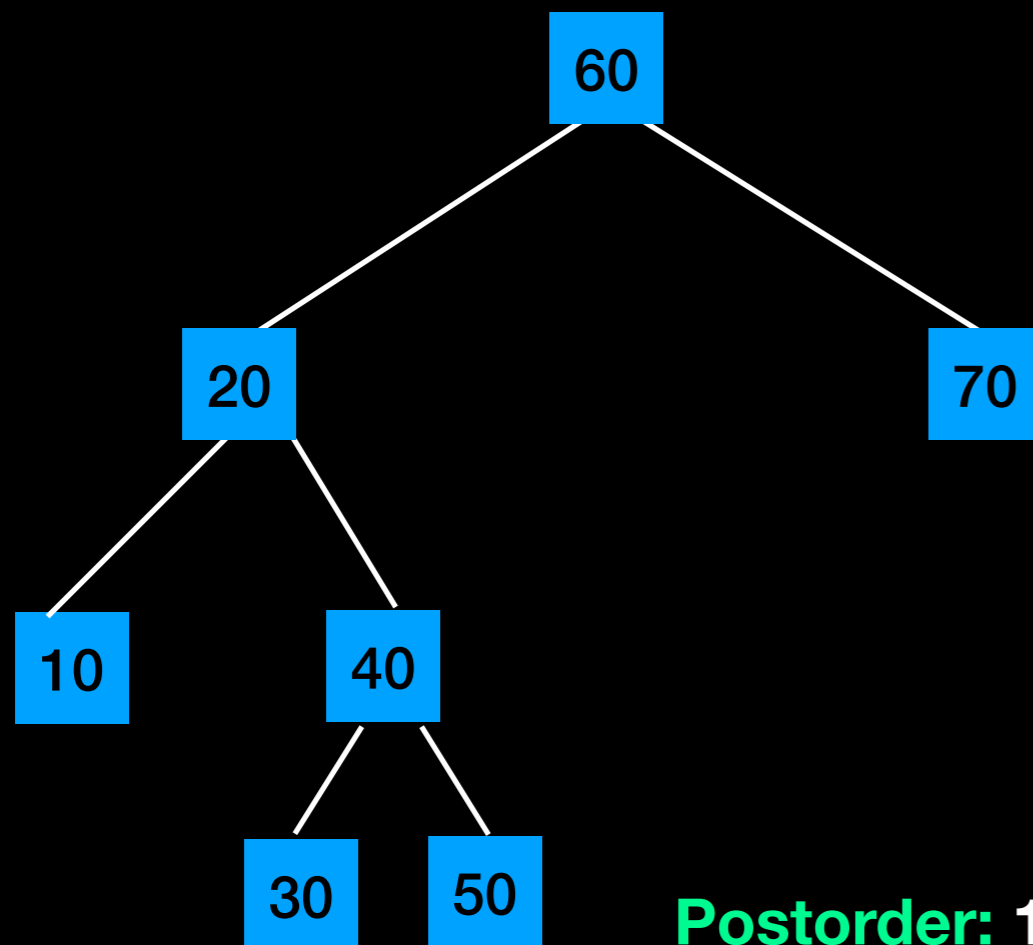
**Inorder:** 10, 20, 30, 40, 50, 60, 70



**Visit** (retrieve, print, modify ...) every node in the tree

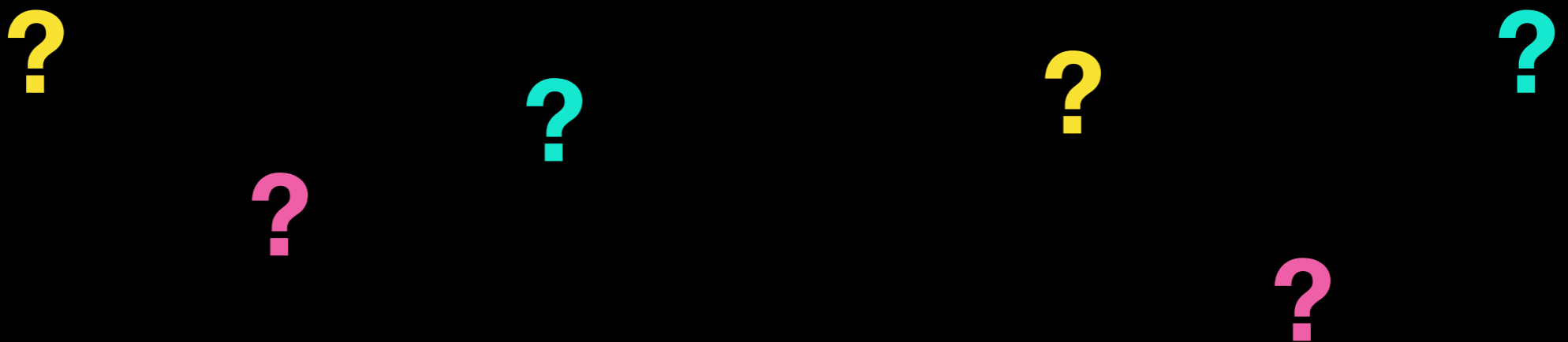
### Postorder Traversal:

```
if (T is not empty) //implicit base case
{
    traverse TL
    traverse TR
    visit the root r
}
```



**Postorder:** 10, 30, 50, 40, 20, 70, 60

? BinaryTree ADT Operations



```

#ifndef BinaryTree_H_
#define BinaryTree_H_
template<typename ItemType>
class BinaryTree
{
public:
    BinaryTree(); // constructor
    BinaryTree(const BinaryTree<ItemType>& tree); // copy
constructor
    ~BinaryTree(); // destructor
    bool isEmpty() const;
    size_t getHeight() const;
    size_t getNumberOfNodes() const;
    void add(const ItemType& new_item);
    void remove(const ItemType& new_item);
    ItemType find(const ItemType& item) const;
    void clear();
    void preorderTraverse(Visitor<ItemType>& visit) const;
    void inorderTraverse(Visitor<ItemType>& visit) const;
    void postorderTraverse(Visitor<ItemType>& visit) const;
    BinaryTree& operator= (const BinaryTree<ItemType>& rhs);
private:
    // implementation details here
}; // end BST
#include "BinaryTree.cpp"
#endif // BinaryTree_H_

```

```

#ifndef BinaryTree_H_
#define BinaryTree_H_
template<typename ItemType>
class BinaryTree
{
public:
    BinaryTree(); // constructor
    BinaryTree(const BinaryTree<ItemType>& tree); // copy
    constructor
    ~BinaryTree(); // destructor
    bool isEmpty() const;
    size_t getHeight() const;
    size_t getNumberOfNodes() const;
    void add(const ItemType& new_item);
    void remove(const ItemType& new_item);
    ItemType find(const ItemType& item) const;
    void clear();
    void preorderTraverse(Visitor<ItemType>& visit) const;
    void inorderTraverse(Visitor<ItemType>& visit) const;
    void postorderTraverse(Visitor<ItemType>& visit) const;
    BinaryTree& operator= (const BinaryTree<ItemType>& rhs);
private:
    // implementation details here
}; // end BST
#include "BinaryTree.cpp"
#endif // BinaryTree_H_

```

How might you add  
Will determine the tree structure

This is an abstract class from which  
we can derive desired behavior  
keeping the traversal general

# Considerations

# Recall

Remember our **Bag ADT**?

- Array implementation
- Linked Chain implementation
- Assume no duplicates

Find an element:  $O(n)$

Remove: Find element and if there remove it  $O(n)$

Add: Check if element is there and if not add it  $O(n)$

# Recall

Remember our **Bag ADT**?

- Array implementation
- Linked Chain implementation
- Assume no duplicates

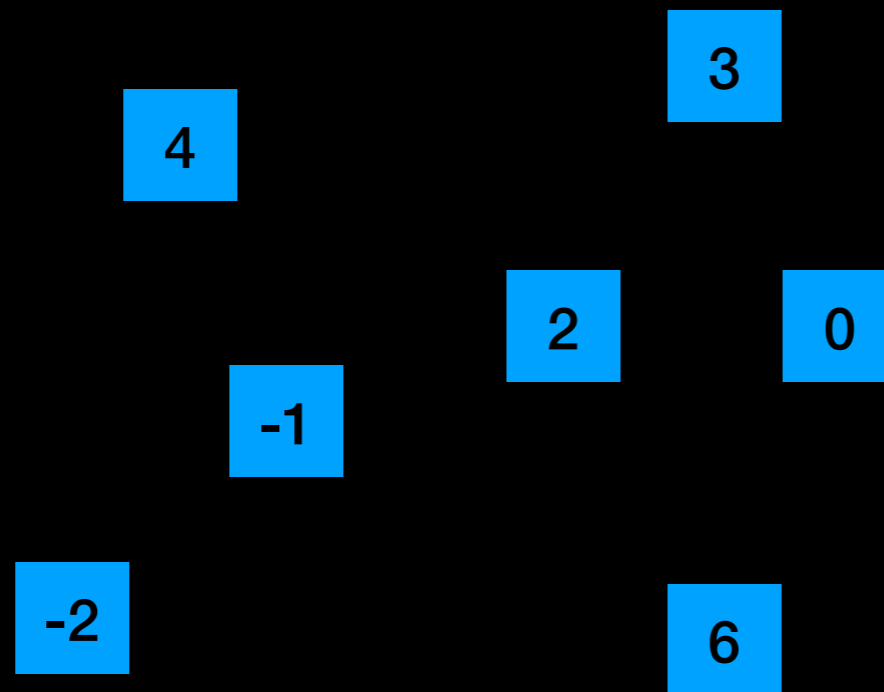


Find an element:  $O(n)$

Remove: Find element and if there remove it  $O(n)$

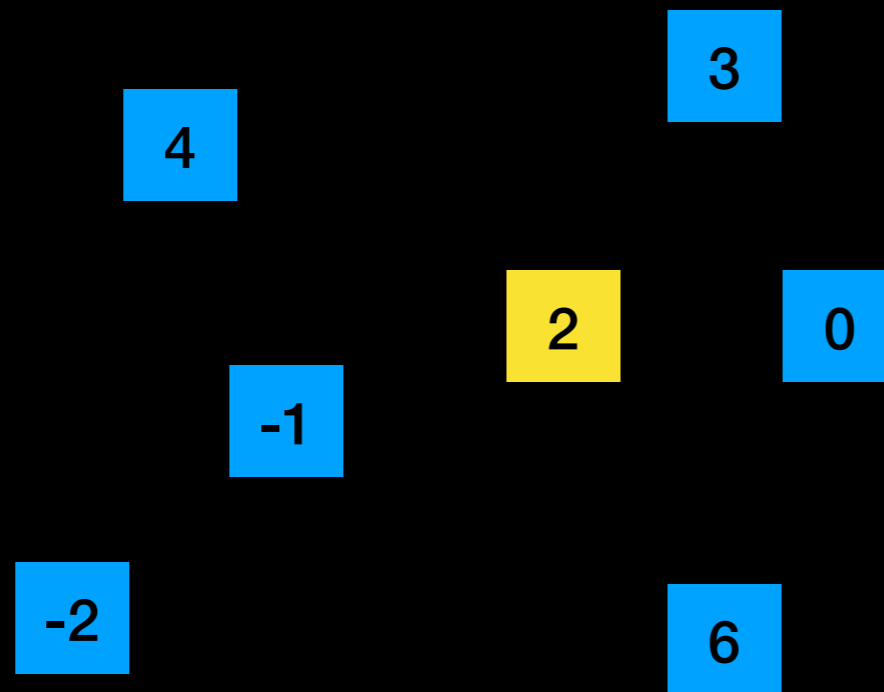
Add: Check if element is there and if not add it  $O(n)$

# A Different Approach

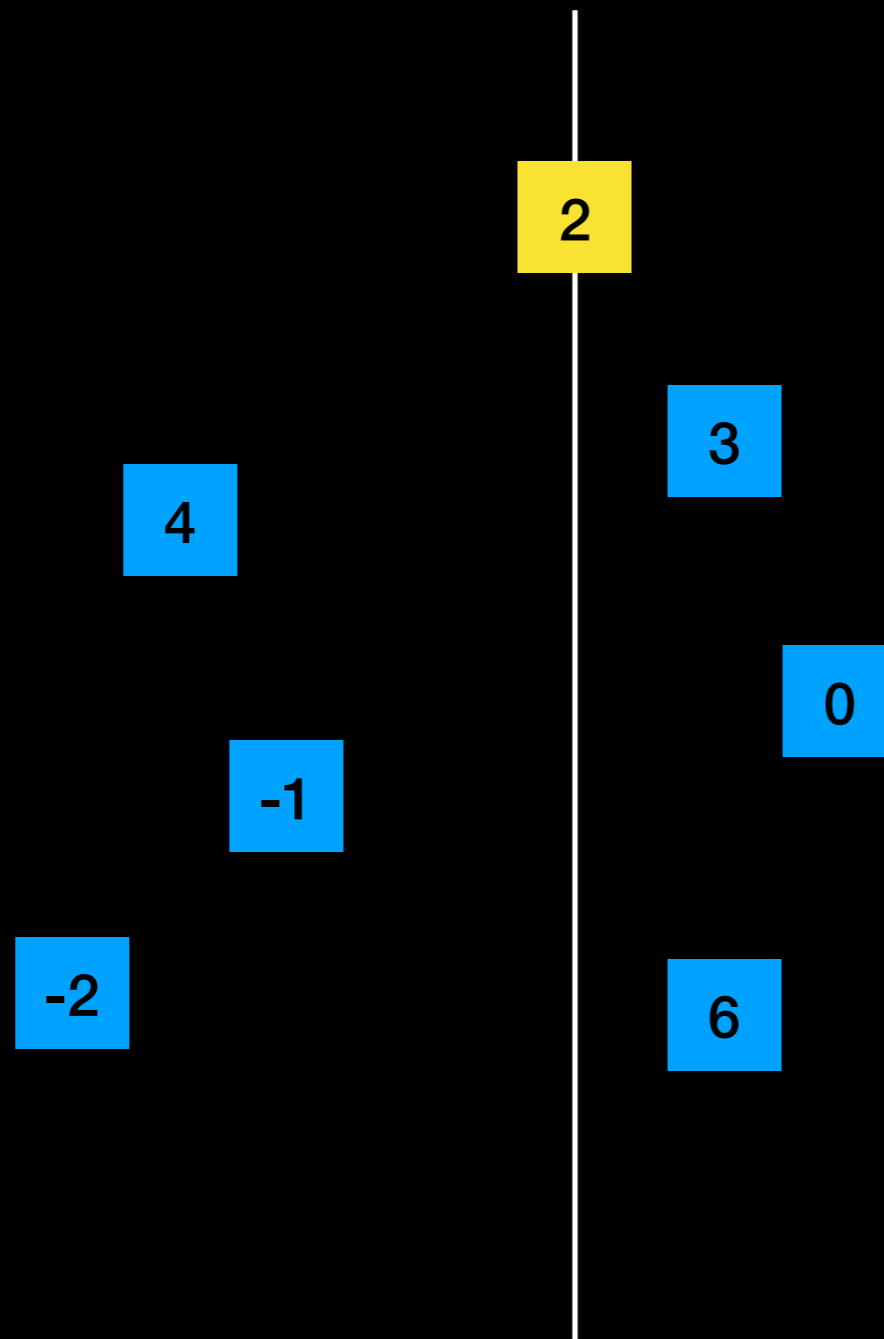




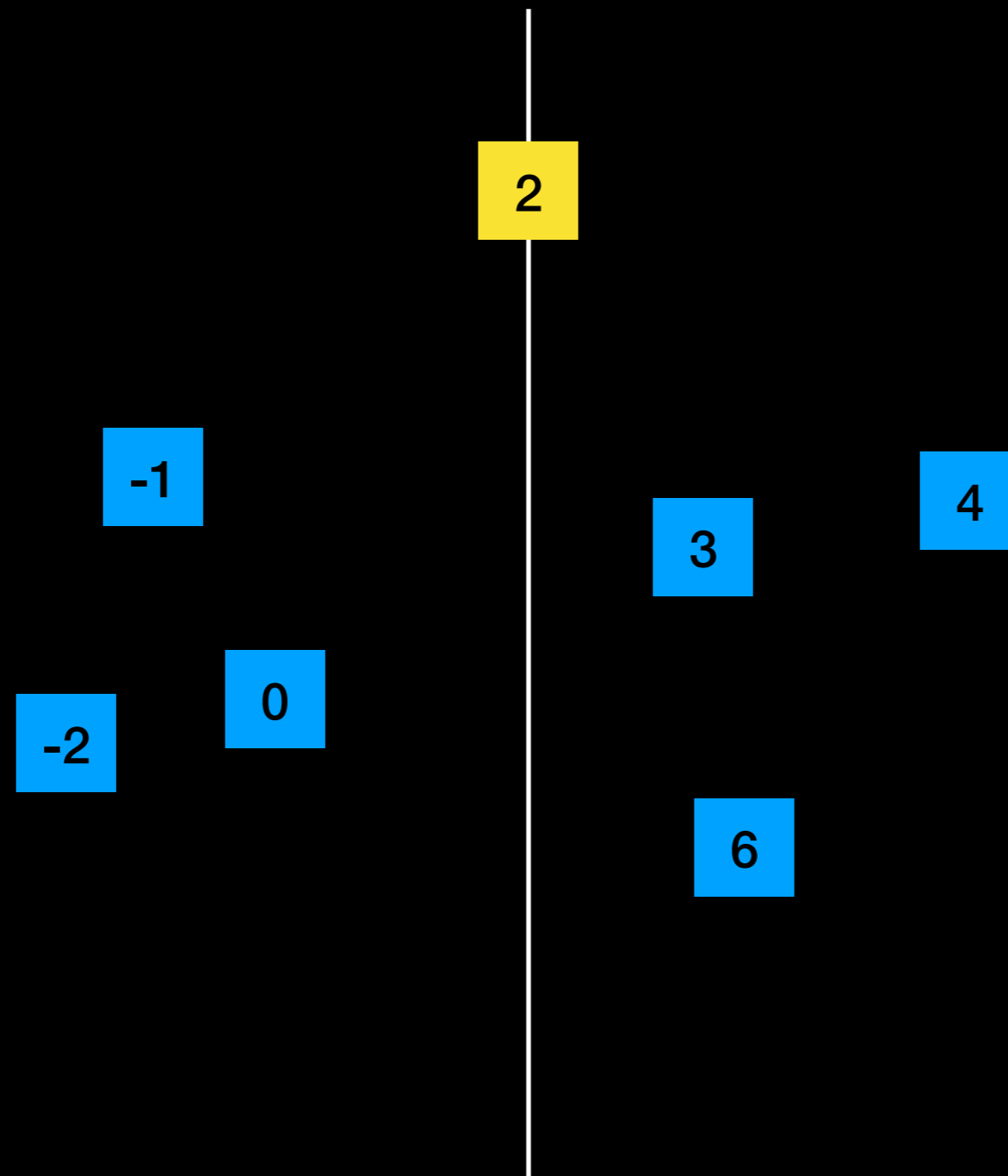
# A Different Approach



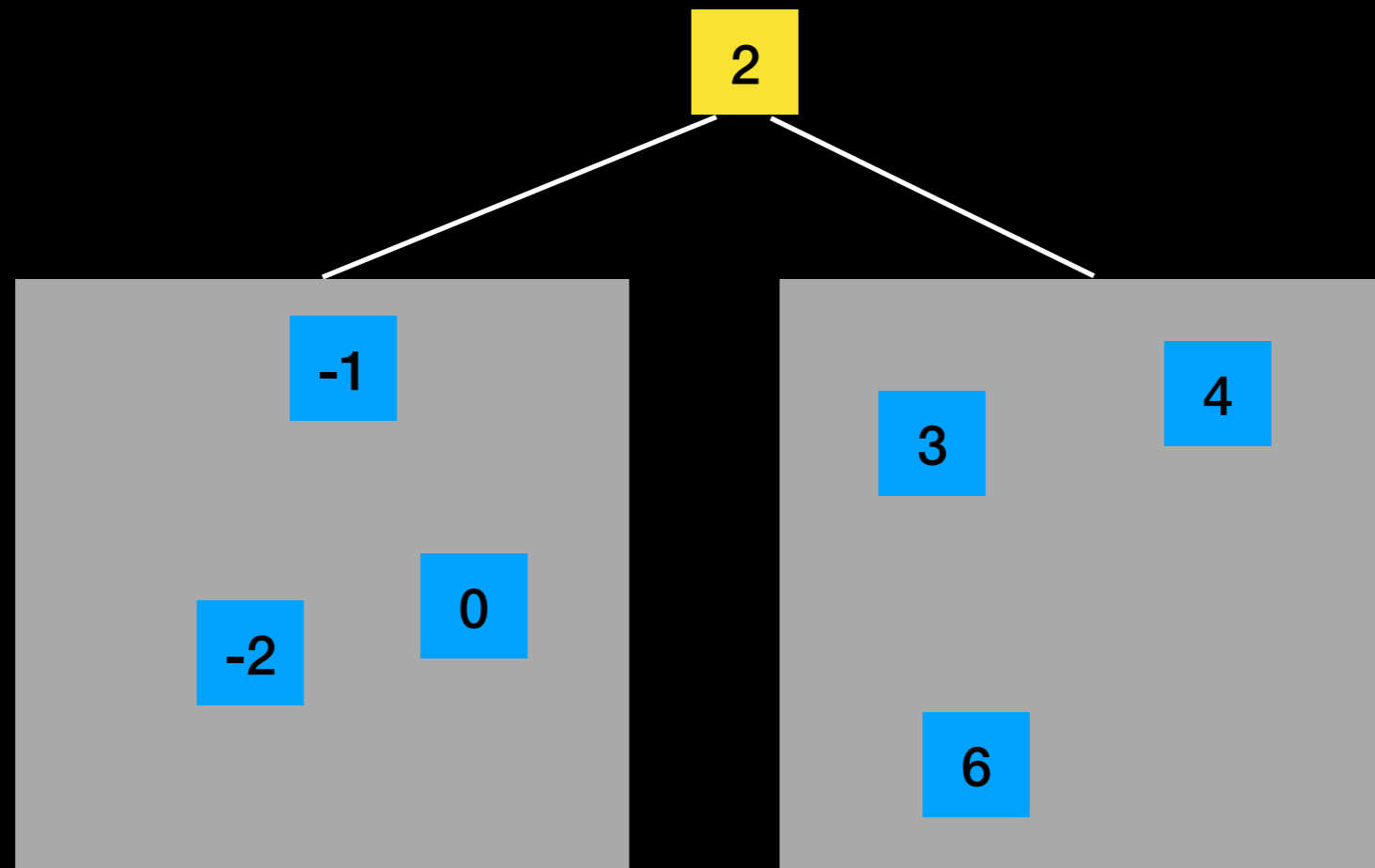
# A Different Approach



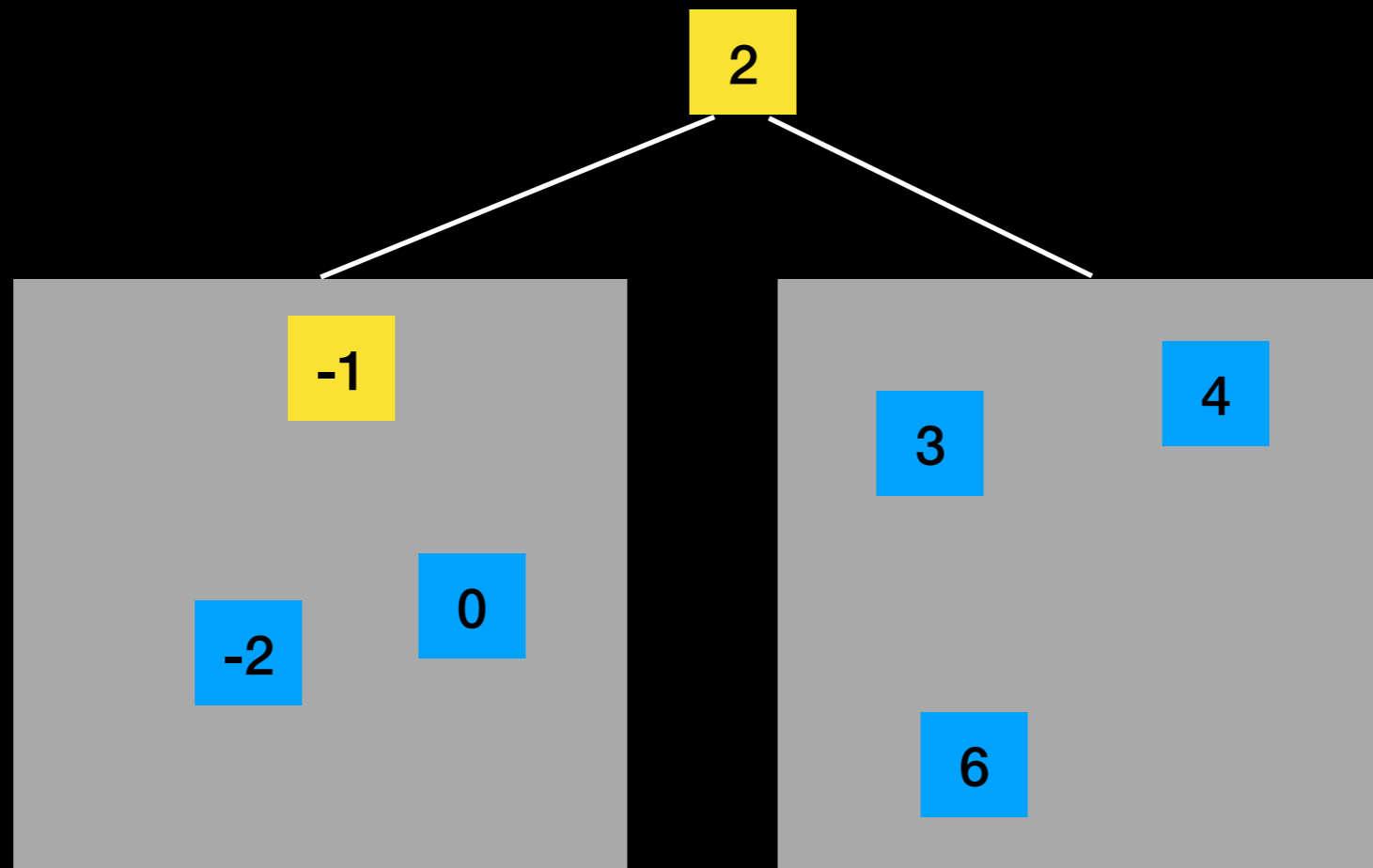
# A Different Approach



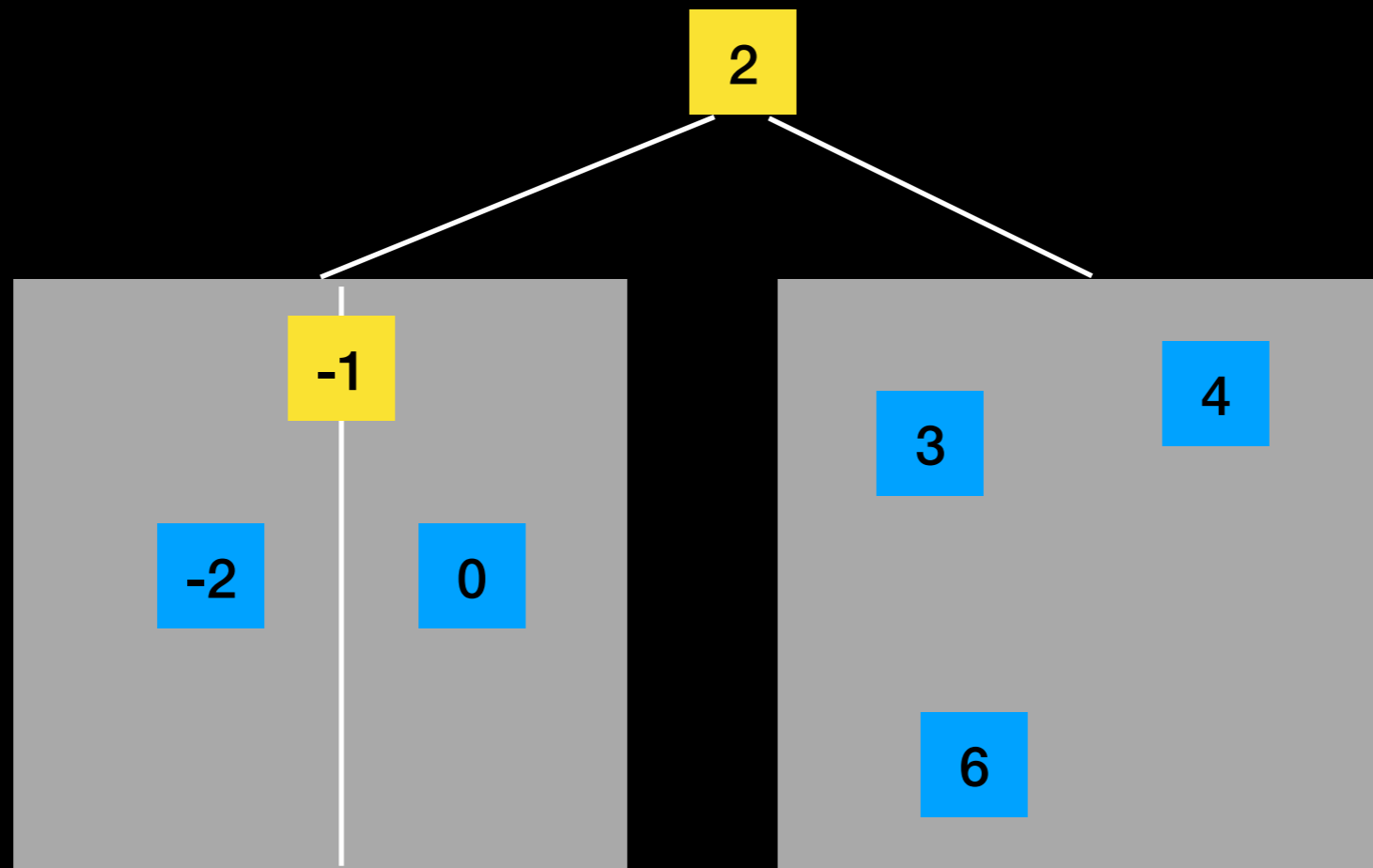
# A Different Approach



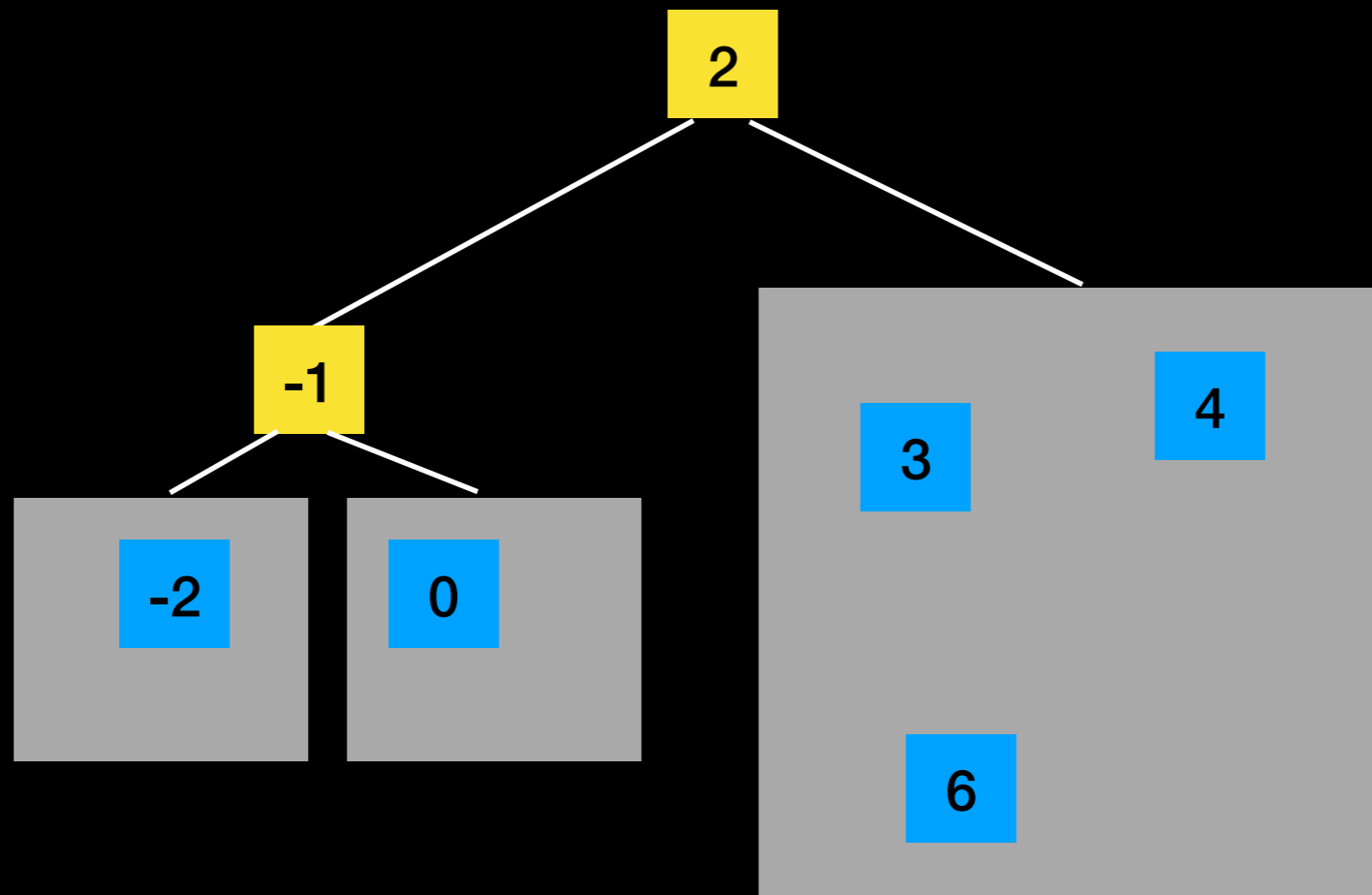
# A Different Approach



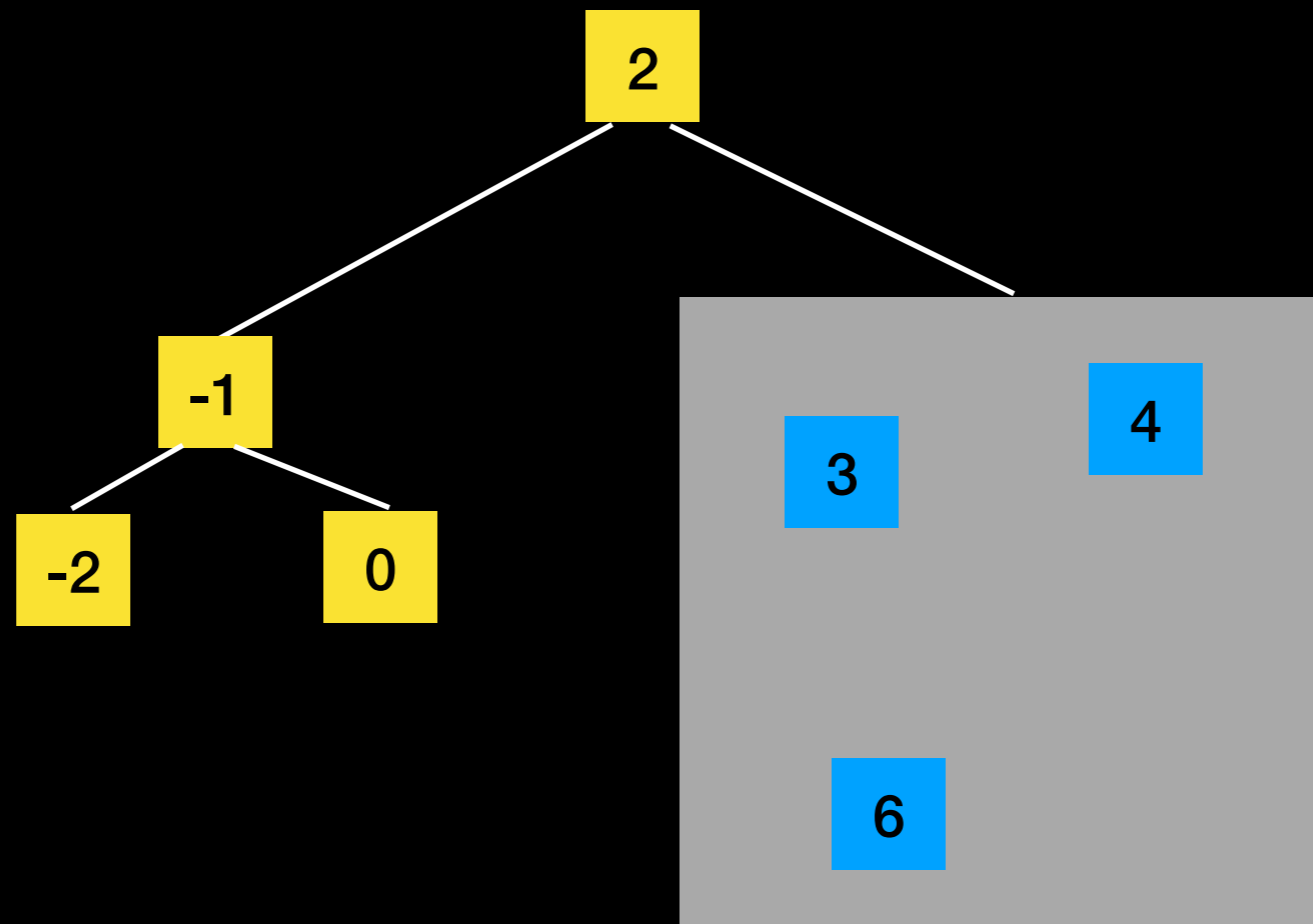
# A Different Approach



# A Different Approach

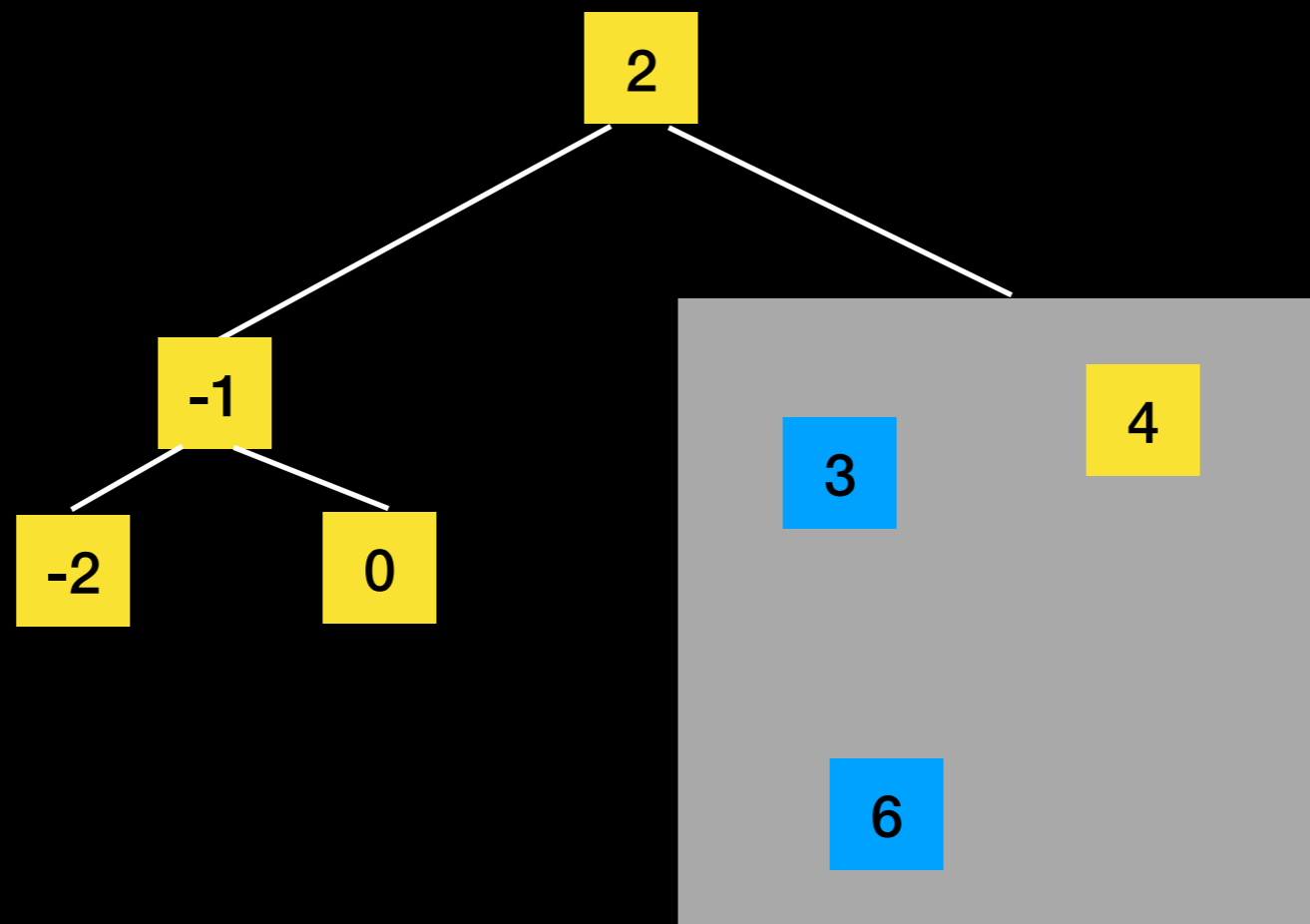


# A Different Approach

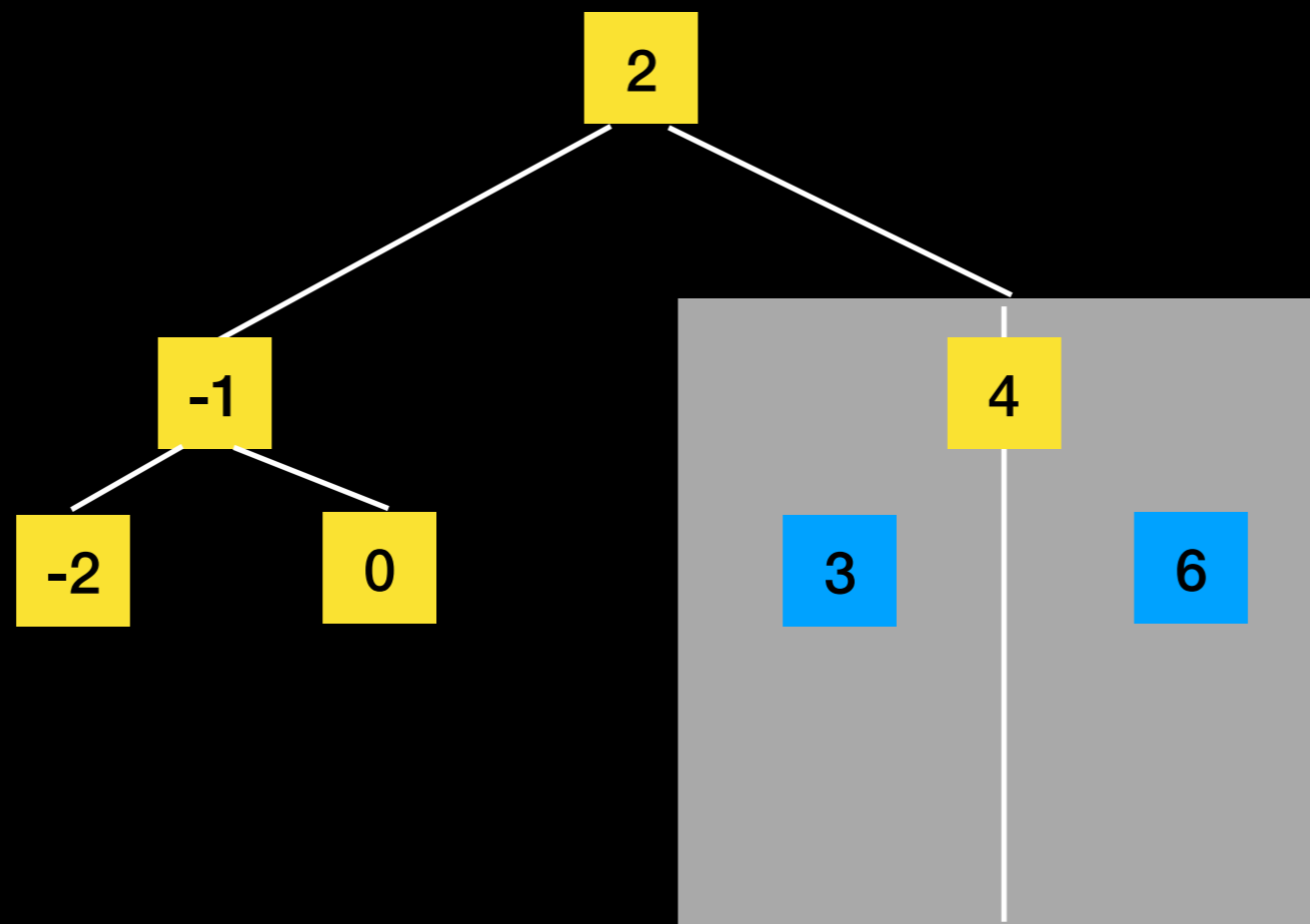




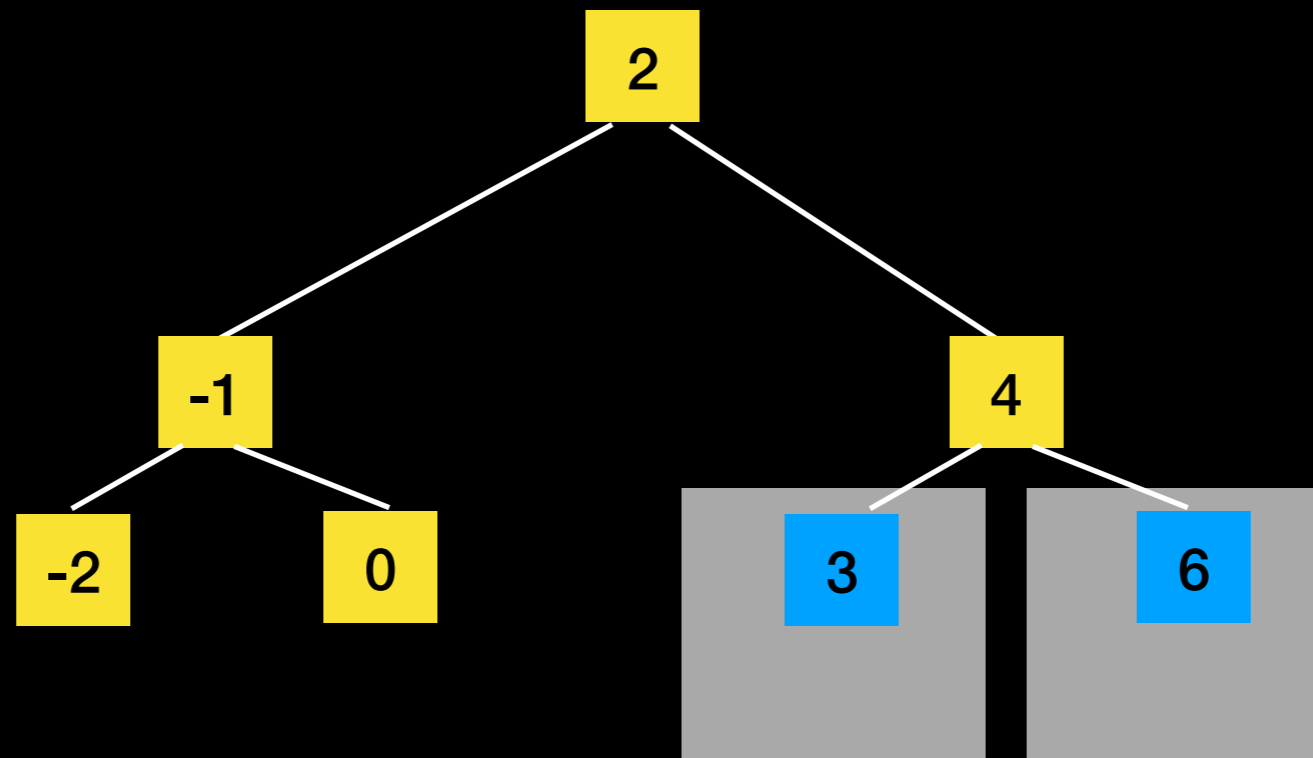
# A Different Approach



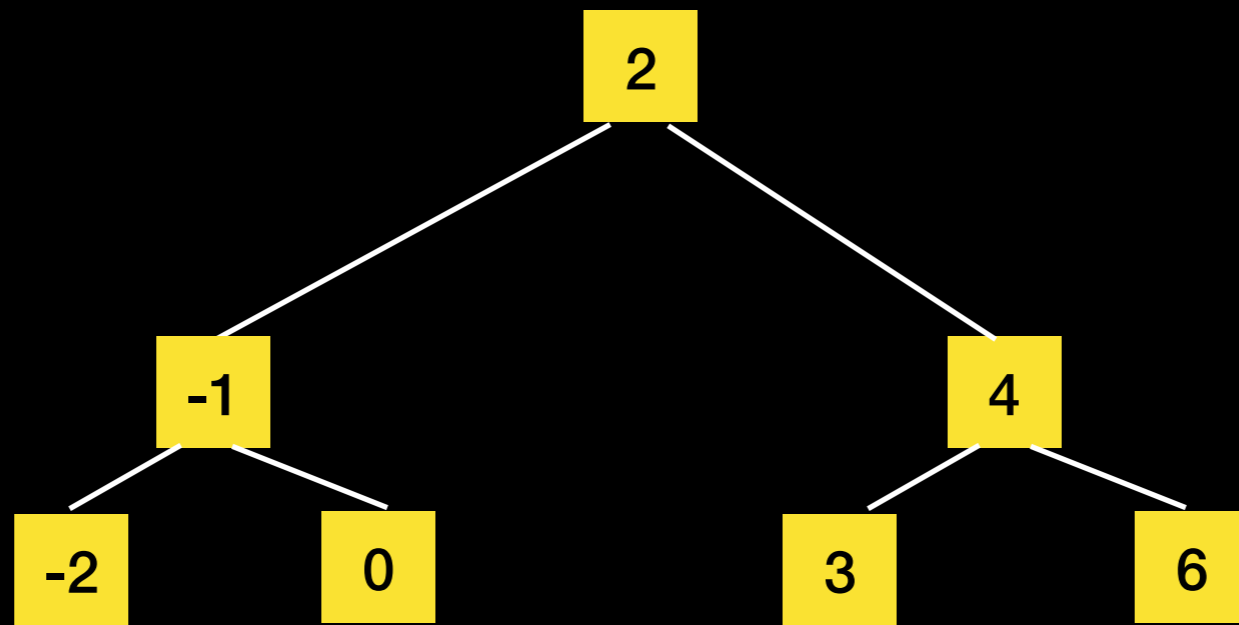
# A Different Approach



# A Different Approach

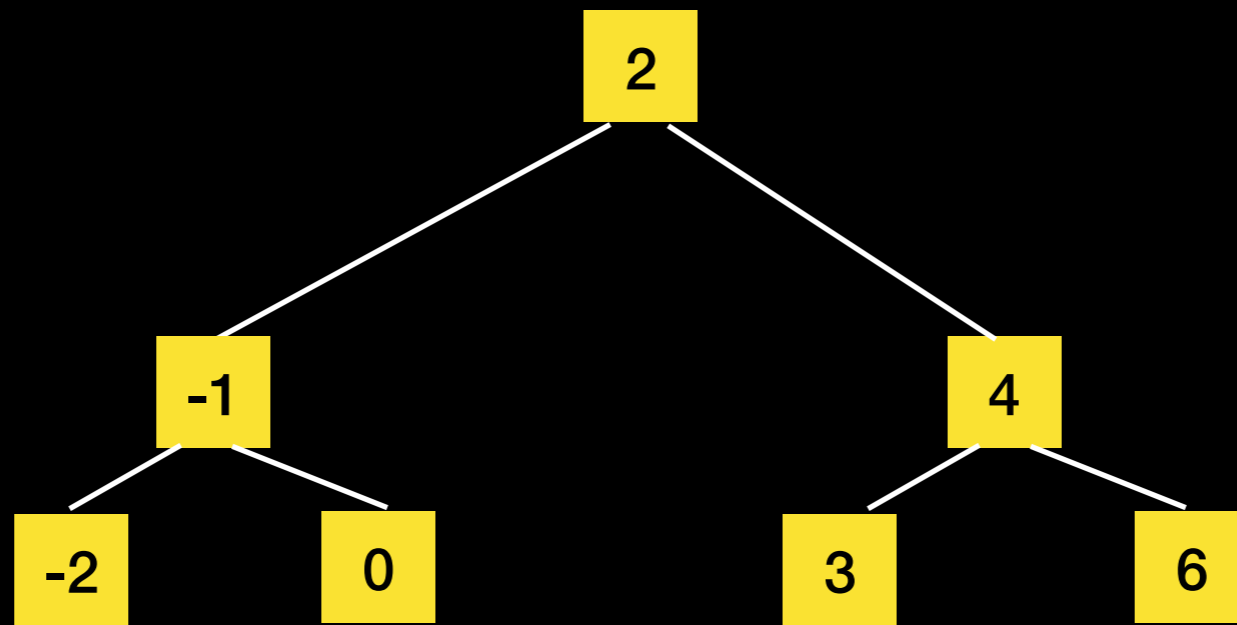


# A Different Approach



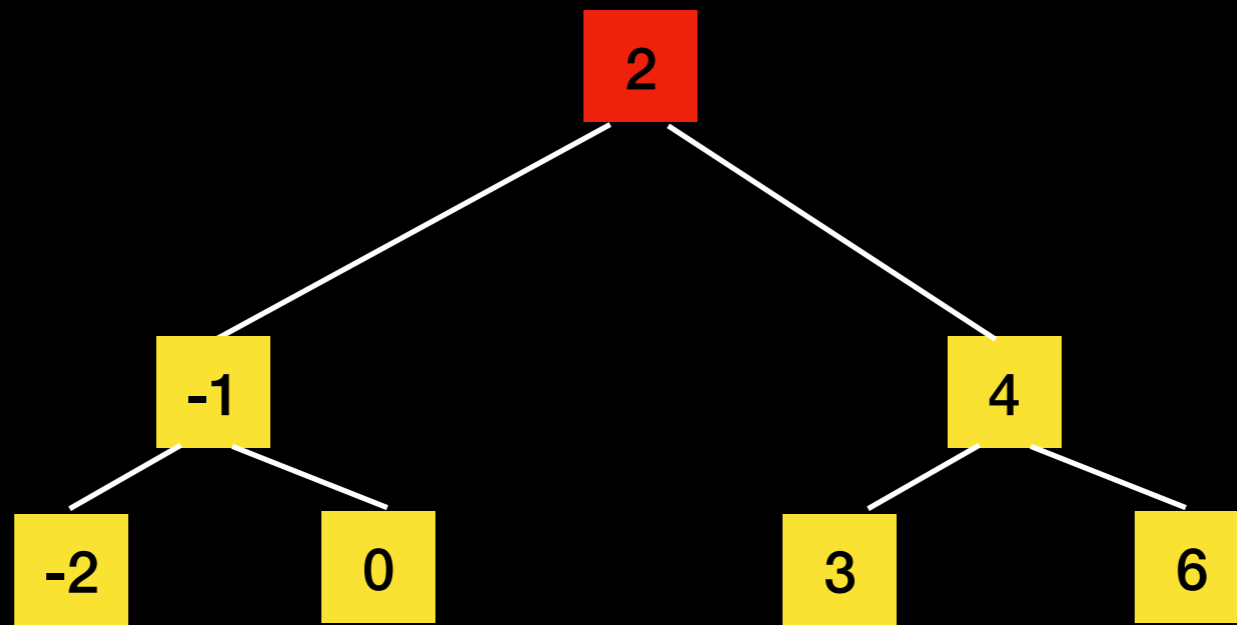
# A Different Approach

Find 5



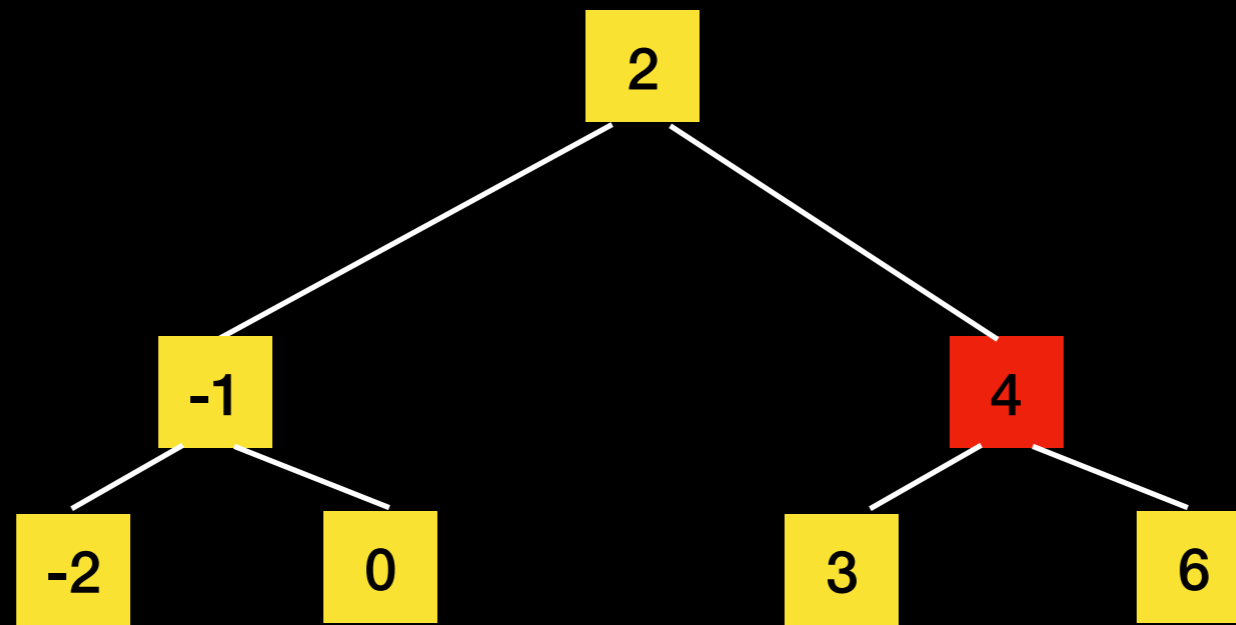
# A Different Approach

Find 5



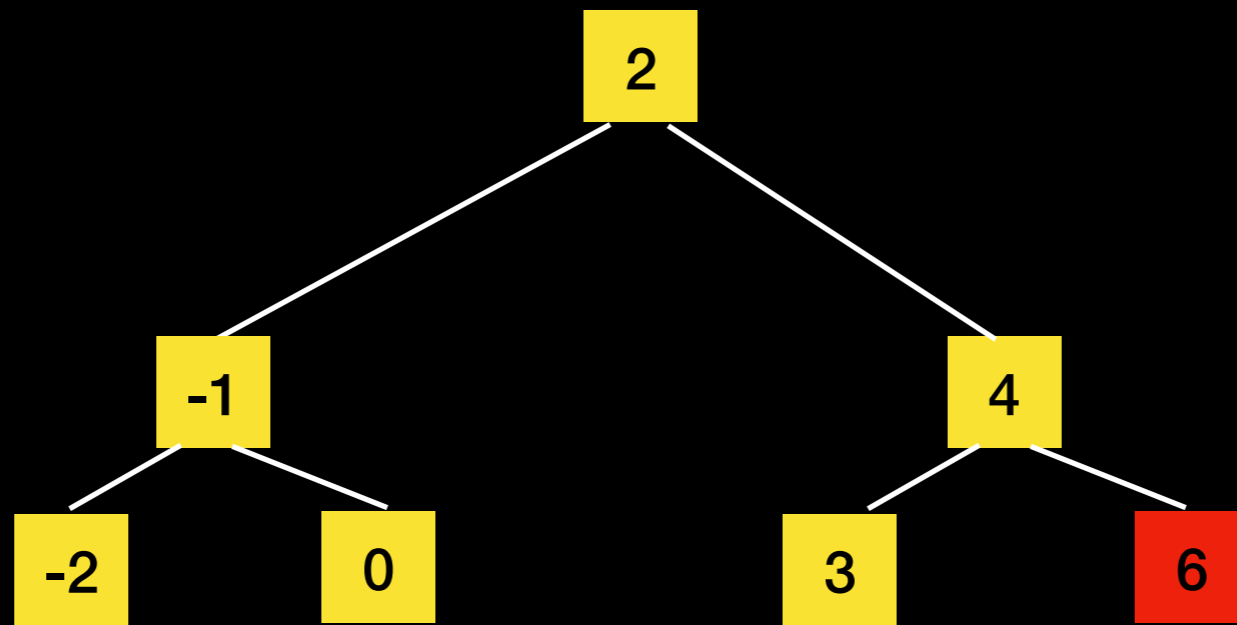
# A Different Approach

Find 5



# A Different Approach

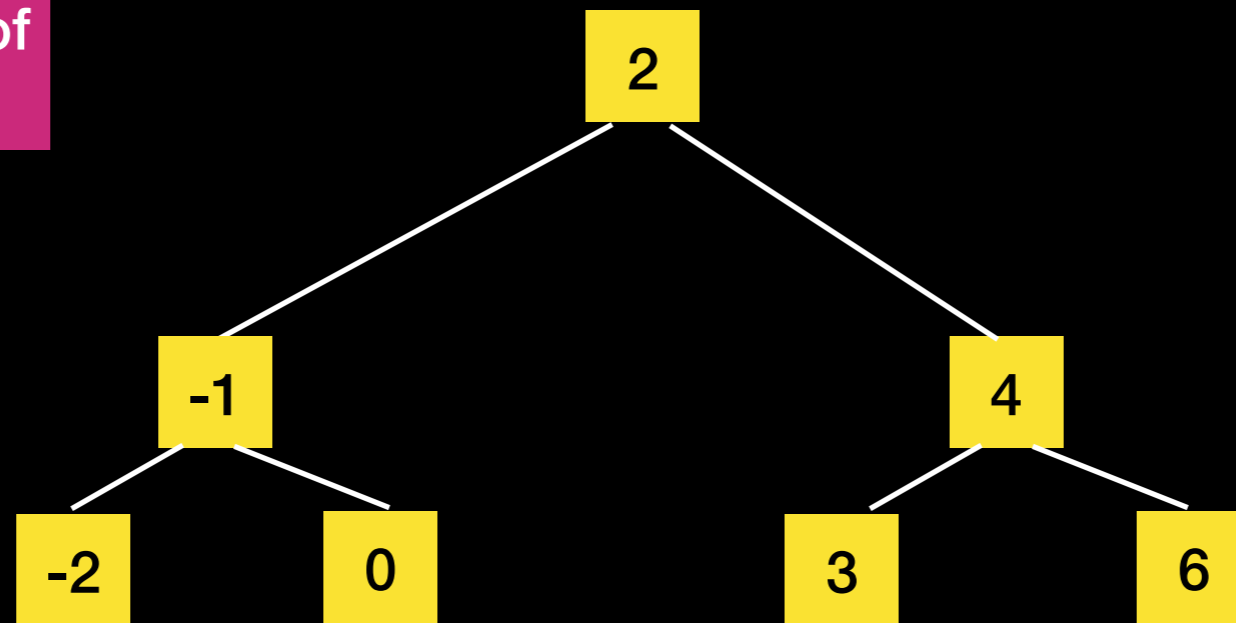
Find 5





# A Different Approach

What's special about the shape of this tree?



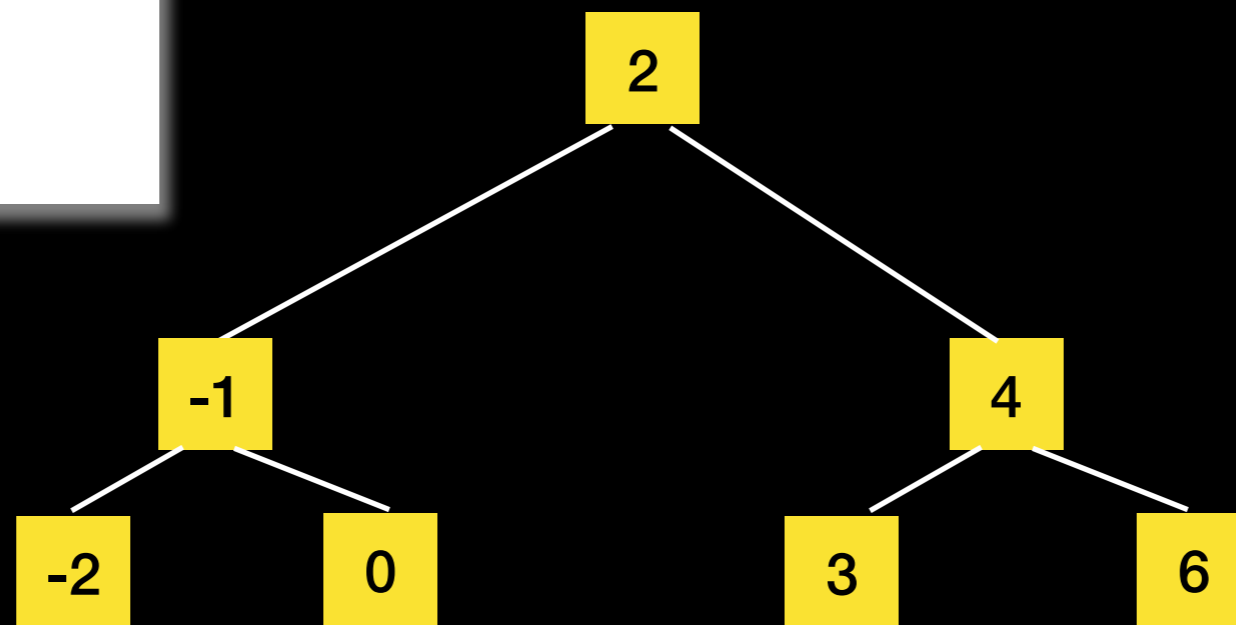
# Binary Search Tree

## Structural Property:

For each node  $n$

$n >$  all values in  $T_L$

$n <$  all values in  $T_R$



# BST Formally

Let  $S$  be a set of values upon which a **total ordering relation**  $<$ , is defined. For example,  $S$  can be the set of integers.

A **binary search tree (BST)**  $T$  for the ordered set  $(S, <)$  is a binary tree with the following properties:

- Each node of  $T$  has a value. If  $p$  and  $q$  are **nodes**, then we write  $p < q$  to mean that the value of  $p$  is less than the value of  $q$ .
- For each node  $n \in T$ , if  $p$  is a node in the left subtree of  $n$ , then  $p < n$ .
- For each node  $n \in T$ , if  $p$  is a node in the right subtree of  $n$ , then  $n < p$ .
- For each element  $s \in S$  there exists a node  $n \in T$  such that  $s = n$ .

# Binary Search Tree

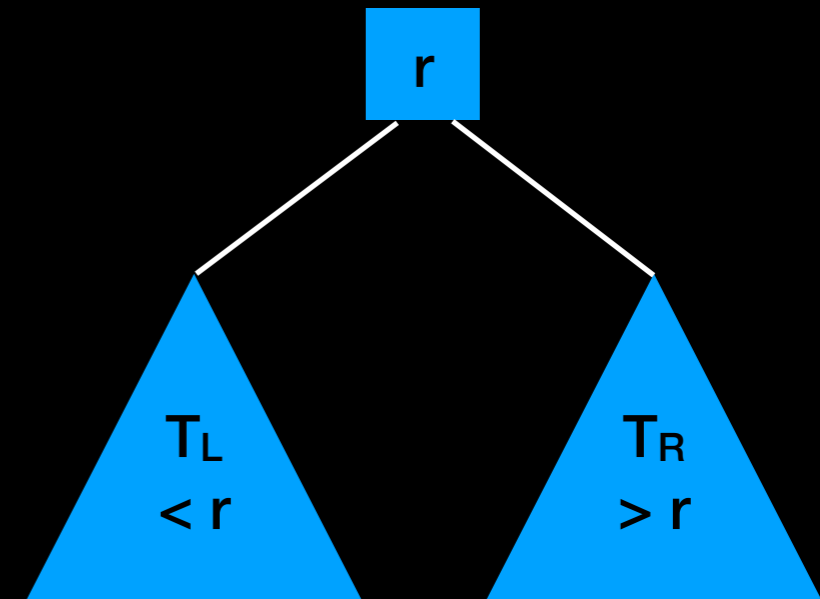
## Structural Property:

For each node  $n$

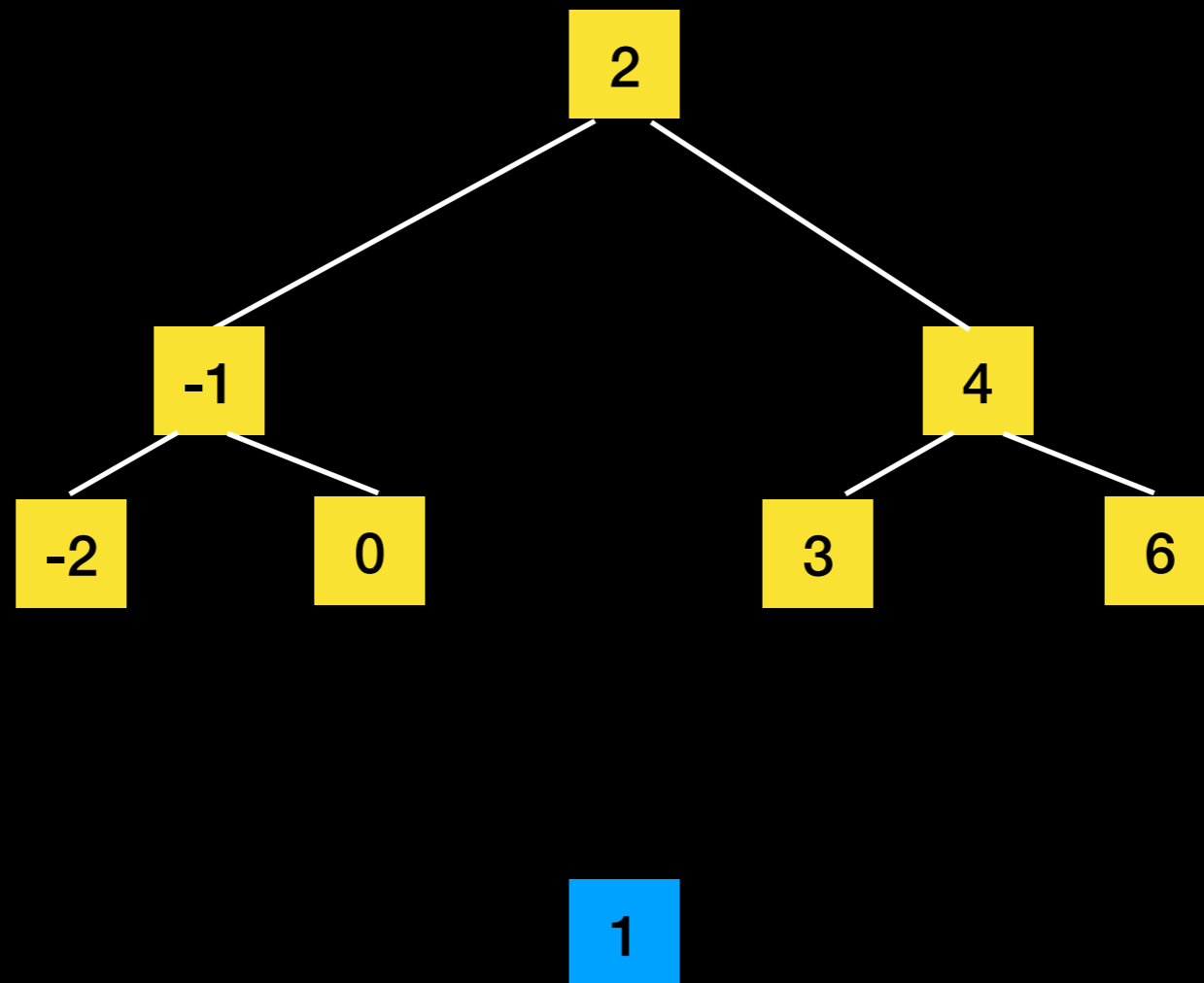
$n >$  all values in  $T_L$

$n <$  all values in  $T_R$

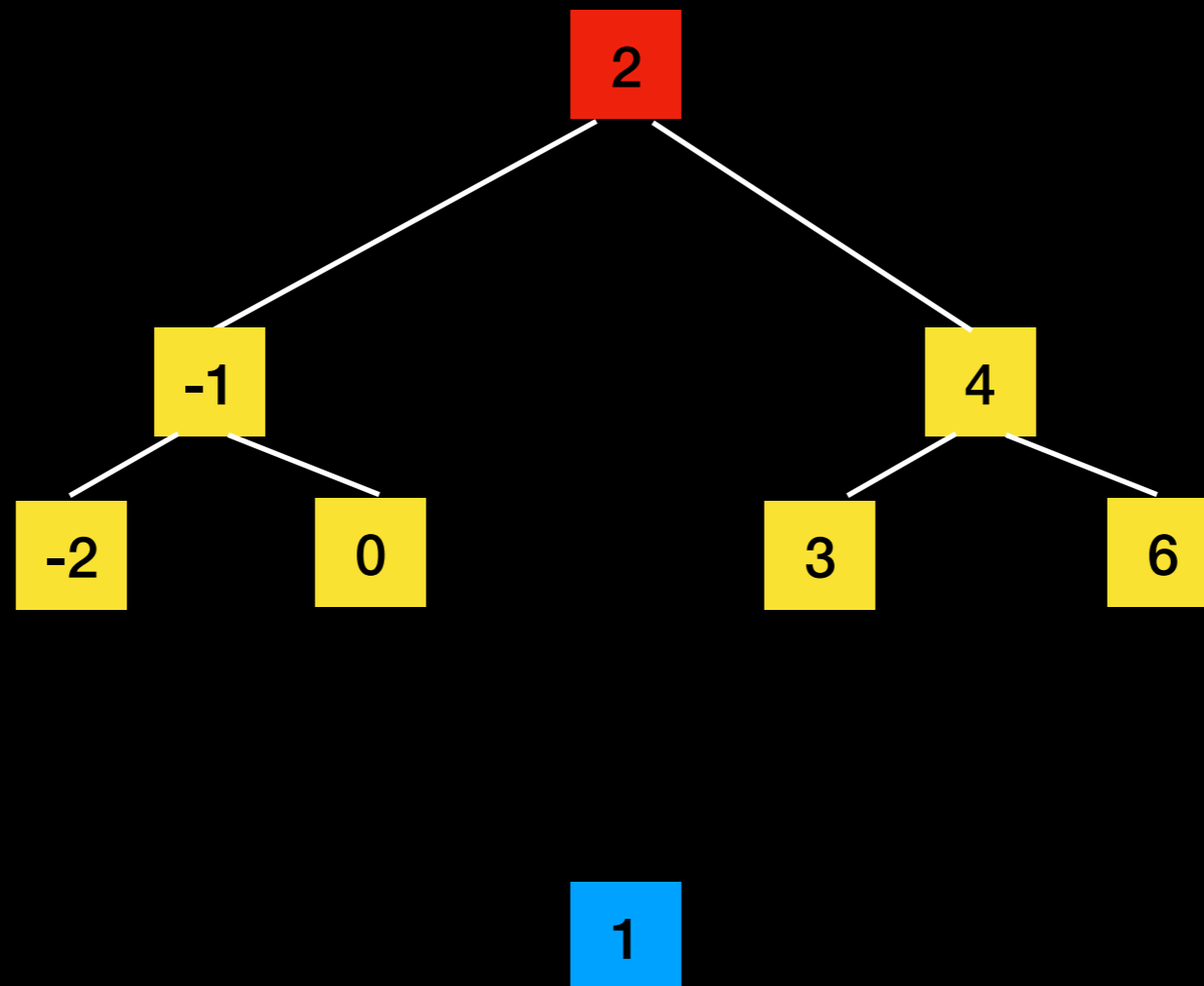
```
search(bs_tree, item)
{
    if (bs_tree is empty) //base case
        item not found
    else if (item == root)
        return root
    else if (item < root)
        search( $T_L$ , item)
    else // item > root
        search( $T_R$ , item)
}
```



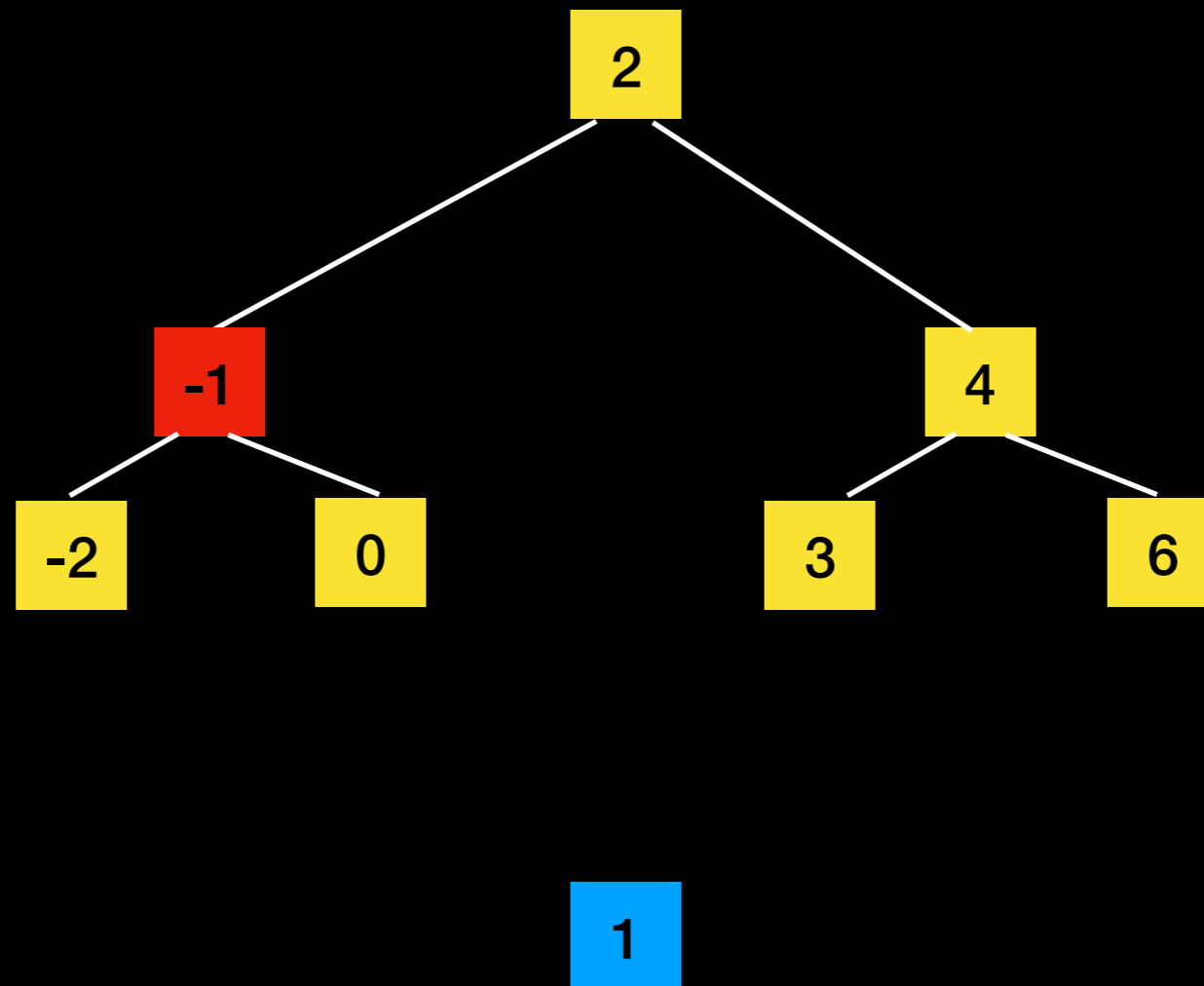
# Inserting into a BST



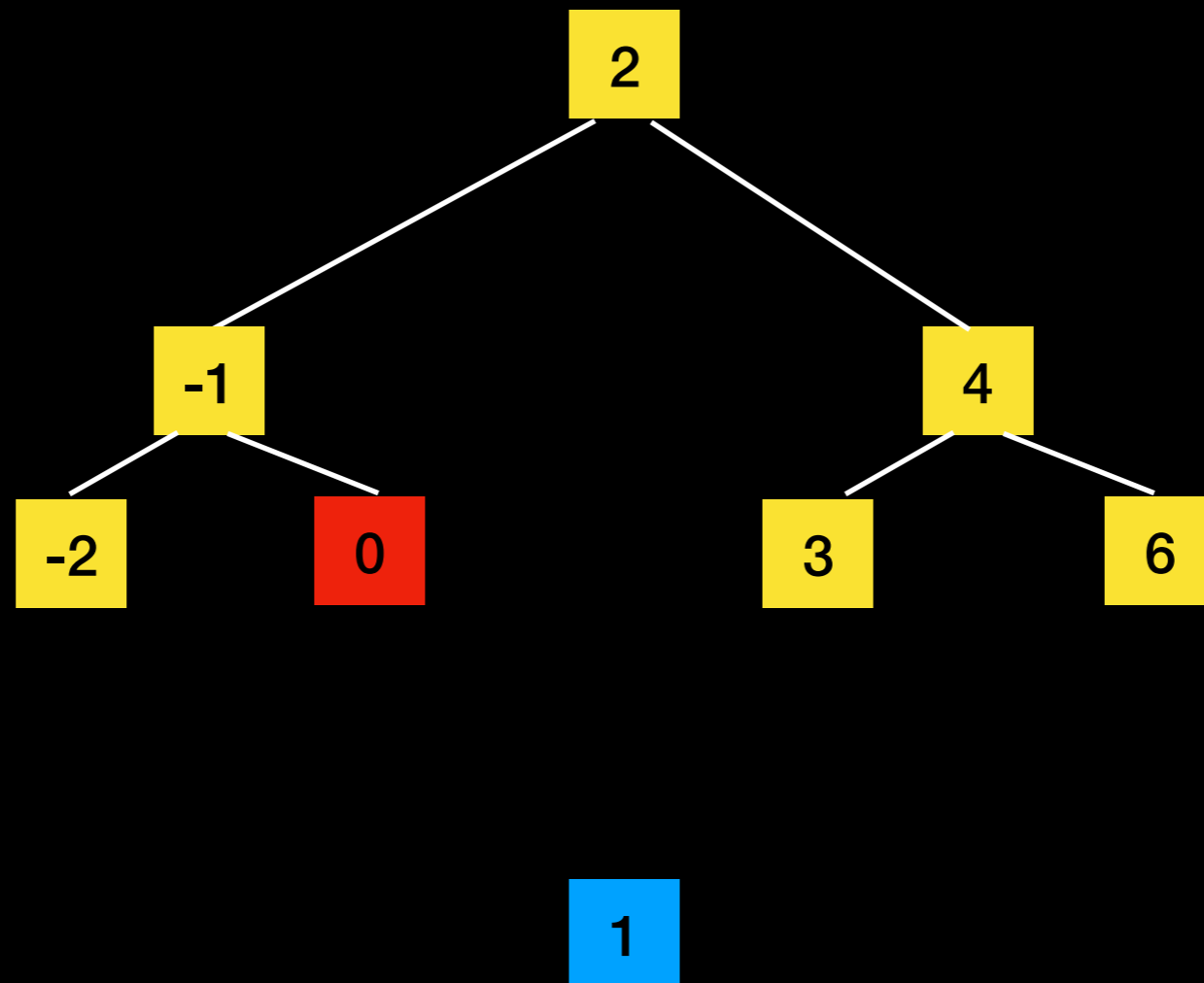
# Inserting into a BST



# Inserting into a BST

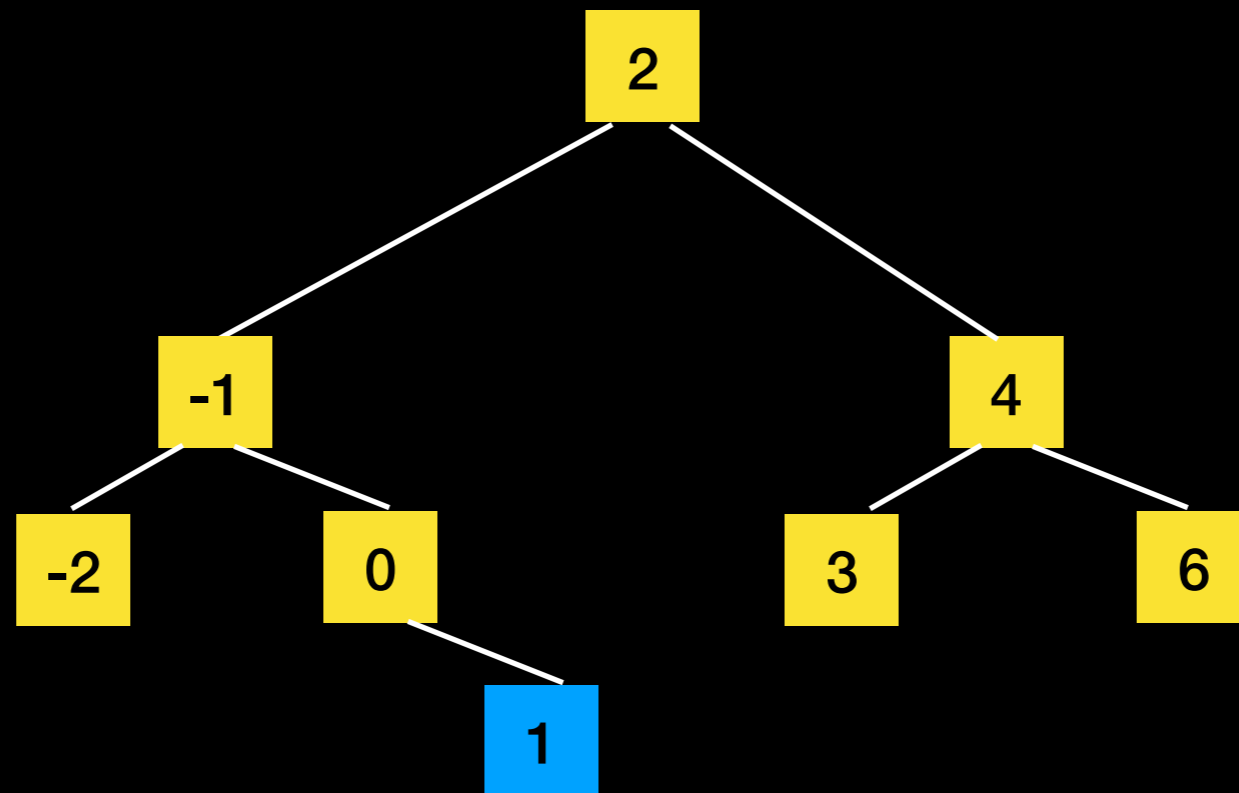


# Inserting into a BST

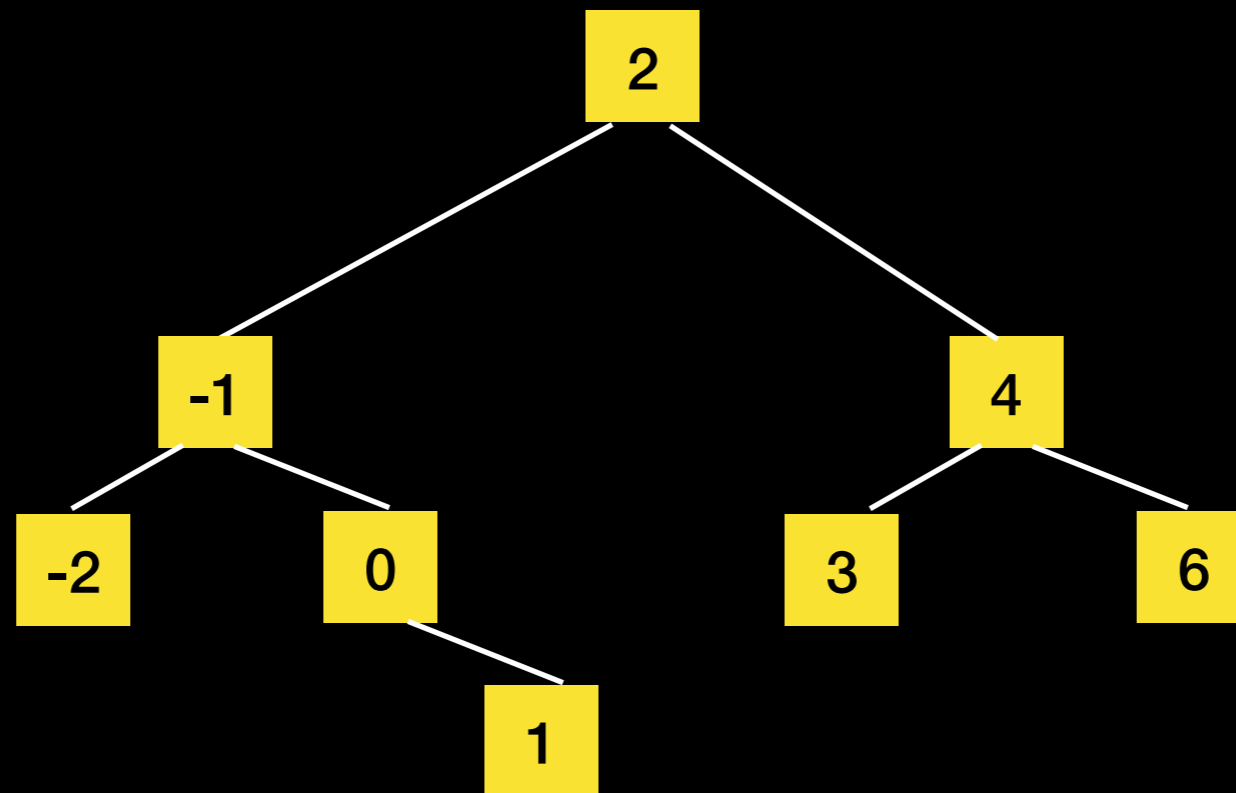




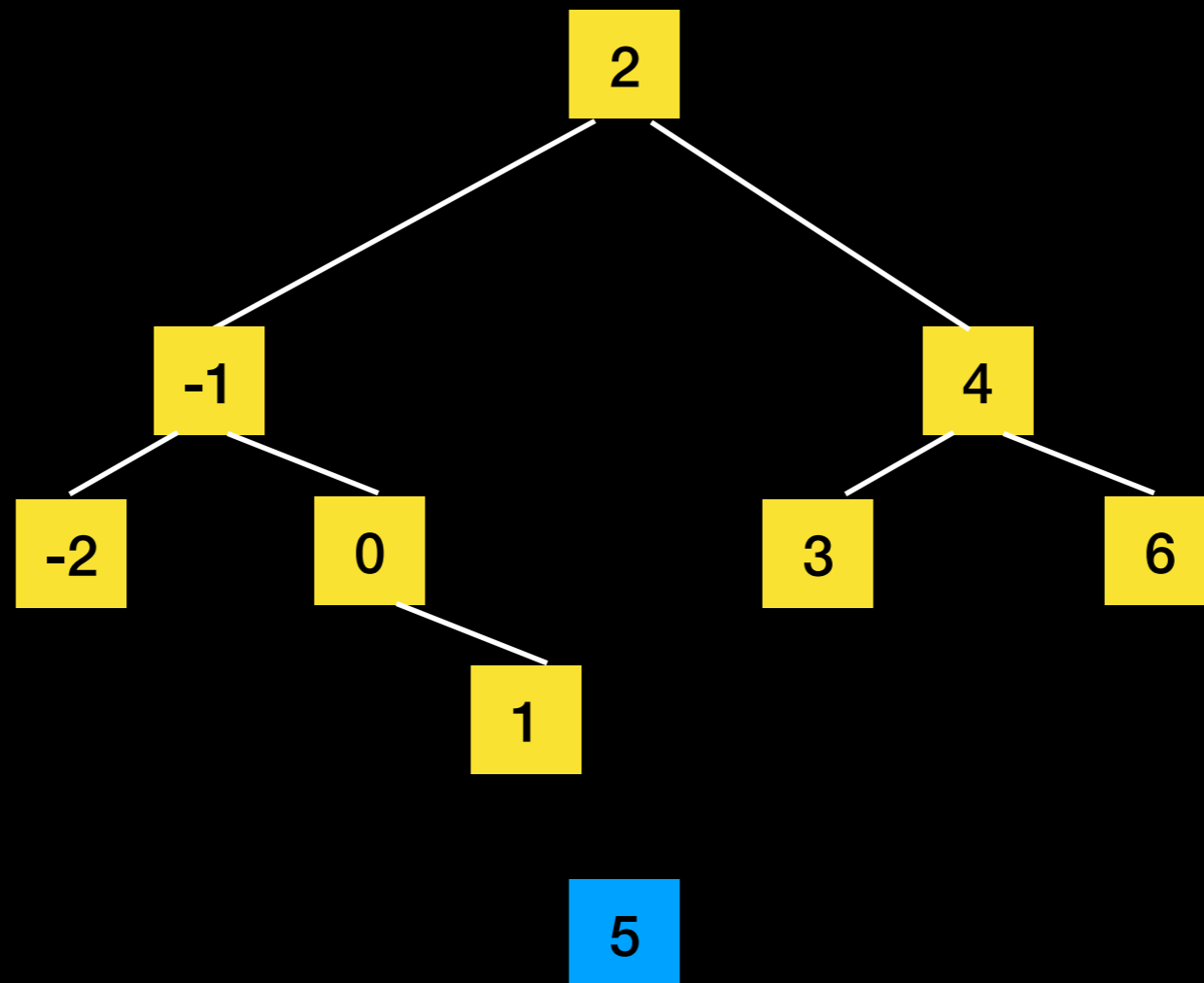
# Inserting into a BST



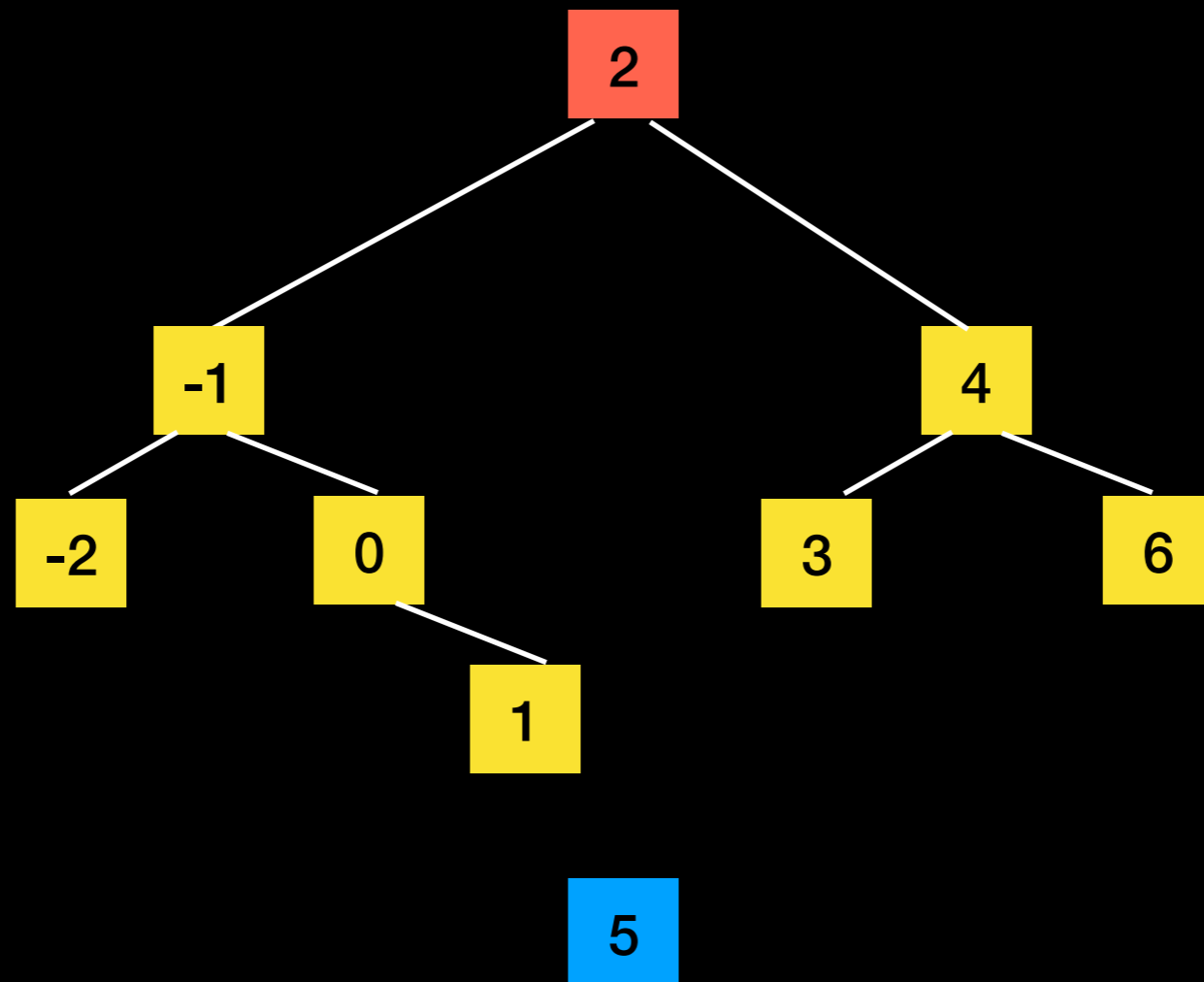
# Inserting into a BST



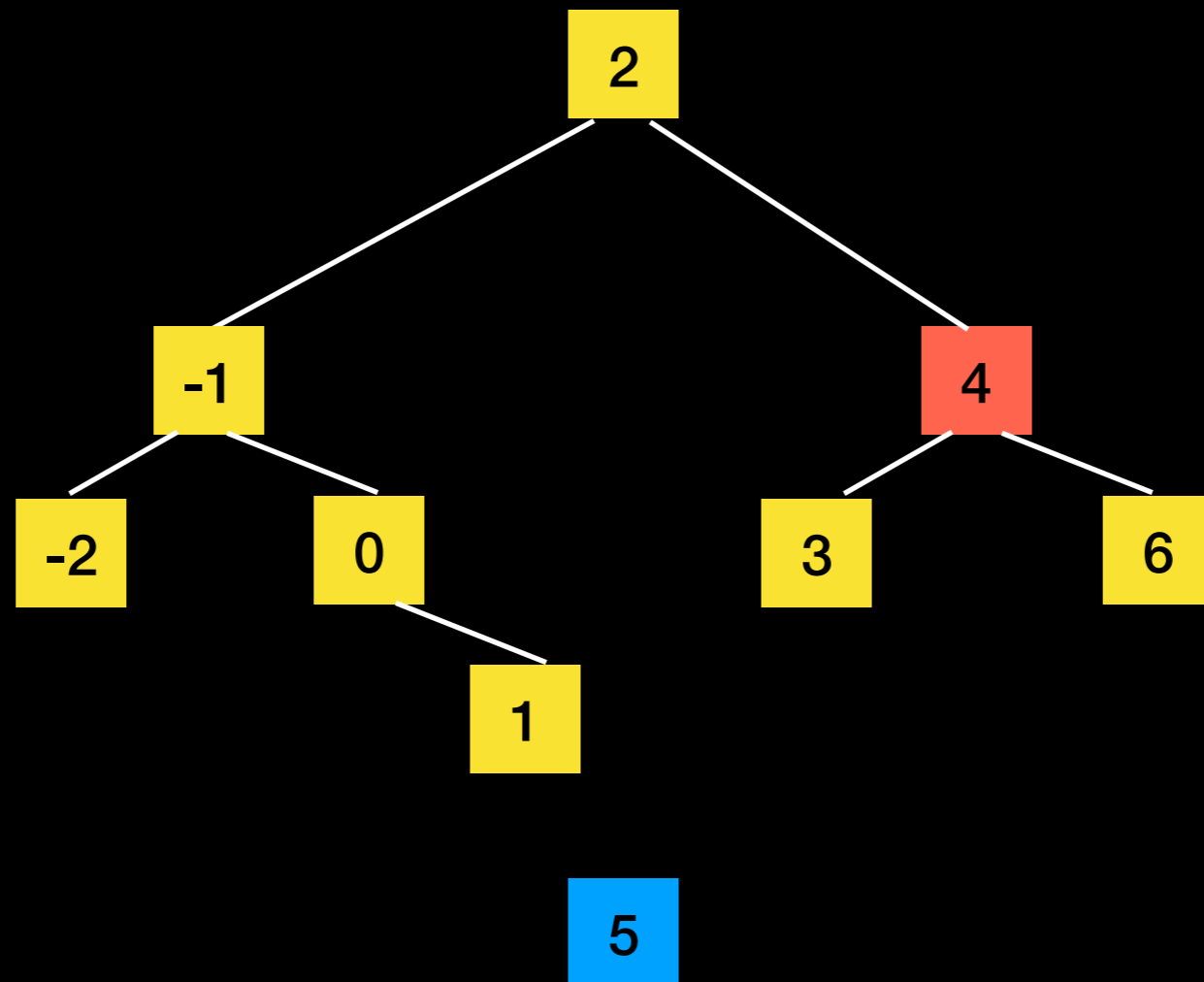
# Inserting into a BST



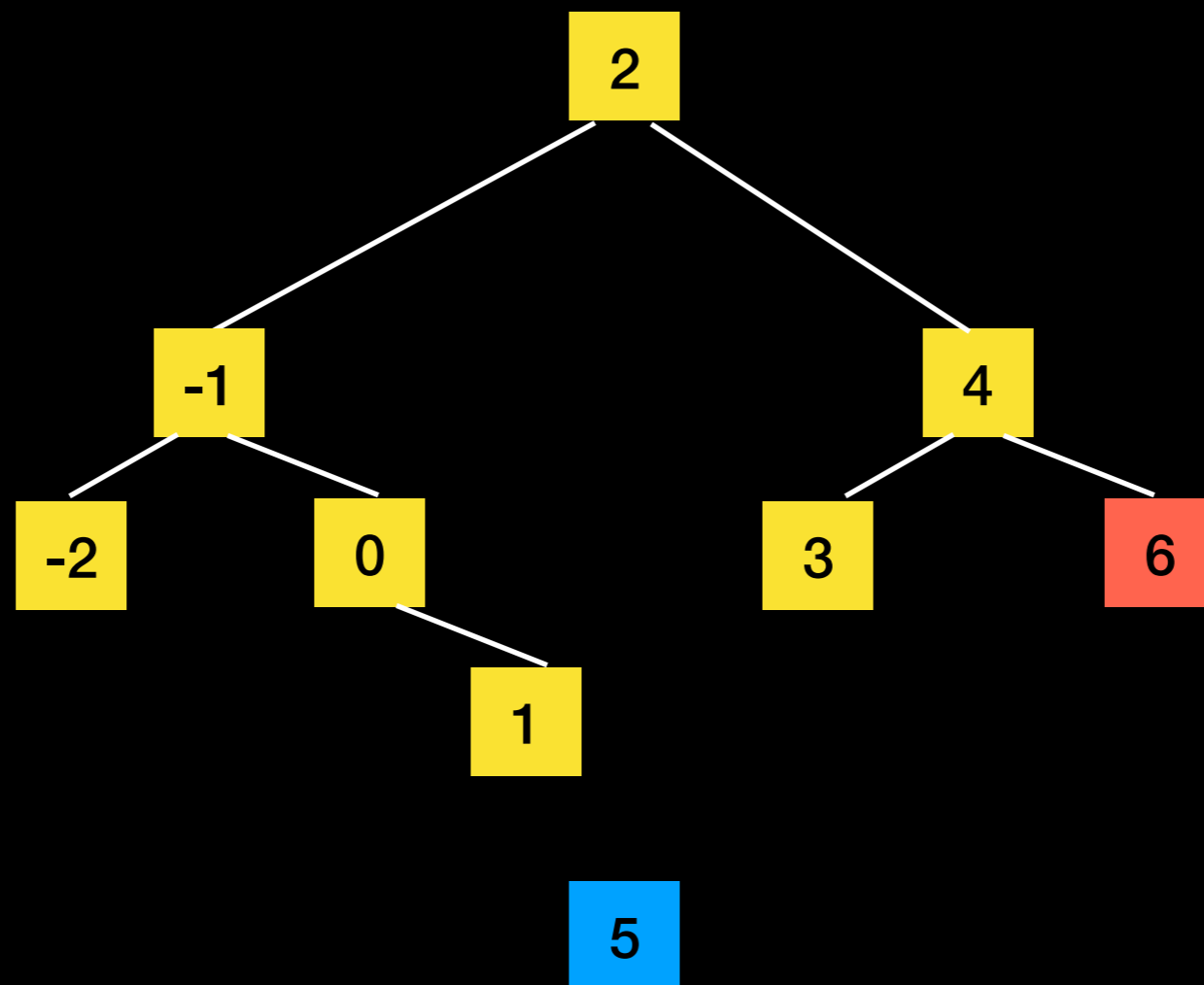
# Inserting into a BST



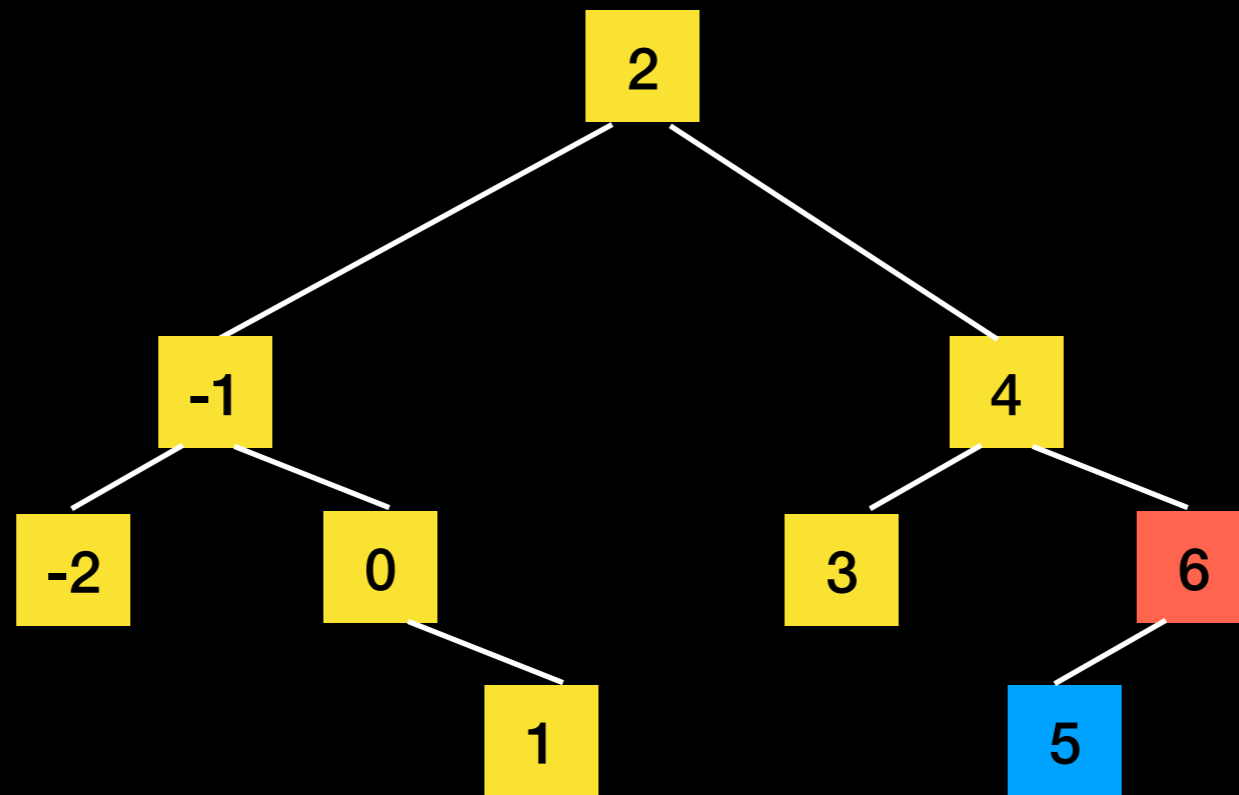
# Inserting into a BST



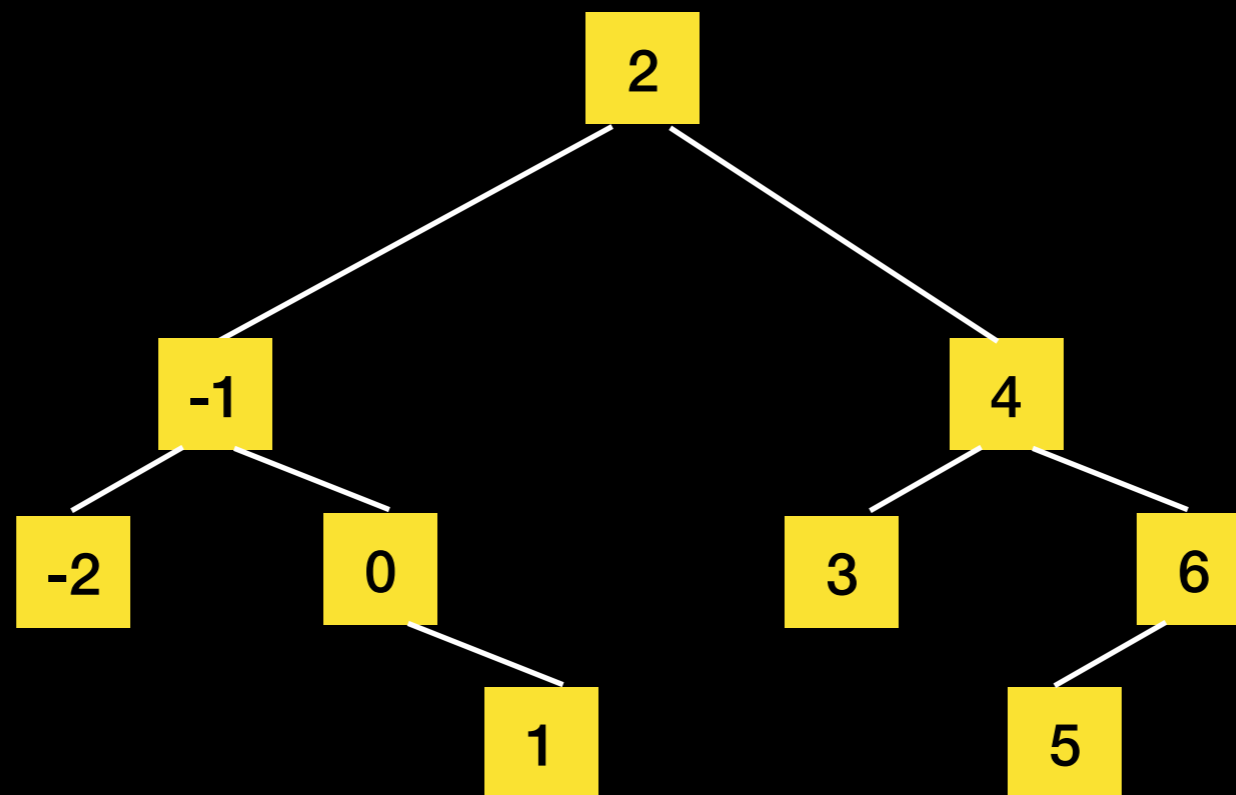
# Inserting into a BST



# Inserting into a BST



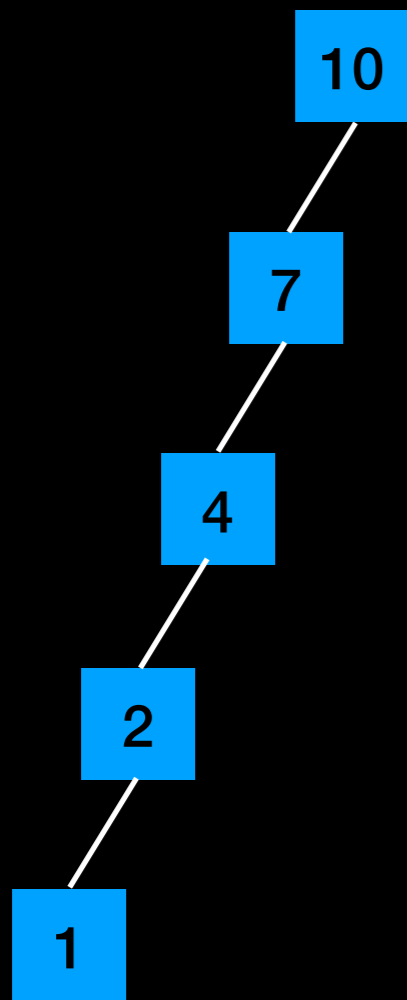
# Inserting into a BST



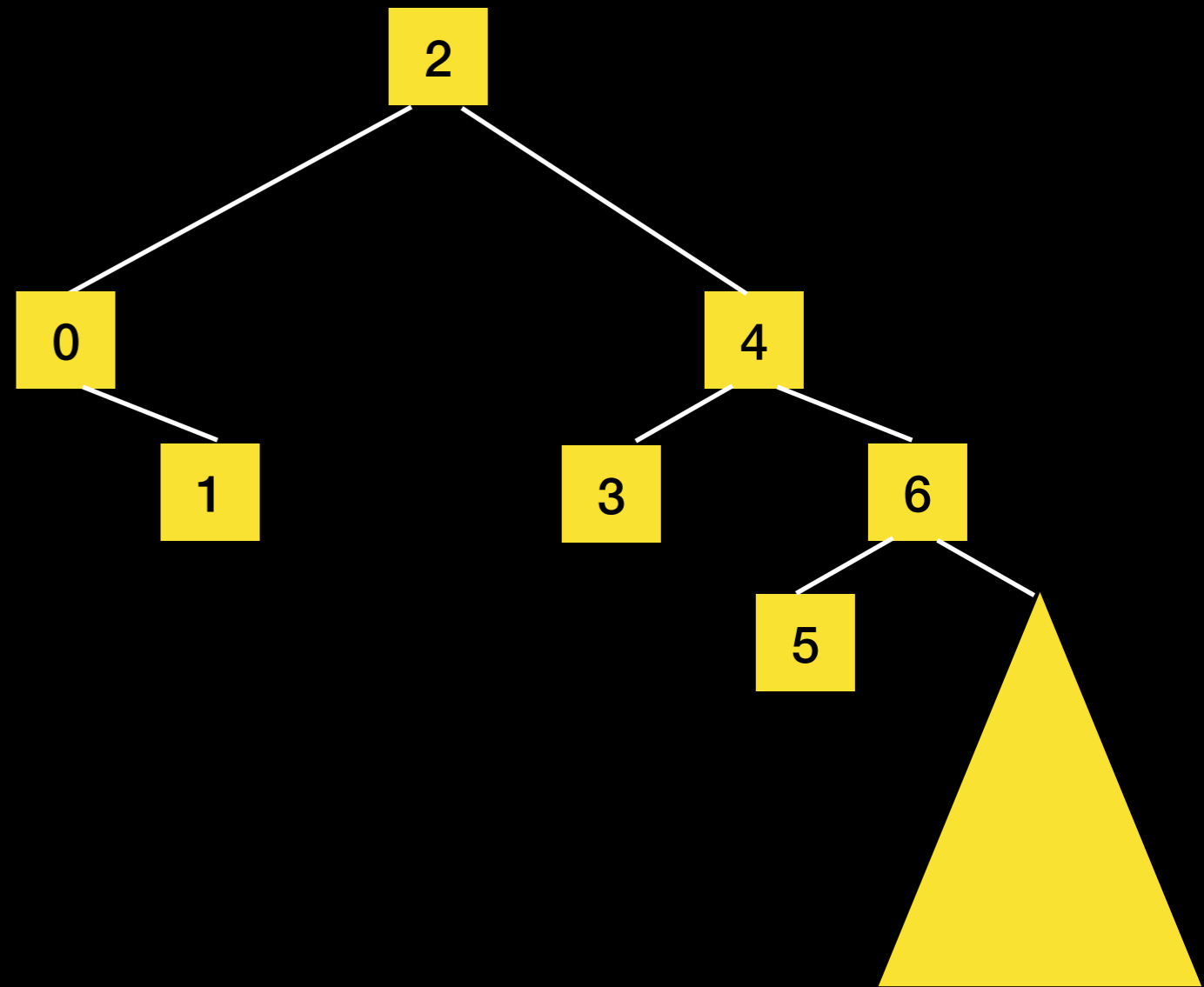
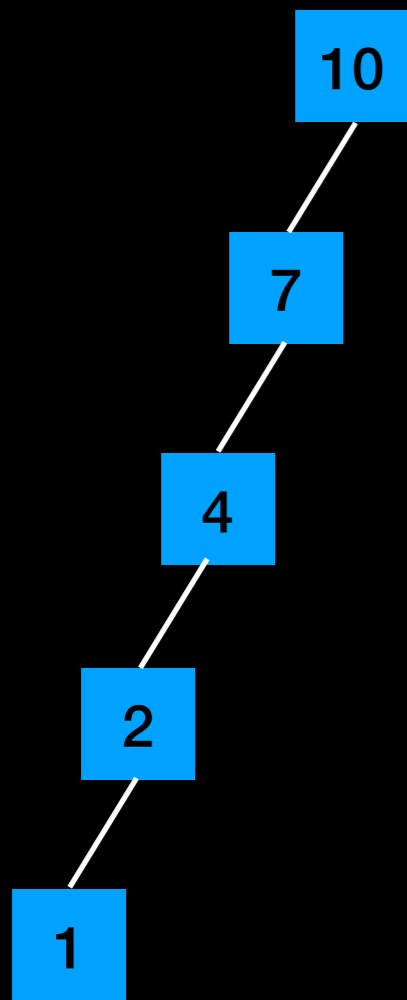
You **Grow** a tree with BST property, you don't get to restructure it  
(Self-balancing trees (e.g.Red-Black trees) will do that, perhaps in CSCI 335)



# Growing a BST



# Growing a BST

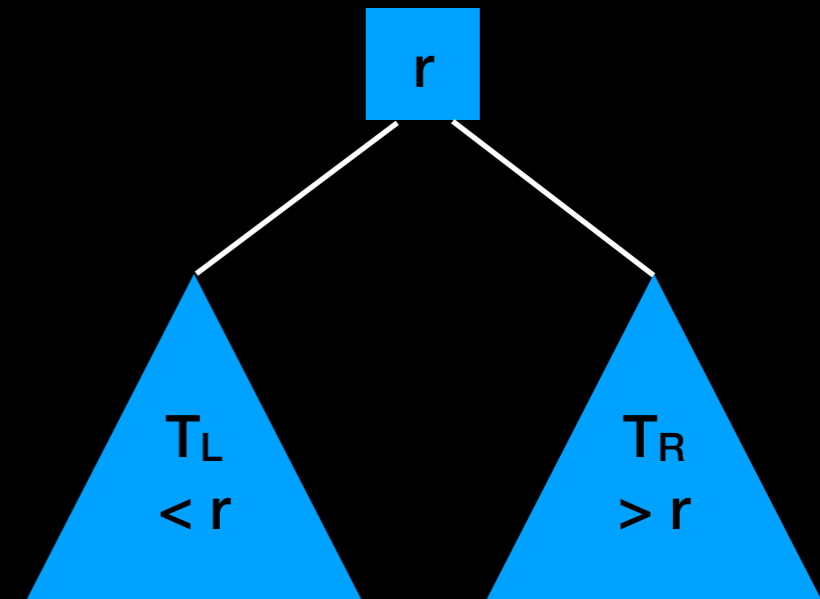


# Lecture Activity

Write **pseudocode** to insert an item into a BST

# Inserting into a BST

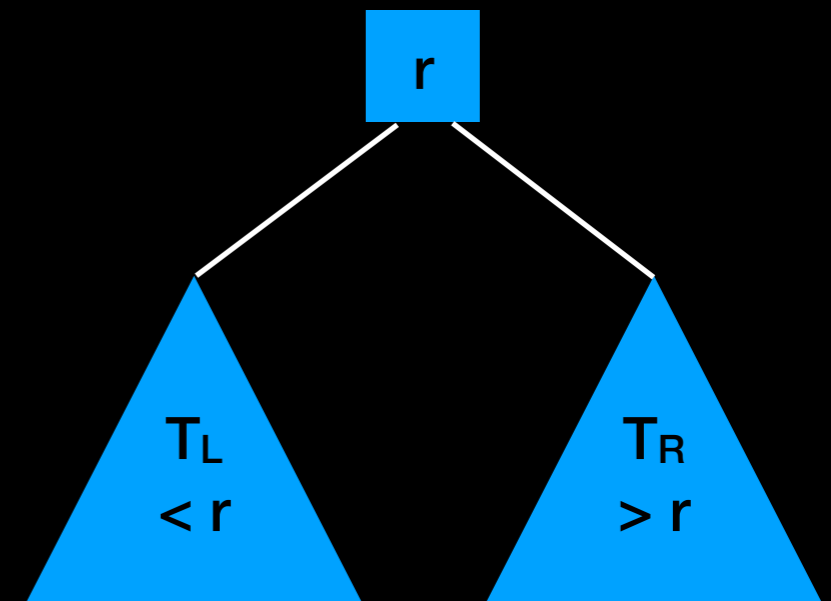
```
add(bs_tree, item)
{
    if (bs_tree is empty) //base case
        make item the root
    else if (item < root)
        add(TL, item)
    else // item > root
        add(TR, item)
}
```



# Traversing a BST

Same as traversing  
any binary tree

Which type of  
traversal is special  
for a BST?

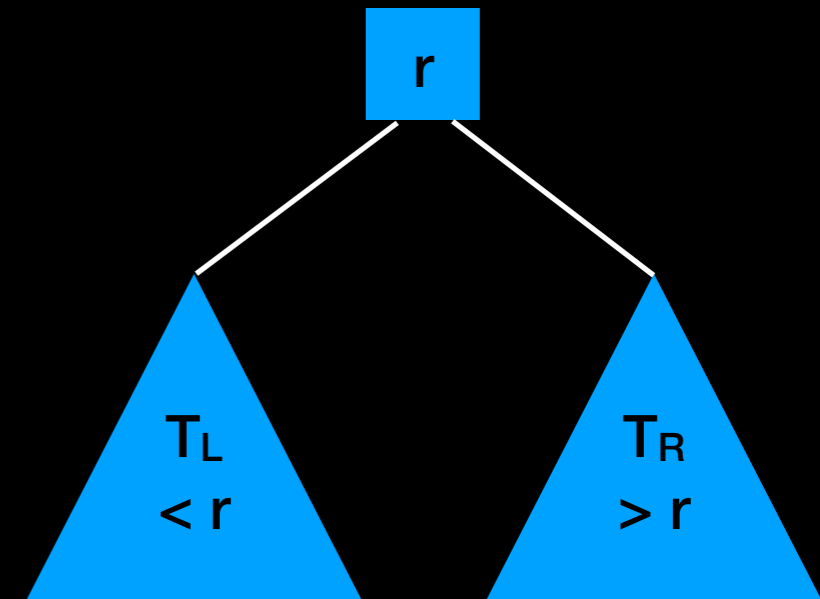


# Traversing a BST

Same as traversing  
any binary tree

```
inorder(bs_tree)
{
  //implicit base case
  if (bs_tree is not empty)
  {
    inorder(TL)
    visit the root
    inorder(TR)
  }
}
```

Visits nodes in sorted  
ascending order



# Efficiency of BST

Searching is key to most operations

Think about the structure and height of the tree

# Efficiency of BST

Searching is key to most operations

Think about the structure and height of the tree

$O(h)$

What is the **maximum height**?

What is the **minimum height**?

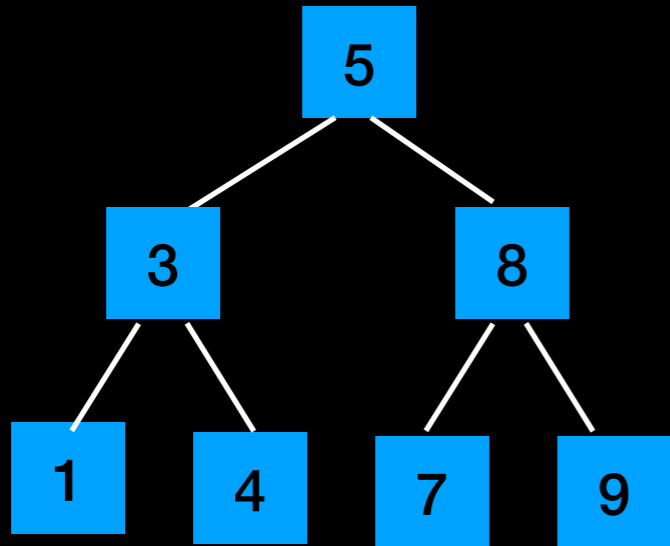


# Tree Structure

$n = 7$

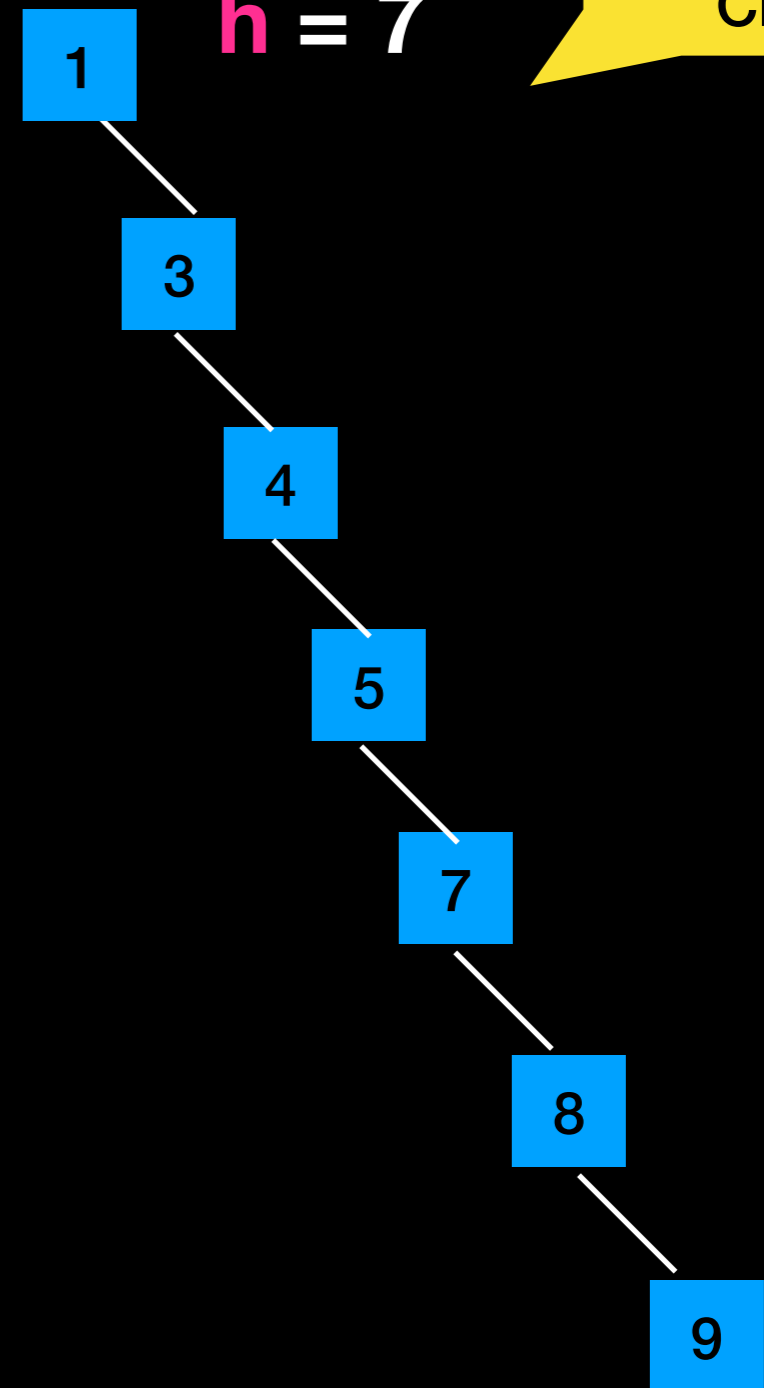
$h = 3$

Full BST



$h = 7$

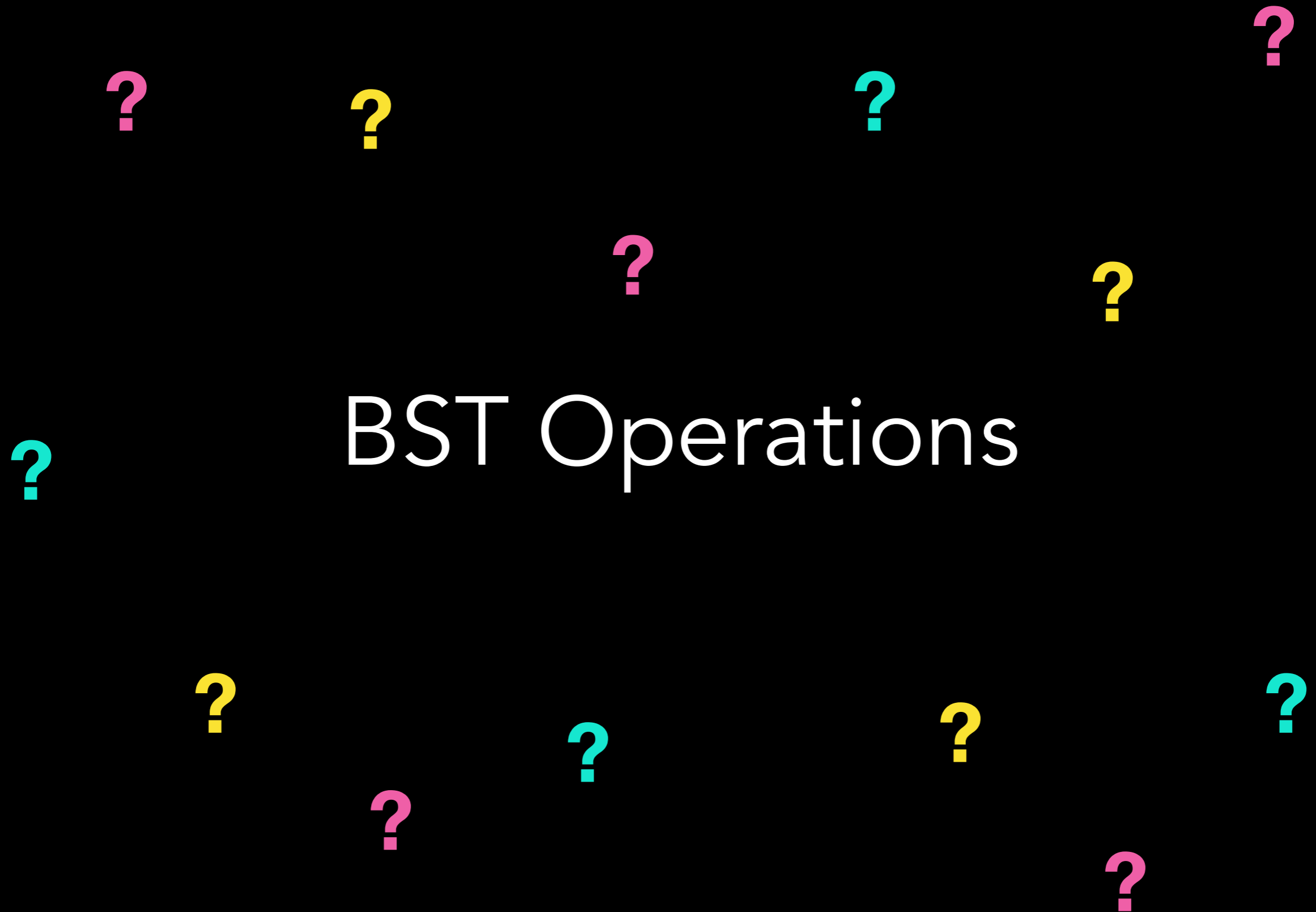
Chain



$n$  nodes

$$\log_2 (n+1) \leq h \leq n$$

Operation	In Full Tree	Worst-case
Search	$\log_2(n+1)$	$O(h)$
Add	$\log_2(n+1)$	$O(h)$
Remove	$\log_2(n+1)$	$O(h)$
Traverse	$n$	$O(n)$



# BST Operations

```

#ifndef BST_H_
#define BST_H_
template<typename ItemType>
class BST
{
public:
    BST(); // constructor
    BST(const BST<ItemType>& tree); // copy constructor
    ~BST(); // destructor
    bool isEmpty() const;
    size_t getHeight() const;
    size_t getNumberOfNodes() const;
    void add(const ItemType& new_item);
    void remove(const ItemType& new_item);
    ItemType find(const ItemType& item) const;
    void clear();
    void preorderTraverse(Visitor<ItemType>& visit) const;
    void inorderTraverse(Visitor<ItemType>& visit) const;
    void postorderTraverse(Visitor<ItemType>& visit) const;
    BST& operator= (const BST<ItemType>& rhs);
private:
    //implementation details here
}; //end BST
#include "BST.cpp"
#endif // BST_H_

```

Looks a lot like a BinaryTree

Might you inherit from it?

What would you override?

This is an abstract class from which we can derive desired behavior keeping the traversal general

```

#ifndef BST_H_
#define BST_H_
template<typename ItemType>
class BST
{
public:
    BST(); // constructor
    BST(const BST<ItemType>& tree); // copy constructor
    ~BST(); // destructor
    bool isEmpty() const;
    size_t getHeight() const;
    size_t getNumberOfNodes() const;
    void add(const ItemType& new_item);
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    BST& operator= (const BST<ItemType>& rhs);
private:
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#include "BST.cpp"
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```

Looks a lot like a BinaryTree

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This is an abstract class from which we can derive desired behavior keeping the traversal general